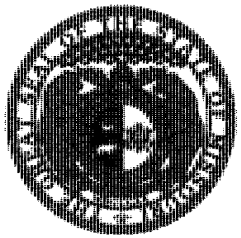
Fiscal Year 2014
Budget Request



DIFP

Department of Insurance,
Financial Institutions &
Professional Registration

Jeremiah W. (Jay) Nixon
Governor

John M. Huff
Director

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Missouri Department of Insurance, Financial Institutions and Professional Registration
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Department of Insurance, Financial Institutions and Professional Registration Overview

Created in 2006, the department consists of the former Missouri Department of Insurance and the Divisions of Finance, Credit Unions and Professional Registration formerly within the Missouri Department of Economic Development. The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

Director's Office: Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

Administration Division: Responsible for department-wide administrative support functions—including accounting, human resources, budget and information systems. The division is also responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers & producers and portable electronics insurance providers.

Consumer Affairs Division: Provides information about insurance to around 28,000 consumers each year through a statewide toll-free hotline and the department's website. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and producers. The division also provides insurance education and outreach activities to Missouri citizens.

Insurance Market Regulation Division: Reviews in excess of 10,000 policy forms, rates, and other pertinent material filed by insurance companies each year to ensure those products' compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders and determine compliance with the statutes and regulations of the state in the company's operations. The division's statistics section actively monitors the insurance marketplace through the collection and compilation of industry financial and claim data.

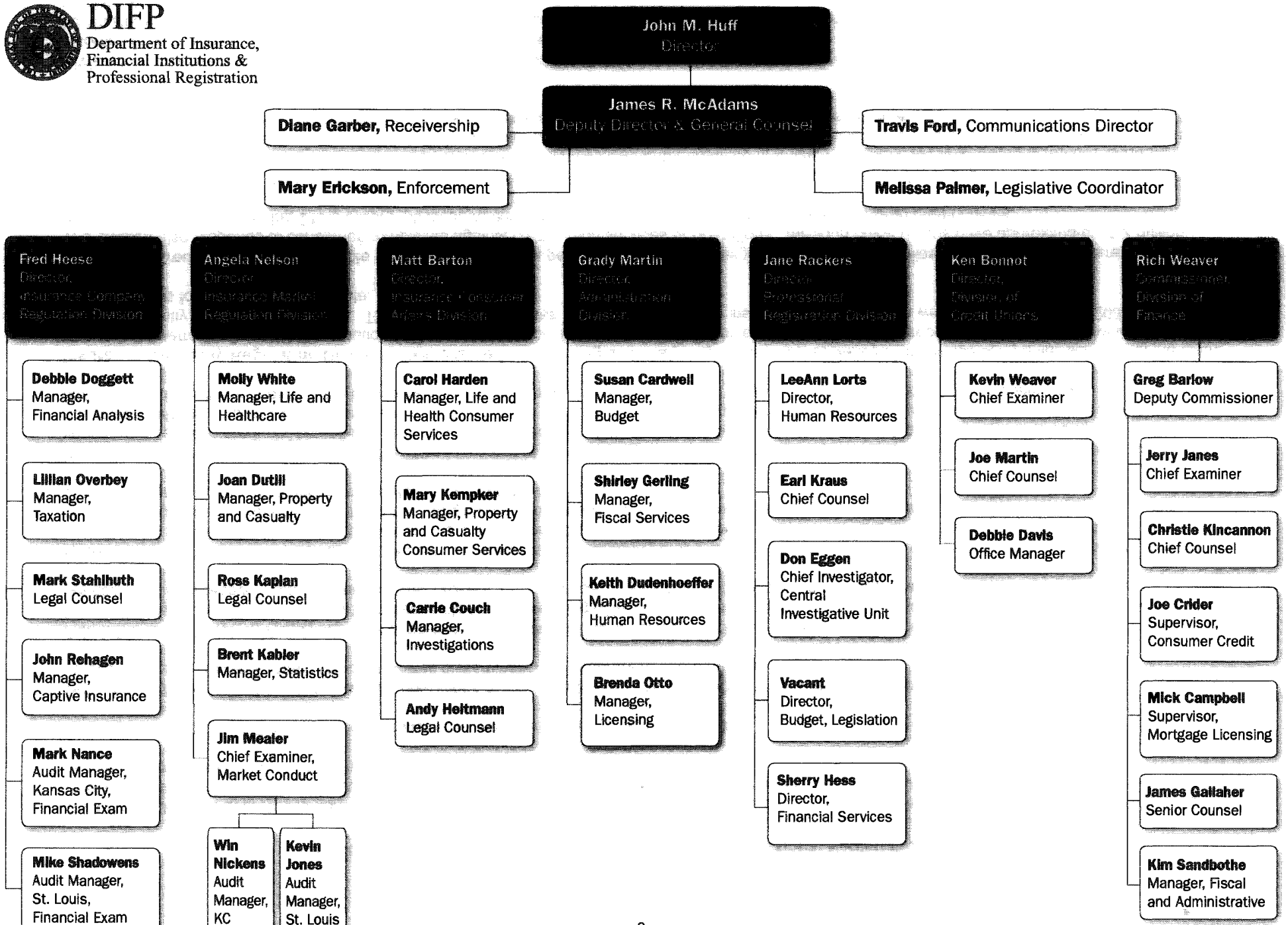
Insurance Company Regulation Division: Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. The division also licenses and regulates captive insurance companies as well as certifies about $203 million in premium taxes for collection by the state.

Division of Credit Unions: Responsible for the examination, supervision, chartering, merger and liquidation of Missouri's 121 state-chartered credit unions. The division also responds to consumer complaints against credit union services or operations. Missouri credit unions have approximately 1.2 million member and assets exceeding $10.8 billion. Missouri ranks eighth in the nation in the number of state-chartered credit unions.

Division of Finance: Responsible for the incorporation and regulation of Missouri's 274 state-chartered banks, 6 non-deposit trust companies, and 6 savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks fifth in the nation in the number of state-chartered banks.

Division of Professional Registration: Responsible for supporting 40 professional licensing boards and commissions in licensing and regulating the activities of over 400,000 Missourians representing approximately 240 different trades and professions. The boards and commissions process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner.

DIFP
Department of Insurance, Financial Institutions & Professional Registration



Sept. 27, 2012

Missouri Department of Insurance, Financial Institutions and Professional Registration

State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports

Program or Division Name	Type of Report	Date Issued	Website
Department of Insurance, Financial Institutions and Professional Registration - Insurance, Three Years Ended June 30, 2012	Audit	Pending	Pending
Department of Insurance, Financial Institutions and Professional Registration - Division of Finance	Audit	9/2012	www.auditor.mo.gov/AuditReports/CitzSummary.aspx?id=119
Department of Insurance, Financial Institutions and Professional Registration - Division of Finance	Audit	5/2011	www.auditor.mo.gov/press/2011-17.htm
Department of Insurance, Financial Institutions and Professional Registration - Insurance Two Years Ended June 30, 2009	Audit	8/2010	www.auditor.mo.gov/press/2010-99.htm
Department of Insurance Three Years Ended June 30, 2007	Audit	12/2007	www.auditor.mo.gov/press/2007-84.htm
Program Evaluation: Insurance Mandates	Oversight Evaluation	9/2006	www.moga.mo.gov/oversight/audits.htm
Division of Professional Registration, State Board of Cosmetology	Audit	2/2006	www.auditor.mo.gov/press/2006-07.htm
Division of Professional Registration, State Board of Barber Examiners	Audit	2/2006	www.auditor.mo.gov/press/2006-06.htm
Department of Insurance Two Years Ended June 30, 2004	Audit	10/2005	www.auditor.mo.gov/press/2005-75.htm
Department of Insurance Three Years Ended June 30, 2002	Audit	7/2003	www.auditor.mo.gov/press/2003-77.pdf
Department of Insurance Division of Consumer Affairs Complaint Processing	Audit	6/2002	www.auditor.mo.gov/press/2002-43.pdf
Division of Professional Registration, Missouri Board for Architects, Professional Engineers, and Professional Land Surveyors	Audit	9/2001	www.auditor.mo.gov/press/2001-98.htm
State Departments' Travel Regulations, Policies and Procedures	Audit	9/2001	www.auditor.mo.gov/press/2001-95.pdf
Division of Professional Registration and the Missouri State Board of Nursing	Audit	8/2001	www.auditor.mo.gov/press/2001-64.htm
Department of Insurance	Audit	4/2000	www.auditor.mo.gov/press/2000-22.pdf

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NEW DECISION ITEM
RANK: 2 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** Various
Cost to Continue FY 2013 Pay Plan	**DI# 0000013**

1. AMOUNT OF REQUEST

	FY 2014 Budget Request				FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	714	13,988	14,702	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**714**	**13,988**	**14,702**	**Total**	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**	**FTE**	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*173*	*3,380*	*3,552*	***Est. Fringe***	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Department Funds

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate		Program Expansion	X	Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
X	Pay Plan		Other:		

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Fiscal Year 2013 pay plan was funded for 23 pay periods. This will cover the 24th pay period, which will be paid on July 15, 2013 during the Fiscal Year 2014 budget.

NEW DECISION ITEM
RANK: 2 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** Various
Cost to Continue FY 2013 Pay Plan	**DI# 0000013**

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

This request is based off of the pay plan added in Fiscal Year 2013 and includes funding for the 24th pay period.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
			714		13,988		14,702	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**714**	**0.0**	**13,988**	**0.0**	**14,702**	**0.0**	**0**
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**714**	**0.0**	**13,988**	**0.0**	**14,702**	**0.0**	**0**

NEW DECISION ITEM
RANK: 2 OF 11

Department of Insurance, Financial Institutions and Professional Registration				Budget Unit Various				
Cost to Continue FY 2013 Pay Plan		DI# 0000013						
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION								
Pay Plan FY13-Cost to Continue - 0000013								
ACCOUNTANT I	0	0.00	0	0.00	2	0.00	0	0.00
ACCOUNTING SPECIALIST I	0	0.00	0	0.00	1	0.00	0	0.00
ACCOUNTING ANAL II	0	0.00	0	0.00	1	0.00	0	0.00
BUDGET ANAL III	0	0.00	0	0.00	18	0.00	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	0	0.00	4	0.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	0	0.00	2	0.00	0	0.00
PUBLIC INFORMATION ADMSTR	0	0.00	0	0.00	4	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	0	0.00	14	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	0	0.00	0	0.00	1	0.00	0	0.00
HUMAN RESOURCES MGR B1	0	0.00	0	0.00	4	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	0	0.00	4	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	20	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	0	0.00	25	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**100**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$100**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$100**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
IMPLEMENT FEDERAL GRANTS								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	20	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	22	0.00	0	0.00
COMP INFO TECHNOLOGY MGR I	0	0.00	0	0.00	49	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	29	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	60	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	36	0.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	0	0.00	32	0.00	0	0.00
INSURANCE REGULATORY MGR B2	0	0.00	0	0.00	45	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	0	0.00	254	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	0	0.00	0	0.00	167	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**714**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$714**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$714**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	26	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	88	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	208	0.00	0	0.00
OFFICE SERVICES ASST	0	0.00	0	0.00	23	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	61	0.00	0	0.00
ACCOUNTANT I	0	0.00	0	0.00	57	0.00	0	0.00
ACCOUNTING SPECIALIST I	0	0.00	0	0.00	32	0.00	0	0.00
ACCOUNTING ANAL II	0	0.00	0	0.00	30	0.00	0	0.00
BUDGET ANAL III	0	0.00	0	0.00	18	0.00	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	0	0.00	31	0.00	0	0.00
RESEARCH ANAL II	0	0.00	0	0.00	60	0.00	0	0.00
RESEARCH ANAL III	0	0.00	0	0.00	101	0.00	0	0.00
RESEARCH ANAL IV	0	0.00	0	0.00	129	0.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	0	0.00	22	0.00	0	0.00
PUBLIC INFORMATION ADMSTR	0	0.00	0	0.00	39	0.00	0	0.00
PLANNER I	0	0.00	0	0.00	29	0.00	0	0.00
PLANNER II	0	0.00	0	0.00	65	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	205	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	32	0.00	0	0.00
INSURANCE PRODUCT ANALYST II	0	0.00	0	0.00	168	0.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	0	0.00	96	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	0	0.00	0	0.00	212	0.00	0	0.00
WORKERS COMPENSATION SPEC	0	0.00	0	0.00	62	0.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	0	0.00	96	0.00	0	0.00
CONSUMER SERVICES SPEC I	0	0.00	0	0.00	25	0.00	0	0.00
CONSUMER SERVICES SPEC II	0	0.00	0	0.00	291	0.00	0	0.00
INSURANCE LICENSING TECH I	0	0.00	0	0.00	152	0.00	0	0.00
INSURANCE LICENSING TECH II	0	0.00	0	0.00	104	0.00	0	0.00
TAX AUDITOR I	0	0.00	0	0.00	57	0.00	0	0.00
TAX AUDITOR II	0	0.00	0	0.00	119	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	0	0.00	31	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	0	0.00	31	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Pay Plan FY13-Cost to Continue - 0000013								
FISCAL & ADMINISTRATIVE MGR B2	0	0.00	0	0.00	47	0.00	0	0.00
HUMAN RESOURCES MGR B1	0	0.00	0	0.00	32	0.00	0	0.00
INVESTIGATION MGR B1	0	0.00	0	0.00	43	0.00	0	0.00
INSURANCE REGULATORY MGR B1	0	0.00	0	0.00	122	0.00	0	0.00
INSURANCE REGULATORY MGR B2	0	0.00	0	0.00	84	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	0	0.00	210	0.00	0	0.00
PARALEGAL	0	0.00	0	0.00	27	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	157	0.00	0	0.00
SENIOR COUNSEL	0	0.00	0	0.00	352	0.00	0	0.00
M C EXAMINER II	0	0.00	0	0.00	18	0.00	0	0.00
M C EXAMINER III	0	0.00	0	0.00	114	0.00	0	0.00
MANAGER	0	0.00	0	0.00	49	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**3,955**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$3,955**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$3,955**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE EXAMINATIONS								
Pay Plan FY13-Cost to Continue - 0000013								
M C EXAMINER II	0	0.00	0	0.00	380	0.00	0	0.00
FINANCIAL EXAMINER II	0	0.00	0	0.00	136	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**516**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$516**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$516**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
CREDIT UNIONS								
Pay Plan FY13-Cost to Continue - 0000013								
COMMISSION MEMBER	0	0.00	0	0.00	15	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	17	0.00	0	0.00
EXECUTIVE II	0	0.00	0	0.00	40	0.00	0	0.00
FINANCIAL EXAM ASST II	0	0.00	0	0.00	42	0.00	0	0.00
FINANCIAL EXAMINER	0	0.00	0	0.00	250	0.00	0	0.00
SENIOR FINANCIAL EXAMINER	0	0.00	0	0.00	51	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**415**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$415**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$415**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	53	0.00	0	0.00
ADMINISTRATIVE SECRETARY	0	0.00	0	0.00	64	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	62	0.00	0	0.00
ACCOUNTANT II	0	0.00	0	0.00	32	0.00	0	0.00
ASSISTANT BANK EXAMINER	0	0.00	0	0.00	438	0.00	0	0.00
SENIOR ASSISTANT BANK EXAMINER	0	0.00	0	0.00	240	0.00	0	0.00
BANK EXAMINER	0	0.00	0	0.00	200	0.00	0	0.00
ASSIST TRUST EXAMINER	0	0.00	0	0.00	34	0.00	0	0.00
REPORT ANALYST	0	0.00	0	0.00	32	0.00	0	0.00
ASSISTANT BANK EXAMINER II	0	0.00	0	0.00	355	0.00	0	0.00
SENIOR ASST CONS. CREDIT EXAM	0	0.00	0	0.00	38	0.00	0	0.00
CONSUMER CREDIT EXAMINER	0	0.00	0	0.00	100	0.00	0	0.00
CONSUMER CREDIT SPECIALIST	0	0.00	0	0.00	37	0.00	0	0.00
SENIOR ASSISTANT EXAMINER II	0	0.00	0	0.00	390	0.00	0	0.00
BANK EXAMINER II	0	0.00	0	0.00	480	0.00	0	0.00
MORTGAGE LICENSING SPECIALIST	0	0.00	0	0.00	37	0.00	0	0.00
SR ASST CONS CREDIT EXAM II	0	0.00	0	0.00	41	0.00	0	0.00
CONSUMER CREDIT EXAMINER II	0	0.00	0	0.00	50	0.00	0	0.00
MORTGAGE LICENSING SPEC II	0	0.00	0	0.00	50	0.00	0	0.00
FISCAL AND ADMINISTRATIVE MNGR	0	0.00	0	0.00	41	0.00	0	0.00
COMMISSION MEMBER	0	0.00	0	0.00	2	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	4	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	0	0.00	154	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**2,934**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$2,934**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$2,934**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (CLERICAL)	0	0.00	0	0.00	20	0.00	0	0.00
SR OFC SUPPORT ASST (CLERICAL)	0	0.00	0	0.00	21	0.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	179	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	112	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	43	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	45	0.00	0	0.00
ACCOUNTANT II	0	0.00	0	0.00	32	0.00	0	0.00
BUDGET ANAL II	0	0.00	0	0.00	31	0.00	0	0.00
PERSONNEL OFCR I	0	0.00	0	0.00	43	0.00	0	0.00
RESEARCH ANAL II	0	0.00	0	0.00	29	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	87	0.00	0	0.00
EXECUTIVE II	0	0.00	0	0.00	31	0.00	0	0.00
PERSONNEL CLERK	0	0.00	0	0.00	24	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	128	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	39	0.00	0	0.00
GRAPHIC ARTS SPEC II	0	0.00	0	0.00	22	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	0	0.00	0	0.00	38	0.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	0	0.00	67	0.00	0	0.00
COMBATIVE SPORTS ADMINISTRATOR	0	0.00	0	0.00	44	0.00	0	0.00
INSPECTOR (PROF REGISTRATION)	0	0.00	0	0.00	259	0.00	0	0.00
INSP SUPV (PROF REGISTRATION)	0	0.00	0	0.00	26	0.00	0	0.00
FUNERAL ESTABLISHMENT INSP	0	0.00	0	0.00	34	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	138	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	295	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	0	0.00	96	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	0	0.00	141	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	153	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	84	0.00	0	0.00
STUDENT WORKER	0	0.00	0	0.00	7	0.00	0	0.00
CLERK	0	0.00	0	0.00	46	0.00	0	0.00
INSPECTOR	0	0.00	0	0.00	37	0.00	0	0.00

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
Pay Plan FY13-Cost to Continue - 0000013								
PRINCIPAL ASST BOARD/COMMISSON	0	0.00	0	0.00	350	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**2,701**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$2,701**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$2,701**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
STATE BOARD OF ACCOUNTANCY								
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	24	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	21	0.00	0	0.00
SENIOR AUDITOR	0	0.00	0	0.00	39	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	27	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	40	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	6	0.00	0	0.00
CLERK	0	0.00	0	0.00	17	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**174**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$174**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$174**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
ARCHITECTS, P.E. & LAND SURV.								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	21	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	23	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	23	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	30	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	34	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	21	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	77	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	24	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**253**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$253**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$253**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MISSOURI DENTAL BOARD								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	24	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	24	0.00	0	0.00
INVESTIGATOR I	0	0.00	0	0.00	30	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	35	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	42	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	32	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	0	0.00	31	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	31	0.00	0	0.00
CLERK	0	0.00	0	0.00	3	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	0	0.00	0	0.00	26	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**278**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$278**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$278**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF REG FOR THE HEALING ART								
Pay Plan FY13-Cost to Continue - 0000013								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	0	0.00	75	0.00	0	0.00
OFFICE SUPPORT ASST (STENO)	0	0.00	0	0.00	42	0.00	0	0.00
SR OFC SUPPORT ASST (STENO)	0	0.00	0	0.00	24	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	111	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	21	0.00	0	0.00
INFORMATION SUPPORT COOR	0	0.00	0	0.00	24	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	11	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	442	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	39	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	50	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	42	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	0	0.00	29	0.00	0	0.00
PROF REG ADMSTV COOR	0	0.00	0	0.00	32	0.00	0	0.00
INVESTIGATION MGR B1	0	0.00	0	0.00	45	0.00	0	0.00
PARALEGAL	0	0.00	0	0.00	25	0.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	48	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	14	0.00	0	0.00
CLERK	0	0.00	0	0.00	8	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**1,082**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$1,082**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$1,082**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF NURSING								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	42	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	43	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	29	0.00	0	0.00
REGISTERED NURSE VI	0	0.00	0	0.00	148	0.00	0	0.00
INVESTIGATOR I	0	0.00	0	0.00	27	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	125	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	44	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	96	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	23	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	0	0.00	28	0.00	0	0.00
PROF REG ADMSTV COOR	0	0.00	0	0.00	34	0.00	0	0.00
PARALEGAL	0	0.00	0	0.00	80	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	13	0.00	0	0.00
CLERK	0	0.00	0	0.00	11	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**743**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$743**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$743**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF PHARMACY								
Pay Plan FY13-Cost to Continue - 0000013								
EXECUTIVE I	0	0.00	0	0.00	31	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	40	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	45	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	14	0.00	0	0.00
CLERK	0	0.00	0	0.00	17	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**147**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$147**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$147**	**0.00**		**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO REAL ESTATE COMMISSION								
Pay Plan FY13-Cost to Continue - 0000013								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	21	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	25	0.00	0	0.00
ACCOUNT CLERK II	0	0.00	0	0.00	23	0.00	0	0.00
EXECUTIVE I	0	0.00	0	0.00	34	0.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	104	0.00	0	0.00
INVESTIGATOR III	0	0.00	0	0.00	38	0.00	0	0.00
PROF REG LIC TECH I	0	0.00	0	0.00	108	0.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	25	0.00	0	0.00
REAL ESTATE EXAMINER I	0	0.00	0	0.00	125	0.00	0	0.00
REAL ESTATE EXAMINER II	0	0.00	0	0.00	30	0.00	0	0.00
REAL ESTATE EXAMINER SUPV	0	0.00	0	0.00	42	0.00	0	0.00
REAL ESTATE EXAMINER FIELD SPV	0	0.00	0	0.00	69	0.00	0	0.00
REAL ESTATE EDUCATION SPEC	0	0.00	0	0.00	29	0.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	13	0.00	0	0.00
CLERK	0	0.00	0	0.00	4	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**690**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$690**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$690**	**0.00**		**0.00**

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DIFP

DECISION ITEM SUMMARY

Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION								
CORE								
PERSONAL SERVICES								
DIFP ADMINISTRATIVE	146,512	2.45	138,845	4.82	138,845	4.82	0	0.00
TOTAL - PS	146,512	2.45	138,845	4.82	138,845	4.82	0	0.00
EXPENSE & EQUIPMENT								
DIFP ADMINISTRATIVE	19,878	0.00	40,674	0.00	40,674	0.00	0	0.00
TOTAL - EE	19,878	0.00	40,674	0.00	40,674	0.00	0	0.00
TOTAL	**166,390**	**2.45**	**179,519**	**4.82**	**179,519**	**4.82**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DIFP ADMINISTRATIVE	0	0.00	0	0.00	100	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	100	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**100**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$166,390**	**2.45**	**$179,519**	**4.82**	**$179,619**	**4.82**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37502C

Core - Department Administration

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	138,845	138,845
EE	0	0	40,674	40,674
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	179,519	179,519
FTE	0.00	0.00	4.82	4.82
Est. Fringe	*0*	*0*	*71,380*	*71,380*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: DIFP Administrative Fund (0503)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

Appropriation supports a portion of department administration staff providing department-wide direction and assistance to all divisions within the department through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department-wide issues such as policy and procedure development and setting department objectives.

3. PROGRAM LISTING (list programs included in this core funding)

Department Administration

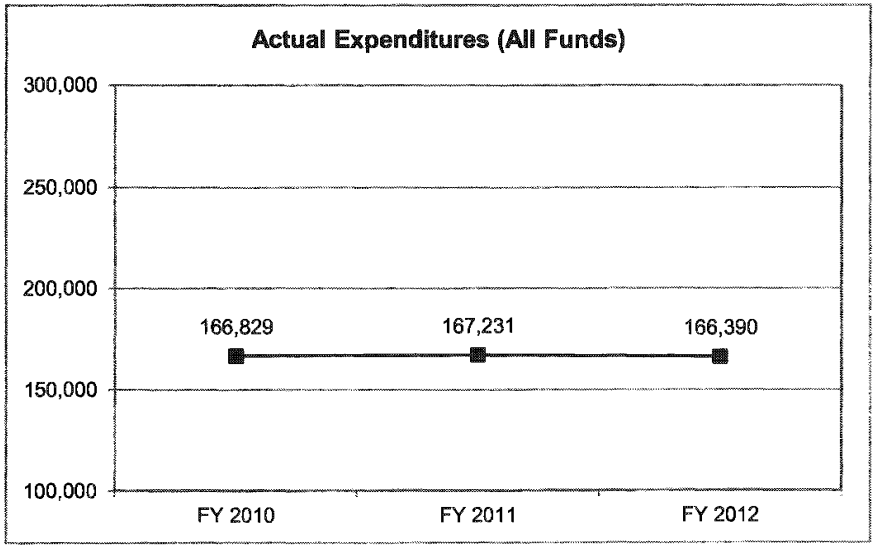
CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37502C

Core - Department Administration

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	195,278	195,278	190,000	179,519
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	195,278	195,278	190,000	N/A
Actual Expenditures (All Funds)	166,829	167,231	166,390	N/A
Unexpended (All Funds)	28,449	28,047	23,610	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	28,449	28,047	23,610	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
(2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
(3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

DIFP
DEPT ADMINISTRATION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	4.82	0	0	138,845	138,845	
	EE	0.00	0	0	40,674	40,674	
	Total	**4.82**	**0**	**0**	**179,519**	**179,519**	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation 1485 3652	PS	0.00	0	0	0	(0)	
NET DEPARTMENT CHANGES		**0.00**	**0**	**0**	**0**	**(0)**	
DEPARTMENT CORE REQUEST							
	PS	4.82	0	0	138,845	138,845	
	EE	0.00	0	0	40,674	40,674	
	Total	**4.82**	**0**	**0**	**179,519**	**179,519**	
GOVERNOR'S RECOMMENDED CORE							
	PS	4.82	0	0	138,845	138,845	
	EE	0.00	0	0	40,674	40,674	
	Total	**4.82**	**0**	**0**	**179,519**	**179,519**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	1,085	0.05	0	0.00
ACCOUNTANT I	2,919	0.09	2,093	0.06	3,321	0.10	0	0.00
ACCOUNTING SPECIALIST I	1,898	0.05	1,235	0.03	1,936	0.05	0	0.00
ACCOUNTING ANAL I	0	0.00	1,559	0.05	0	0.00	0	0.00
ACCOUNTING ANAL II	1,857	0.05	0	0.00	1,902	0.05	0	0.00
BUDGET ANAL III	21,672	0.50	22,087	0.50	8,842	0.20	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	5,102	0.12	0	0.00	0	0.00
PERSONNEL ANAL I	909	0.03	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	2,481	0.07	0	0.00	1,832	0.05	0	0.00
PUBLIC INFORMATION SPEC I	1,559	0.05	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	1,160	0.03	3,015	0.10	3,667	0.10	0	0.00
PUBLIC INFORMATION ADMSTR	4,808	0.10	4,704	0.09	2,452	0.05	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	8,700	0.17	16,956	0.31	11,016	0.20	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	2,859	0.05	1,740	0.03	2,974	0.05	0	0.00
HUMAN RESOURCES MGR B1	7,163	0.15	5,408	0.12	4,871	0.10	0	0.00
STATE DEPARTMENT DIRECTOR	24,000	0.20	7,386	0.09	24,000	0.20	0	0.00
DEPUTY STATE DEPT DIRECTOR	16,500	0.15	0	0.00	22,000	0.20	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	29,005	0.50	0	0.00	23,152	0.45	0	0.00
DIVISION DIRECTOR	18,552	0.25	8,191	0.09	19,500	0.25	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	4,319	0.09	0	0.00	0	0.00
LEGAL COUNSEL	470	0.01	23,959	0.58	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	31,091	2.56	6,295	2.72	0	0.00
TOTAL - PS	**146,512**	**2.45**	**138,845**	**4.82**	**138,845**	**4.82**	**0**	**0.00**
TRAVEL, IN-STATE	168	0.00	2,999	0.00	1,999	0.00	0	0.00
TRAVEL, OUT-OF-STATE	243	0.00	950	0.00	950	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1,001	0.00	0	0.00
SUPPLIES	13,094	0.00	18,050	0.00	18,050	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	2,092	0.00	6,175	0.00	5,175	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,982	0.00	6,000	0.00	4,000	0.00	0	0.00
PROFESSIONAL SERVICES	428	0.00	2,498	0.00	2,498	0.00	0	0.00
M&R SERVICES	60	0.00	1	0.00	1	0.00	0	0.00
COMPUTER EQUIPMENT	3	0.00	0	0.00	1,000	0.00	0	0.00

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION								
CORE								
OFFICE EQUIPMENT	1,504	0.00	2,500	0.00	2,500	0.00	0	0.00
OTHER EQUIPMENT	261	0.00	0	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	0	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	43	0.00	1,500	0.00	1,500	0.00	0	0.00
TOTAL - EE	**19,878**	**0.00**	**40,674**	**0.00**	**40,674**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$166,390**	**2.45**	**$179,519**	**4.82**	**$179,519**	**4.82**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$166,390**	**2.45**	**$179,519**	**4.82**	**$179,519**	**4.82**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Department Administration
Program is found in the following core budget(s): Department Administration

1. What does this program do?

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

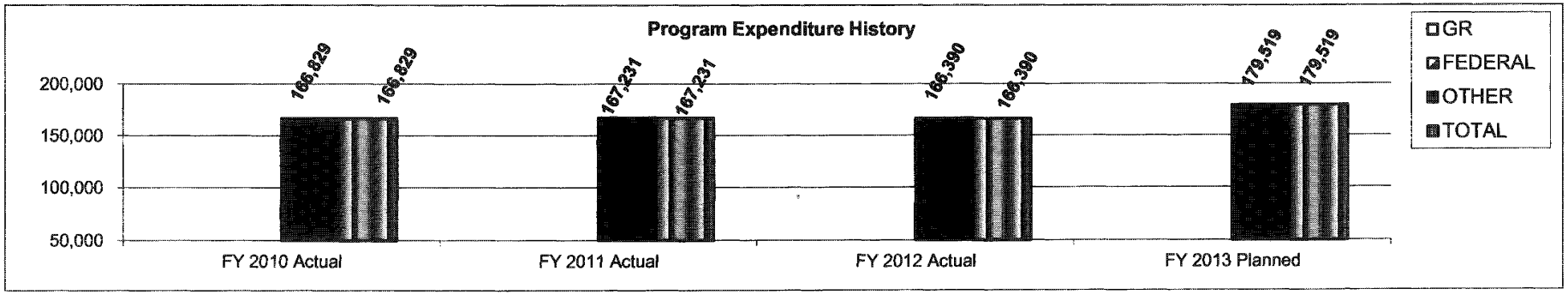
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

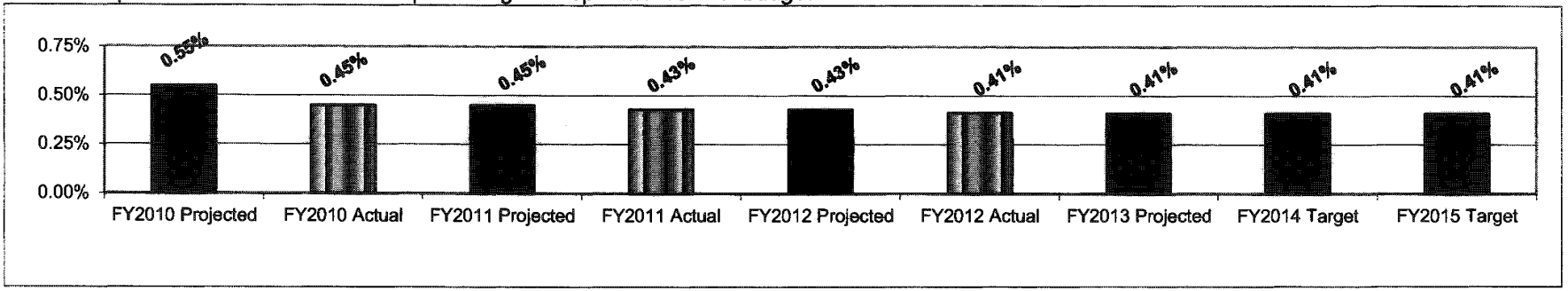
DIFP Administrative Fund (0503)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Department Administration
Program is found in the following core budget(s): Department Administration

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
Cost of Department Administration as a percentage of department's total budget.



7c. Provide the number of clients/individuals served, if applicable.

Insurance	226.50 FTE
Finance	118.15 FTE
Credit Unions	15.50 FTE
Professional Registration	223.00 FTE
TOTAL	583.15 FTE

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
DIVISION OF CREDIT UNIONS	27,333	0.00	40,000	0.00	40,000	0.00	0	0.00
DIVISION OF FINANCE	81,409	0.00	125,000	0.00	125,000	0.00	0	0.00
INSURANCE DEDICATED FUND	11,681	0.00	35,000	0.00	35,000	0.00	0	0.00
PROFESSIONAL REGISTRATION FEES	159,333	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - TRF	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00
TOTAL	**279,756**	**0.00**	**400,000**	**0.00**	**400,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$279,756**	**0.00**	**$400,000**	**0.00**	**$400,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37503C

Core - Department Administration Transfer

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	400,000	400,000
Total	**0**	**0**	**400,000**	**400,000**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fee Fund (0689), Insurance Dedicated Fund (0566)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

3. PROGRAM LISTING (list programs included in this core funding)

Department Administration Transfer

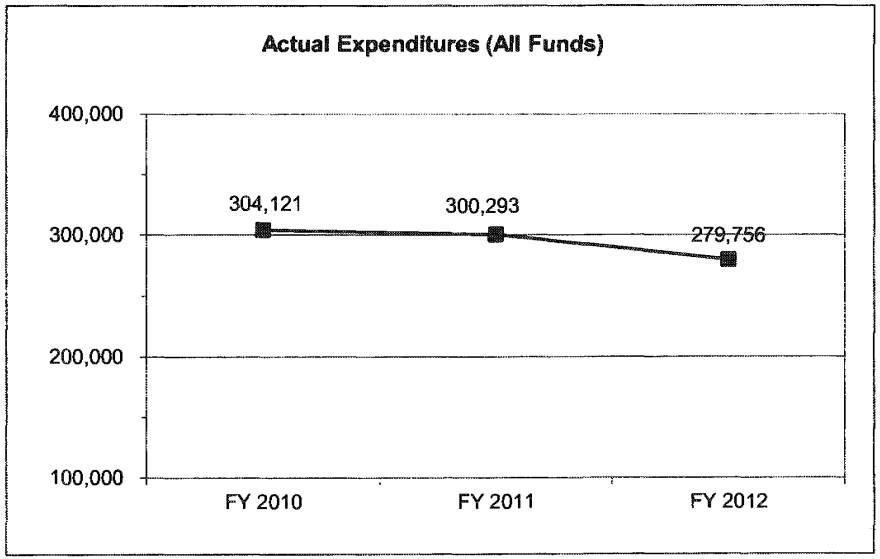
CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37503C

Core - Department Administration Transfer

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	364,970	315,517	339,802	400,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	364,970	315,517	339,802	N/A
Actual Expenditures (All Funds)	304,121	300,293	279,756	N/A
Unexpended (All Funds)	60,849	15,224	60,046	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	60,849	15,224	60,046	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Original appropriation of $257,151 E was increased by $107,819.
(2) Original appropriation of $257,151 E was increased by $58,366.
(3) Original appropriation of $257,151 E was increased by $82,651.

CORE RECONCILIATION DETAIL

DIFP
DEPT ADMINISTRATION TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	400,000	400,000	
	Total	**0.00**	**0**	**0**	**400,000**	**400,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	400,000	400,000	
	Total	**0.00**	**0**	**0**	**400,000**	**400,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	400,000	400,000	
	Total	**0.00**	**0**	**0**	**400,000**	**400,000**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	279,756	0.00	400,000	0.00	400,000	0.00	0	0.00
TOTAL - TRF	**279,756**	**0.00**	**400,000**	**0.00**	**400,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$279,756**	**0.00**	**$400,000**	**0.00**	**$400,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$279,756**	**0.00**	**$400,000**	**0.00**	**$400,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Department Administration Transfer
Program is found in the following core budget(s): Transfers to Department Administration

1. What does this program do?

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

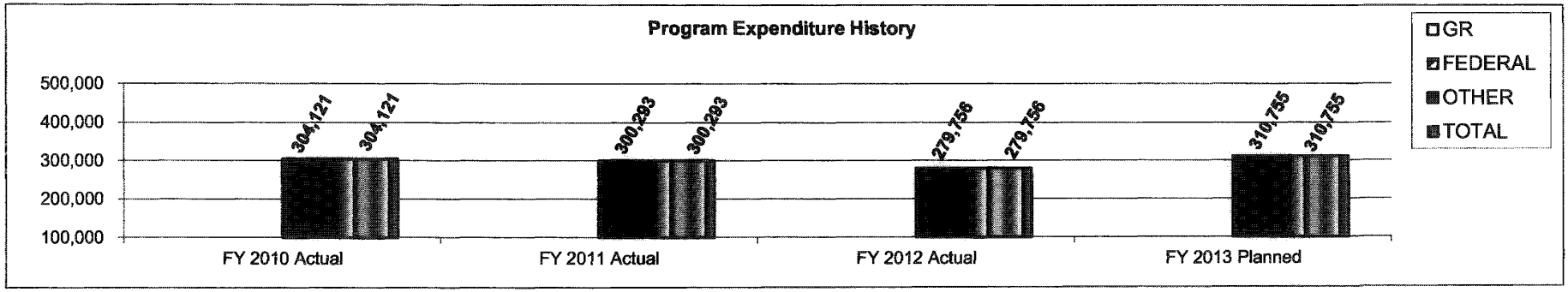
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
IMPLEMENT FEDERAL GRANTS								
CORE								
PERSONAL SERVICES								
FEDERAL - MDI	309,978	8.39	985,854	21.00	985,854	21.00	0	0.00
TOTAL - PS	309,978	8.39	985,854	21.00	985,854	21.00	0	0.00
EXPENSE & EQUIPMENT								
FEDERAL - MDI	54,008	0.00	430,944	0.00	430,944	0.00	0	0.00
TOTAL - EE	54,008	0.00	430,944	0.00	430,944	0.00	0	0.00
TOTAL	**363,986**	**8.39**	**1,416,798**	**21.00**	**1,416,798**	**21.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
FEDERAL - MDI	0	0.00	0	0.00	714	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	714	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**714**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$363,986**	**8.39**	**$1,416,798**	**21.00**	**$1,417,512**	**21.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37506C

Core - Implement Federal Grants

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	985,854	0	985,854
EE	0	430,944	0	430,944
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	1,416,798	0	1,416,798
FTE	0.00	21.00	0.00	21.00
Est. Fringe	*0*	*506,828*	*0*	*506,828*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The department has received grants through the federal Department of Health and Human Services. The grants are for extending, enhancing and increasing resources to the department's current consumer assistance program. The funding allows the department to assist Missouri consumers with health coverage questions, provide consumer education and outreach activities, and assist consumers with finding and enrolling in health care plans best suited for their needs.

3. PROGRAM LISTING (list programs included in this core funding)

Implement Federal Grants

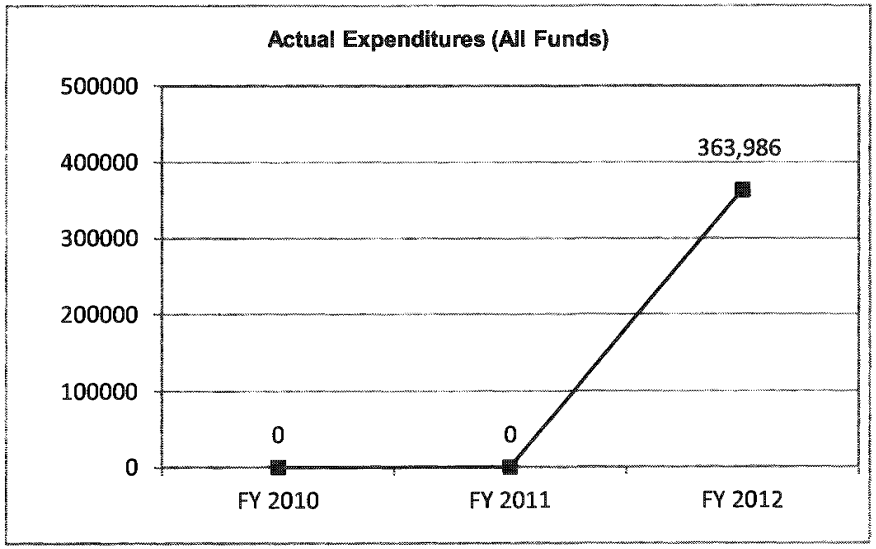
CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37506C

Core - Implement Federal Grants

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	0	0	2,412,803	1,416,798
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	0	2,412,803	N/A
Actual Expenditures (All Funds)	0	0	363,986	N/A
Unexpended (All Funds)	0	0	2,048,817	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	2,048,817 (1)	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) This is a new program beginning in FY2012.

CORE RECONCILIATION DETAIL

DIFP

IMPLEMENT FEDERAL GRANTS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	21.00	0	985,854	0	985,854	
	EE	0.00	0	430,944	0	430,944	
	Total	**21.00**	**0**	**1,416,798**	**0**	**1,416,798**	
DEPARTMENT CORE REQUEST							
	PS	21.00	0	985,854	0	985,854	
	EE	0.00	0	430,944	0	430,944	
	Total	**21.00**	**0**	**1,416,798**	**0**	**1,416,798**	
GOVERNOR'S RECOMMENDED CORE							
	PS	21.00	0	985,854	0	985,854	
	EE	0.00	0	430,944	0	430,944	
	Total	**21.00**	**0**	**1,416,798**	**0**	**1,416,798**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
IMPLEMENT FEDERAL GRANTS								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	25,047	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	17,877	0.70	27,085	1.00	20,331	0.75	0	0.00
COMP INFO TECHNOLOGY MGR I	0	0.00	59,774	1.00	0	0.00	0	0.00
ACCOUNTING ANAL II	134	0.00	0	0.00	0	0.00	0	0.00
RESEARCH ANAL III	385	0.01	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	0	0.00	8,768	0.25	0	0.00
EXECUTIVE I	0	0.00	35,671	1.00	35,671	1.00	0	0.00
REGISTERED NURSE III	0	0.00	0	0.00	60,000	1.00	0	0.00
INVESTIGATOR I	15,386	0.49	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	26,146	0.75	73,282	2.00	146,564	4.00	0	0.00
INVESTIGATOR III	0	0.00	43,442	1.00	43,442	1.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	38,696	1.00	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	1,136	0.02	0	0.00	5,664	0.10	0	0.00
INVESTIGATION MGR B1	0	0.00	0	0.00	5,500	0.10	0	0.00
INSURANCE REGULATORY MGR B2	1,056	0.02	54,525	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	2,053	0.03	0	0.00	8,000	0.10	0	0.00
DESIGNATED PRINCIPAL ASST DIV	1,400	0.03	0	0.00	0	0.00	0	0.00
LEGAL COUNSEL	252	0.00	0	0.00	5,500	0.10	0	0.00
SENIOR COUNSEL	619	0.01	0	0.00	0	0.00	0	0.00
ACTUARY	3,718	0.03	114,000	1.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	310,499	6.00	218,241	3.10	0	0.00
CONSUMER COMPLAINT SPEC I	2,881	0.08	0	0.00	0	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	154,370	4.20	203,833	5.00	203,833	5.00	0	0.00
HEALTH BENEFIT ADVISOR I	15,189	0.45	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR II	31,470	0.88	0	0.00	62,300	1.50	0	0.00
HEALTH BENEFIT ADVISOR III	0	0.00	0	0.00	102,040	2.00	0	0.00
M C EXAMINER II	138	0.00	0	0.00	0	0.00	0	0.00
MANAGER	35,768	0.69	0	0.00	60,000	1.00	0	0.00
TOTAL - PS	**309,978**	**8.39**	**985,854**	**21.00**	**985,854**	**21.00**	**0**	**0.00**
TRAVEL, IN-STATE	1,102	0.00	57,569	0.00	56,069	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,096	0.00	0	0.00	2,500	0.00	0	0.00
SUPPLIES	0	0.00	14,880	0.00	14,880	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
IMPLEMENT FEDERAL GRANTS								
CORE								
PROFESSIONAL DEVELOPMENT	0	0.00	6,880	0.00	6,880	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	21,780	0.00	21,780	0.00	0	0.00
PROFESSIONAL SERVICES	50,810	0.00	295,010	0.00	293,010	0.00	0	0.00
COMPUTER EQUIPMENT	0	0.00	0	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	34,825	0.00	34,825	0.00	0	0.00
TOTAL - EE	**54,008**	**0.00**	**430,944**	**0.00**	**430,944**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$363,986**	**8.39**	**$1,416,798**	**21.00**	**$1,416,798**	**21.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$363,986**	**8.39**	**$1,416,798**	**21.00**	**$1,416,798**	**21.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Implement Federal Grants
Program is found in the following core budget(s): Implement Federal Grants

1. What does this program do?

The department has received grants through the federal Department of Health and Human Services. The grants are for extending, enhancing and increasing resources to the department's current consumer assistance program. The funding allows the department to assist Missouri consumers with health coverage questions, provide consumer education and outreach activities, and assist consumers with finding and enrolling in health care plans best suited for their needs.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

CA-CAP-12-002, CA-CAP-12-003, CFDA 93.519

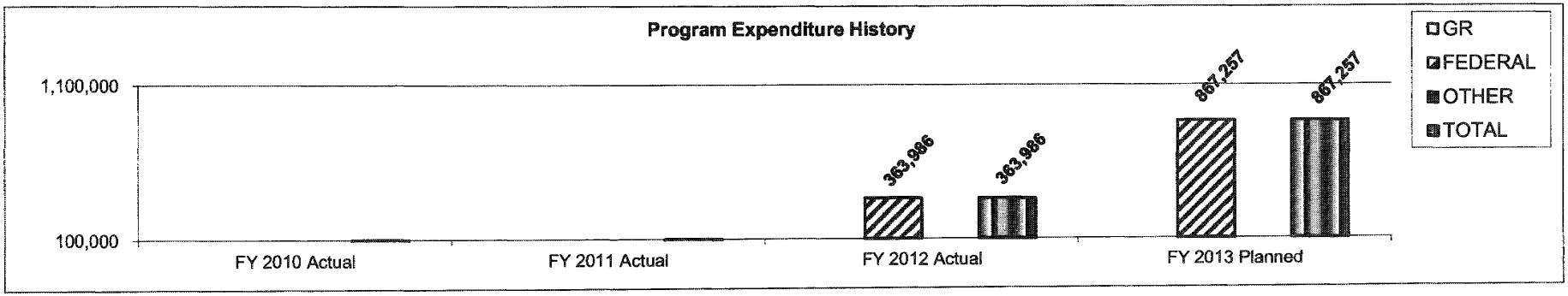
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Not applicable.

PROGRAM DESCRIPTION

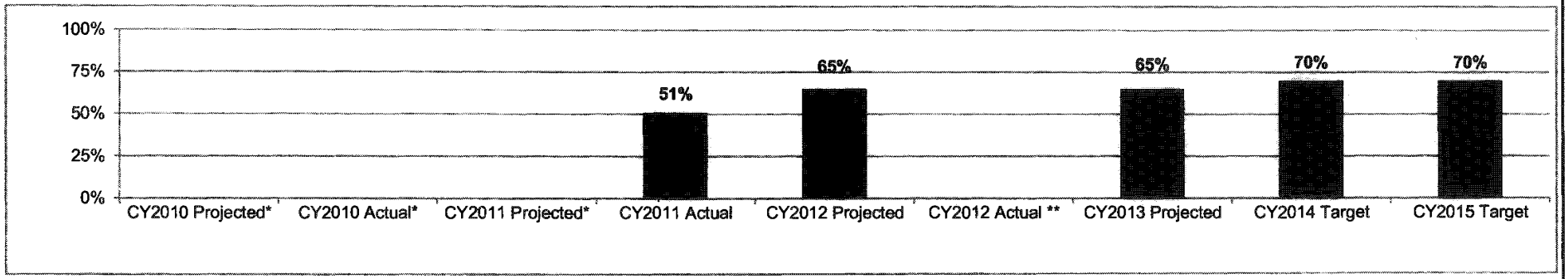
Department of Insurance, Financial Institutions and Professional Registration
Implement Federal Grants
Program is found in the following core budget(s): Implement Federal Grants

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

Percent of consumer complaints regarding health insurance closed in less than 60 days.



* New program beginning in FY2012.

**Calendar year information will be provided with the Governor's Recommendations.

7c. Provide the number of clients/individuals served, if applicable.

	CY2012 Proj.	CY2012 Actual*	CY2013 Proj.	CY2014 Target	CY2015 Target
Complaints	4,000		4,000	4,000	4,000
Consumer Education Contacts	1,200		1,200	1,200	1,200

*Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FEDERAL GRANT TRANSFER								
CORE								
FUND TRANSFERS								
FEDERAL - MDI	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL	**140,083**	**0.00**	**150,000**	**0.00**	**150,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$140,083**	**0.00**	**$150,000**	**0.00**	**$150,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37507C

Core - Federal Grant Transfer

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	150,000	0	150,000
Total	**0**	**150,000**	**0**	**150,000**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants.

3. PROGRAM LISTING (list programs included in this core funding)

Federal Grant Transfer

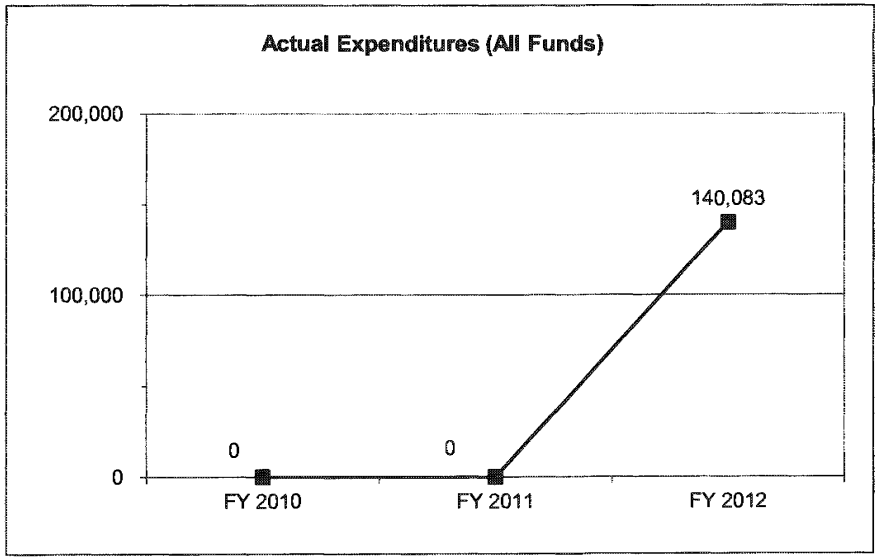
CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37507C

Core - Federal Grant Transfer

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	0	0	140,084	150,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	0	140,084	N/A
Actual Expenditures (All Funds)	0	0	140,083	N/A
Unexpended (All Funds)	0	0	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	1 (1)	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) This is a new program beginning in FY2012.

CORE RECONCILIATION DETAIL

DIFP

FEDERAL GRANT TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	150,000	0	150,000	
	Total	**0.00**	**0**	**150,000**	**0**	**150,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	150,000	0	150,000	
	Total	**0.00**	**0**	**150,000**	**0**	**150,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	150,000	0	150,000	
	Total	**0.00**	**0**	**150,000**	**0**	**150,000**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FEDERAL GRANT TRANSFER								
CORE								
TRANSFERS OUT	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	140,083	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	$140,083	0.00	$150,000	0.00	$150,000	0.00	$0	0.00
GENERAL REVENUE	$0	0.00	$0	0.00	$0	0.00		0.00
FEDERAL FUNDS	$140,083	0.00	$150,000	0.00	$150,000	0.00		0.00
OTHER FUNDS	$0	0.00	$0	0.00	$0	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Federal Grant Transfer
Program is found in the following core budget(s): Federal Grant Transfer

1. What does this program do?

This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Dependent on federal grant recieved.

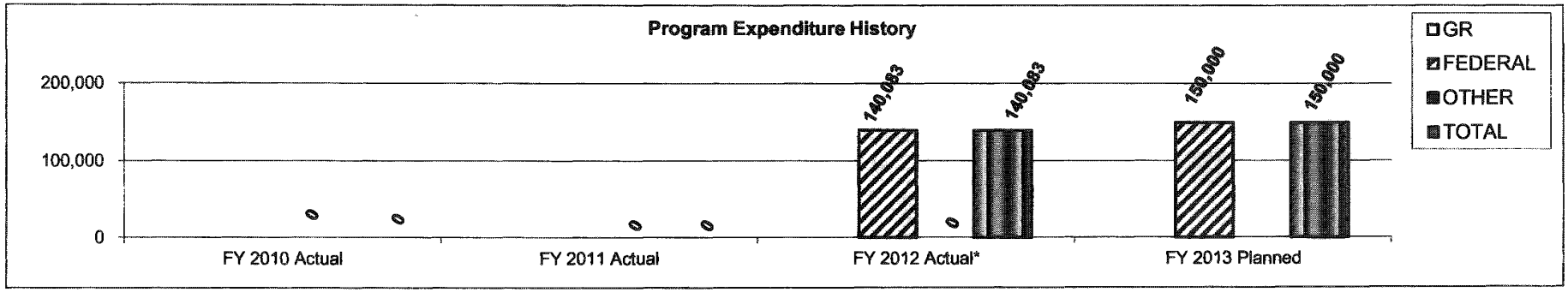
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



*Expenditures began in FY2012.

6. What are the sources of the "Other " funds?

Not applicable.

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	6,302,629	132.35	6,962,668	154.36	6,962,668	154.36	0	0.00
TOTAL - PS	6,302,629	132.35	6,962,668	154.36	6,962,668	154.36	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	985,602	0.00	1,906,429	0.00	1,906,429	0.00	0	0.00
TOTAL - EE	985,602	0.00	1,906,429	0.00	1,906,429	0.00	0	0.00
PROGRAM-SPECIFIC								
CONSUMER RESTITUTION FUND	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL	**7,288,231**	**132.35**	**8,874,097**	**154.36**	**8,874,097**	**154.36**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	3,955	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	3,955	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**3,955**	**0.00**	**0**	**0.00**
Implementation SB 749 (2012) - 1375001								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	153,712	4.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	153,712	4.00	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	63,380	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	63,380	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**217,092**	**4.00**	**0**	**0.00**
Implementation SB 132 (2011) - 1375002								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	36,672	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	36,672	1.00	0	0.00

Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Implementation SB 132 (2011) - 1375002								
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	15,845	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	15,845	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**52,517**	**1.00**	**0**	**0.00**
Captive Industry Growth - 1375003								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	43,356	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	43,356	1.00	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	15,845	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	15,845	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**59,201**	**1.00**	**0**	**0.00**
GRAND TOTAL	**$7,288,231**	**132.35**	**$8,874,097**	**154.36**	**$9,206,862**	**160.36**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37501C
Insurance
Core - Insurance Operations

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	6,962,668	6,962,668
EE	0	0	1,906,429	1,906,429
PSD	0	0	5,000	5,000
TRF	0	0	0	0
Total	0	0	8,874,097	8,874,097
FTE	0.00	0.00	154.36	154.36
Est. Fringe	*0*	*0*	*3,579,508*	*3,579,508*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)
Consumer Restitution Fund (0792)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 129,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over $203 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 28,000 consumers each year through a statewide toll-free hotline and the department's website and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

3. PROGRAM LISTING (list programs included in this core funding)

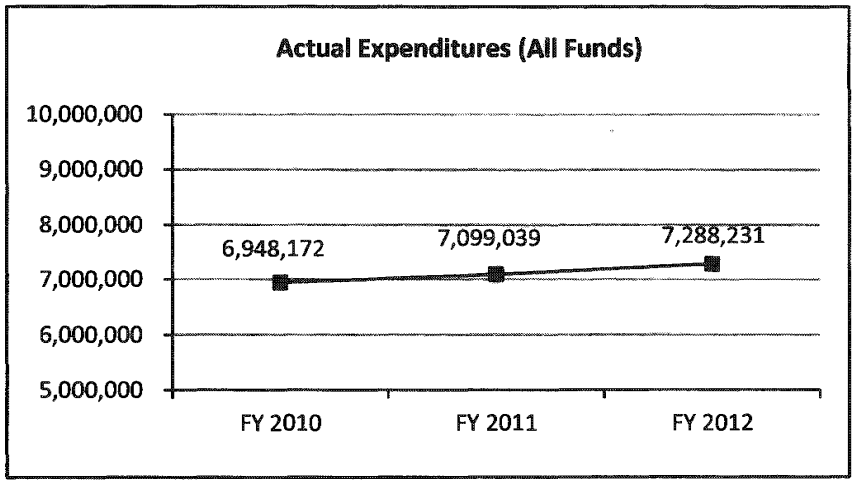
Director's Office
Insurance Consumer Affairs Division
Insurance Company Regulation Division
Insurance Market Regulation Division
Administration Division
Insurance Consumer Restitution Fund

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37501C
Insurance
Core - Insurance Operations

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	8,922,792	8,920,437	9,046,925	8,874,097
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	8,922,792	8,920,437	9,046,925	N/A
Actual Expenditures (All Funds)	6,948,172	7,099,039	7,288,231	N/A
Unexpended (All Funds)	1,974,620	1,821,398	1,758,694	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,974,620	1,821,398	1,758,694	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.
(2) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.
(3) Unexpended amount is due to staff vacancies and less then anticipated expense and equipment spending.

DIFP
INSURANCE OPERATIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	154.36	0	0	6,962,668	6,962,668	
	EE	0.00	0	0	1,906,429	1,906,429	
	PD	0.00	0	0	5,000	5,000	
	Total	**154.36**	**0**	**0**	**8,874,097**	**8,874,097**	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation 1499 9907	PS	(0.00)	0	0	0	0	
NET DEPARTMENT CHANGES		**(0.00)**	**0**	**0**	**0**	**0**	
DEPARTMENT CORE REQUEST							
	PS	154.36	0	0	6,962,668	6,962,668	
	EE	0.00	0	0	1,906,429	1,906,429	
	PD	0.00	0	0	5,000	5,000	
	Total	**154.36**	**0**	**0**	**8,874,097**	**8,874,097**	
GOVERNOR'S RECOMMENDED CORE							
	PS	154.36	0	0	6,962,668	6,962,668	
	EE	0.00	0	0	1,906,429	1,906,429	
	PD	0.00	0	0	5,000	5,000	
	Total	**154.36**	**0**	**0**	**8,874,097**	**8,874,097**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
CORE								
OFFICE SUPPORT ASST (CLERICAL)	21,708	1.00	0	0.00	22,142	1.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	31,716	1.00	32,321	1.00	32,321	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	52,313	2.40	107,630	5.50	107,630	5.50	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	182,976	7.00	254,288	11.00	251,781	11.00	0	0.00
OFFICE SERVICES ASST	26,350	0.92	28,189	1.00	30,696	1.00	0	0.00
ACCOUNT CLERK II	59,475	2.26	74,192	3.05	74,192	3.05	0	0.00
ACCOUNTANT I	55,456	1.70	69,963	1.94	96,003	2.90	0	0.00
ACCOUNTING SPECIALIST I	36,070	0.95	38,932	0.97	36,788	0.95	0	0.00
ACCOUNTING ANAL II	35,277	0.95	36,110	0.95	36,110	0.95	0	0.00
BUDGET ANAL III	21,672	0.50	22,087	0.50	35,366	0.80	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	38,264	0.90	0	0.00	0	0.00
PERSONNEL ANAL I	8,184	0.26	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	22,331	0.64	0	0.00	34,838	0.95	0	0.00
RESEARCH ANAL I	13,394	0.46	0	0.00	0	0.00	0	0.00
RESEARCH ANAL II	34,644	1.00	73,836	2.00	70,680	2.00	0	0.00
RESEARCH ANAL III	85,356	2.06	123,377	3.00	85,140	2.00	0	0.00
RESEARCH ANAL IV	60,324	1.00	157,505	3.00	61,536	1.00	0	0.00
PUBLIC INFORMATION SPEC I	14,029	0.45	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	10,442	0.30	27,132	0.90	33,005	0.90	0	0.00
PUBLIC INFORMATION ADMSTR	43,276	0.90	47,573	0.91	46,592	0.95	0	0.00
PLANNER I	21,830	0.52	35,300	1.00	31,300	1.00	0	0.00
PLANNER II	38,700	1.00	78,886	2.00	39,480	1.00	0	0.00
INVESTIGATOR I	202	0.01	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	279,066	7.69	250,447	7.00	326,606	9.00	0	0.00
INVESTIGATOR III	38,570	1.00	39,442	1.00	37,344	1.00	0	0.00
INSURANCE PRODUCT ANALYST I	27,856	0.96	0	0.00	0	0.00	0	0.00
INSURANCE PRODUCT ANALYST II	150,821	4.59	205,441	8.00	199,860	8.00	0	0.00
INSURANCE PRODUCT ANALYST III	72,933	2.08	117,864	3.00	109,392	3.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	195,123	4.63	258,981	6.00	215,616	6.00	0	0.00
WORKERS COMPENSATION SPEC	76,317	2.00	75,997	2.00	78,204	2.00	0	0.00
INSURANCE FINANCIAL ANALYST II	111,372	3.00	117,869	3.00	113,604	3.00	0	0.00
CONSUMER SERVICES SPEC I	56,989	1.94	30,111	1.00	0	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
CORE								
CONSUMER SERVICES SPEC II	148,150	4.29	356,460	10.00	233,913	7.00	0	0.00
INSURANCE LICENSING TECH I	142,520	6.02	186,118	8.00	123,098	6.71	0	0.00
INSURANCE LICENSING TECH II	114,624	4.00	127,609	6.00	109,359	5.00	0	0.00
TAX AUDITOR I	28,356	0.85	69,443	2.00	0	0.00	0	0.00
TAX AUDITOR II	157,391	4.09	145,575	4.00	195,300	5.00	0	0.00
PROF REG LICENSING/CERT SUPV	33,420	1.00	37,378	1.00	34,092	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	34,800	0.67	38,234	0.69	44,064	0.80	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	54,324	0.93	57,659	0.97	56,511	0.95	0	0.00
HUMAN RESOURCES MGR B1	40,589	0.85	39,654	0.88	43,836	0.90	0	0.00
INVESTIGATION MGR B1	47,174	1.00	52,404	1.00	48,118	1.00	0	0.00
INSURANCE REGULATORY MGR B1	92,918	2.00	149,263	3.00	94,776	2.00	0	0.00
INSURANCE REGULATORY MGR B2	99,995	1.98	103,169	2.00	103,169	2.00	0	0.00
STATE DEPARTMENT DIRECTOR	96,000	0.80	72,116	0.91	96,000	0.80	0	0.00
DEPUTY STATE DEPT DIRECTOR	93,500	0.85	0	0.00	88,000	0.80	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	144,863	2.54	0	0.00	184,529	3.55	0	0.00
DIVISION DIRECTOR	176,118	2.20	352,741	3.91	338,306	3.75	0	0.00
DESIGNATED PRINCIPAL ASST DIV	268,490	5.12	256,692	5.00	256,692	5.00	0	0.00
PARALEGAL	29,870	1.00	32,714	1.00	30,468	1.00	0	0.00
LEGAL COUNSEL	239,351	4.38	191,667	4.00	230,955	5.00	0	0.00
CHIEF COUNSEL	75,450	1.00	91,006	0.88	75,450	1.00	0	0.00
SENIOR COUNSEL	289,824	4.92	430,701	7.00	367,501	6.00	0	0.00
ACTUARY	233,392	1.73	242,413	2.00	216,423	2.00	0	0.00
MISCELLANEOUS PROFESSIONAL	30,920	1.37	0	0.00	0	0.00	0	0.00
INVESTIGATIVE CONSULTANT	56,673	1.01	0	0.00	56,160	1.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	165,017	1.74	189,686	2.00	189,686	2.00	0	0.00
CHIEF FINANCIAL EXAMINER	104,674	1.00	106,459	1.00	104,674	1.00	0	0.00
CONSUMER COMPLAINT SPEC I	2,766	0.08	0	0.00	0	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	7,048	0.20	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR I	129	0.00	0	0.00	0	0.00	0	0.00
HEALTH BENEFIT ADVISOR II	1,486	0.04	0	0.00	0	0.00	0	0.00
CHIEF MARKET CONDUCT EXAM	93,600	0.99	94,693	1.00	94,693	1.00	0	0.00
M C EXAMINER II	86,234	1.81	22,265	0.32	0	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
CORE								
M C EXAMINER III	159,179	2.35	225,986	3.23	287,283	4.90	0	0.00
EXAMINER-IN-CHARGE MC	10,335	0.12	217,021	2.45	217,021	2.45	0	0.00
AUDIT MANAGER-MARKET CONDUCT	170,276	1.99	183,374	2.00	183,374	2.00	0	0.00
FINANCIAL EXAMINER I	2,566	0.06	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER II	110,171	1.93	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	446,783	5.98	374,119	5.10	374,119	5.10	0	0.00
EXAMINER-IN-CHARGE FINANCIAL	180,343	2.02	35,642	0.40	124,746	1.40	0	0.00
REINSURANCE EXAMINER	80,672	1.00	78,672	1.00	80,672	1.00	0	0.00
MANAGER	67,866	1.27	59,998	1.00	73,454	1.30	0	0.00
TOTAL - PS	**6,302,629**	**132.35**	**6,962,668**	**154.36**	**6,962,668**	**154.36**	**0**	**0.00**
TRAVEL, IN-STATE	93,011	0.00	147,089	0.00	147,089	0.00	0	0.00
TRAVEL, OUT-OF-STATE	49,599	0.00	353,509	0.00	126,448	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1,001	0.00	0	0.00
SUPPLIES	226,375	0.00	226,939	0.00	250,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	118,372	0.00	208,811	0.00	208,811	0.00	0	0.00
COMMUNICATION SERV & SUPP	104,596	0.00	168,450	0.00	168,450	0.00	0	0.00
PROFESSIONAL SERVICES	254,861	0.00	425,082	0.00	625,082	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	254	0.00	1	0.00	1,001	0.00	0	0.00
M&R SERVICES	6,400	0.00	73,545	0.00	73,545	0.00	0	0.00
COMPUTER EQUIPMENT	12	0.00	0	0.00	1,000	0.00	0	0.00
OFFICE EQUIPMENT	90,113	0.00	105,498	0.00	105,498	0.00	0	0.00
OTHER EQUIPMENT	1,815	0.00	15,000	0.00	15,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	28,855	0.00	135,001	0.00	135,001	0.00	0	0.00
BUILDING LEASE PAYMENTS	6,909	0.00	25,001	0.00	25,001	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,920	0.00	17,501	0.00	17,501	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,510	0.00	5,000	0.00	5,000	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	1	0.00	1,001	0.00	0	0.00
TOTAL - EE	**985,602**	**0.00**	**1,906,429**	**0.00**	**1,906,429**	**0.00**	**0**	**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
CORE								
PROGRAM DISTRIBUTIONS	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	0	0.00
GRAND TOTAL	$7,288,231	132.35	$8,874,097	154.36	$8,874,097	154.36	$0	0.00
GENERAL REVENUE	$0	0.00	$0	0.00	$0	0.00		0.00
FEDERAL FUNDS	$0	0.00	$0	0.00	$0	0.00		0.00
OTHER FUNDS	$7,288,231	132.35	$8,874,097	154.36	$8,874,097	154.36		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Director's Office
Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statutes: Chapters 325, 354 and 374-385 RSMo.

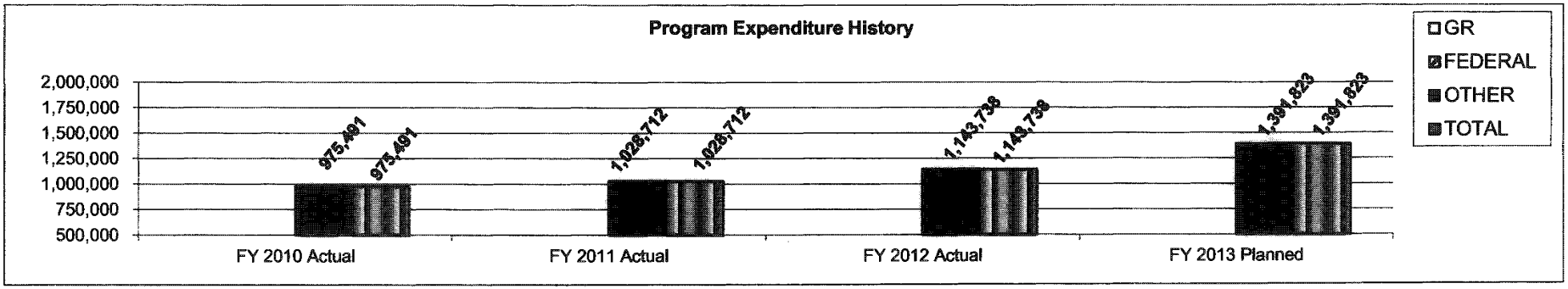
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

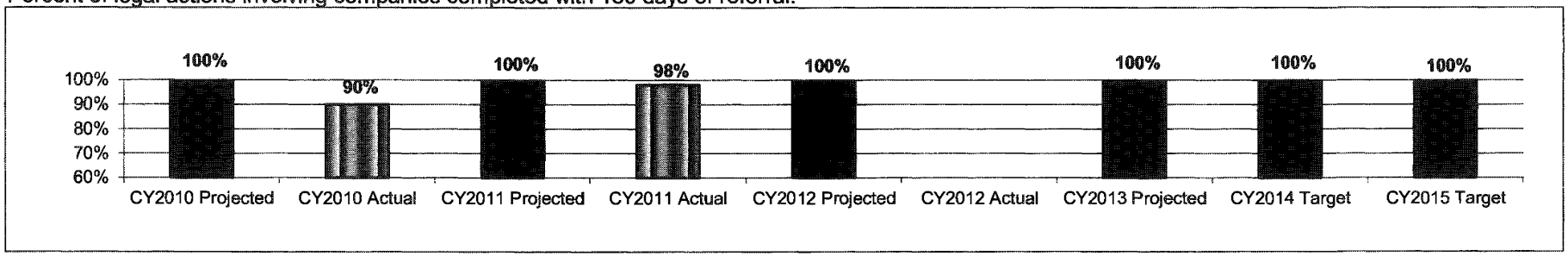
Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Director's Office
Program is found in the following core budget(s): Insurance Operations

7a. Provide an effectiveness measure.

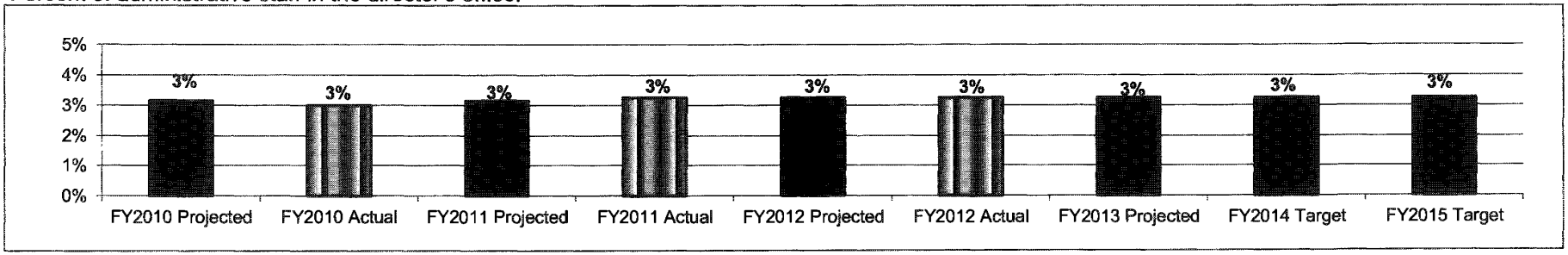
Percent of legal actions involving companies completed with 180 days of referral.



*Calendar year information will be provided with the Governor's Recommendations.

7b. Provide an efficiency measure.

Percent of administrative staff in the director's office.



7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Consumer Affairs Division
Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents and public adjusters. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.085 RSMo.

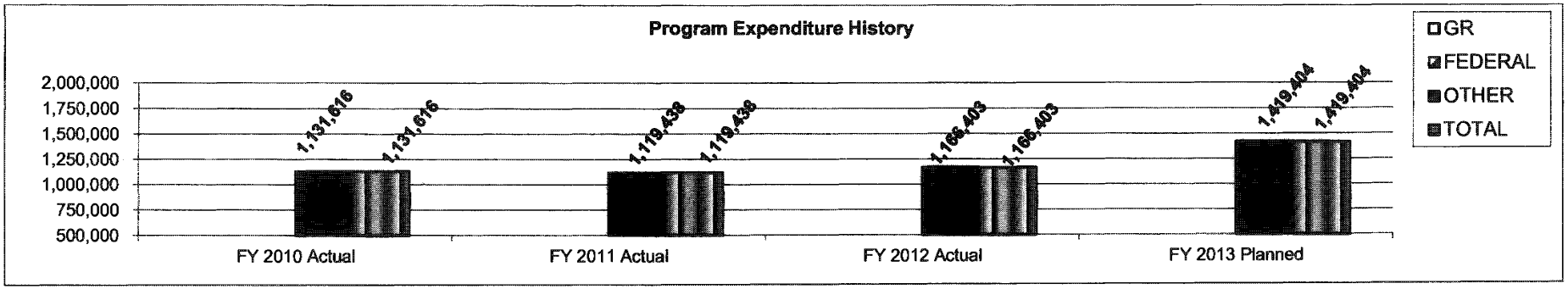
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



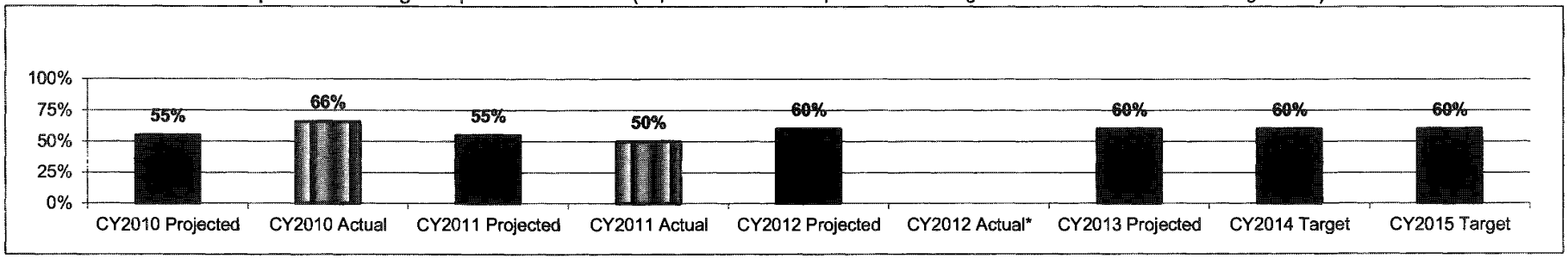
6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

Department of Insurance, Financial Institutions and Professional Registration
Consumer Affairs Division
Program is found in the following core budget(s): Insurance Operations

7a. Provide an effectiveness measure.

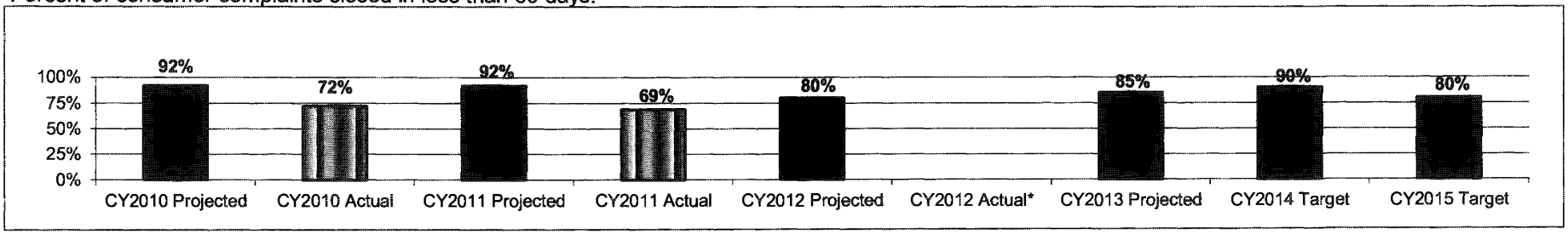
Percent of consumer complaints resulting in a positive outcome (required insurance companies and their agents to follow state statutes and regulations).



*Calendar year information will be provided with the Governor's Recommendations.

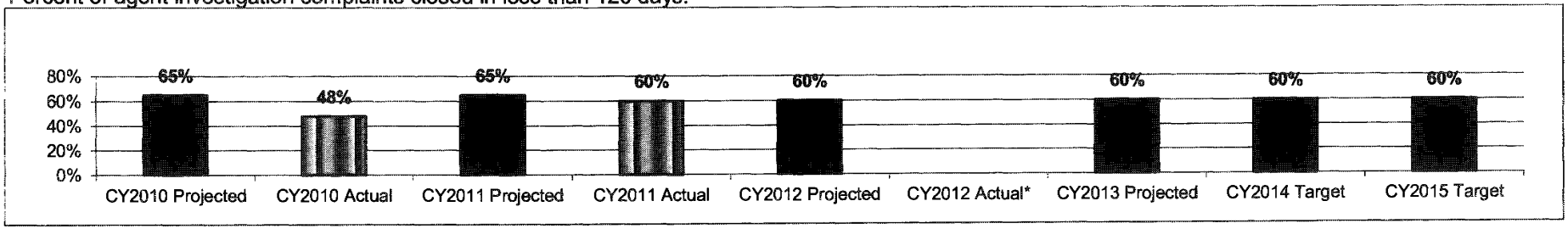
7b. Provide an efficiency measure.

Percent of consumer complaints closed in less than 60 days.



*Calendar year information will be provided with the Governor's Recommendations.

Percent of agent investigation complaints closed in less than 120 days.



*Calendar year information will be provided with the Governor's Recommendations.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Consumer Affairs Division
Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual***	Projected	Target	Target
Consumer Complaints	4,000	3,025	4,000	2,205	4,000		4,000	4,000	4,000
Agent Investigations	1,000	1,016	1,100	798	1,100		1,150	1,200	1,200
Consumer Phone Calls*	28,000	20,634	16,000	16,878	20,000		21,000	22,000	22,000
Written Inquiries**	3,800	3,459	3,800	8,570	3,800		3,800	3,800	3,800
Walk-ins	100	53	100	980	100		100	100	100

* Decrease estimates for CY2011, CY2012 and CY2013 due to change in computer systems used to track call volume. Historically, every call including those related to a case was logged; now this figure reflects calls for new issues or complaints only.

** Increase in written inquiries and walk-ins was due to the natural disasters which occurred in Missouri in 2011. Extensive consumer outreach was conducted in several communities across the state and the Joplin Resource and Recovery Center was opened and manned by DIFP Consumer Affairs staff.

***Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 2013 PLANNED		
	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,665,910	2,246,566	4,912,476
TOTAL	2,665,910	2,246,566	4,912,476

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

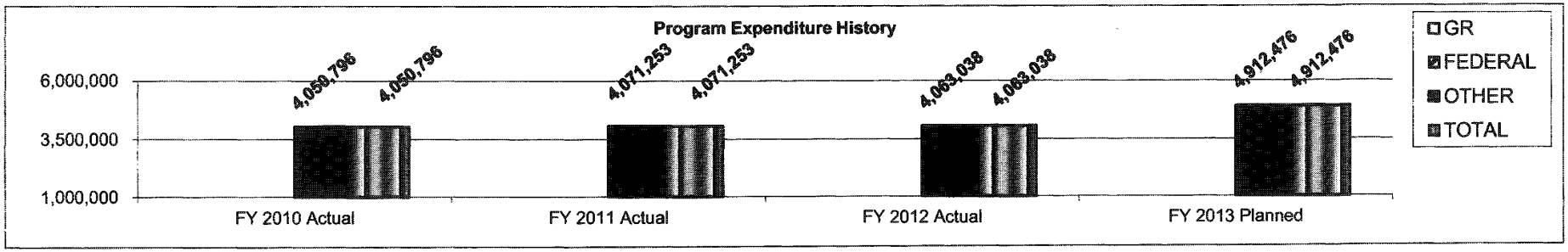
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

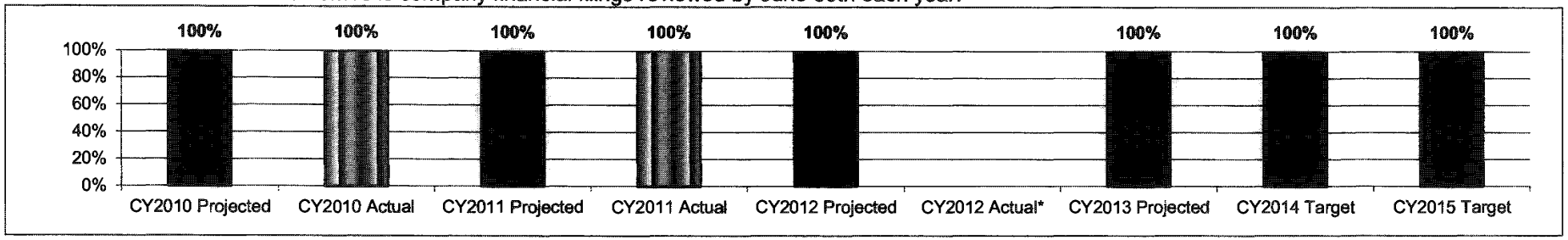
Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

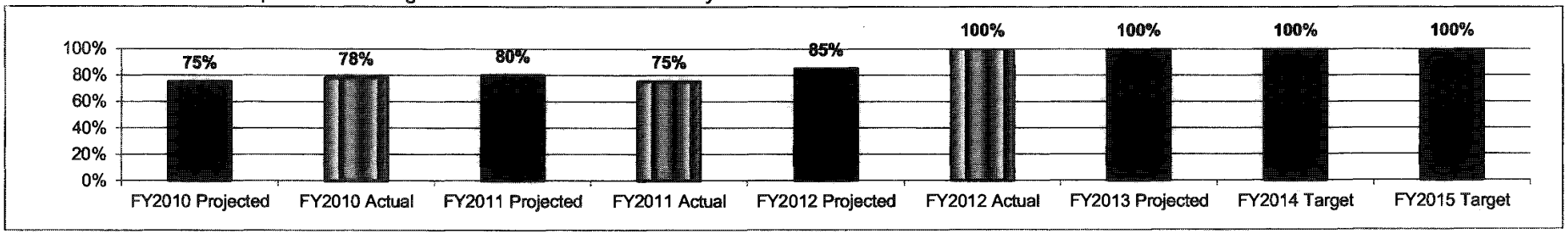
7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



*Calendar year information will be provided with the Governor's Recommendations.

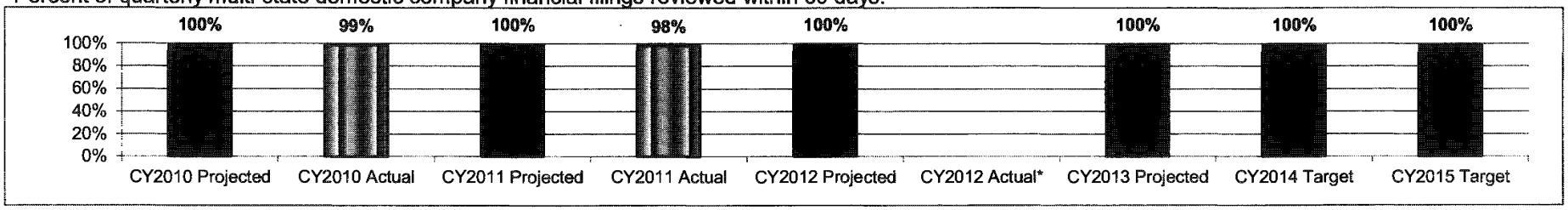
Percent of domestic companies receiving a financial exam within a five-year timeframe.



Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure.

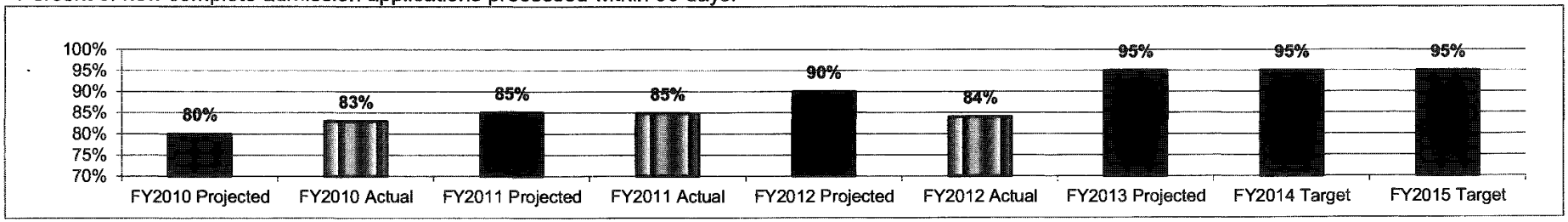
Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



*Calendar year information will be provided with the Governor's Recommendations.

7b. Provide an efficiency measure. (cont.)

Percent of new complete admission applications processed within 90 days.



7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Number of Domestic Companies	220	210	210	209	210		210	210	210
Number of Licensed Companies	1,850	1,837	1,830	1,900	1,830		1,830	1,830	1,900
Number of Surplus Lines Brokers	1,300	1,458	1,300	1,546	1,300		1,300	1,300	1,589
Surplus Lines Tax Collected	23 mil	23 mil	23 mil	22.4 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	200 mil	235 mil	200 mil	203.6 mil	210 mil		210 mil	210 mil	210 mil

*Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 2013 PLANNED		
	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,047,995	1,770,866	3,818,861
TOTAL	2,047,995	1,770,866	3,818,861

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

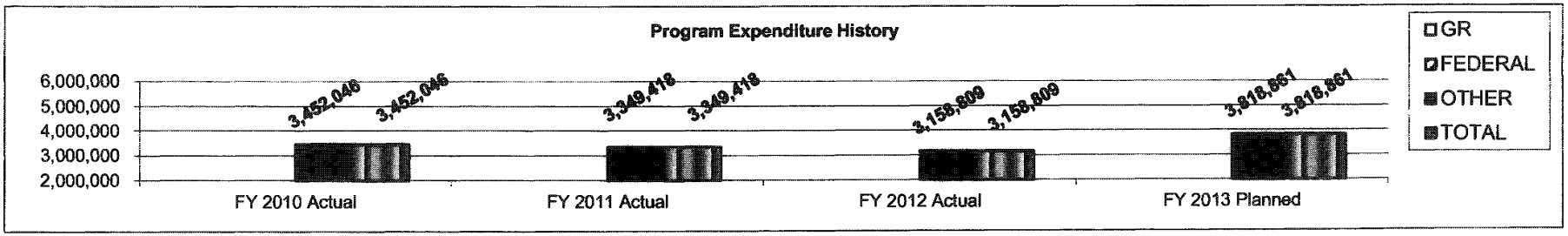
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



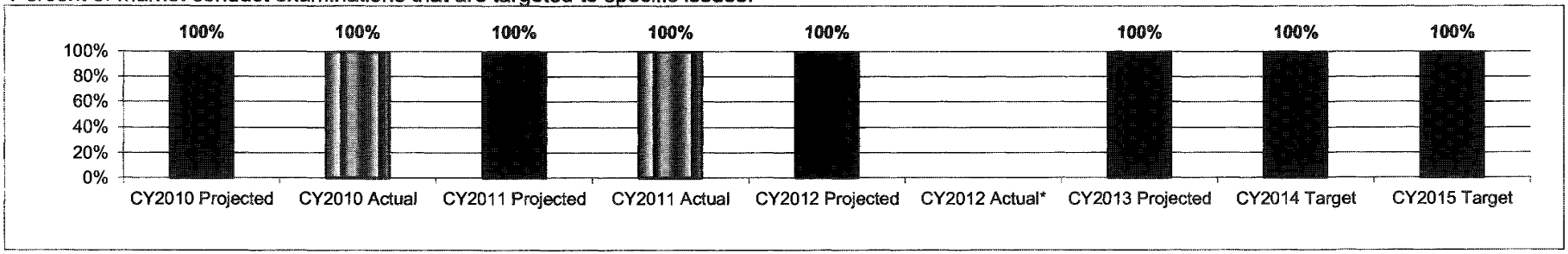
Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

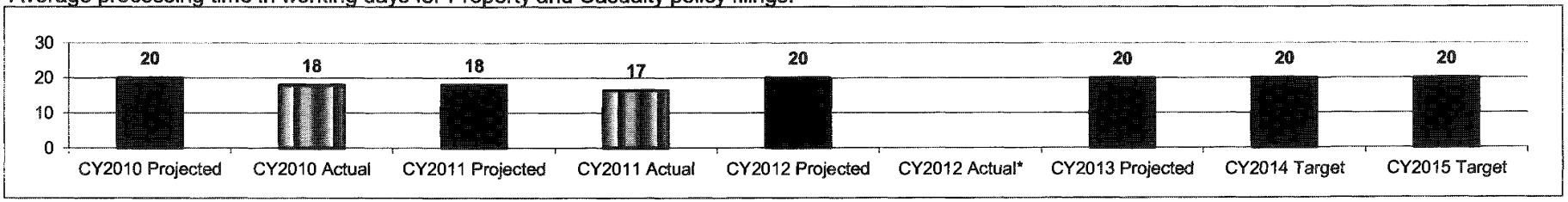
Percent of market conduct examinations that are targeted to specific issues.



*Calendar year information will be provided with the Governor's Recommendations.

7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.

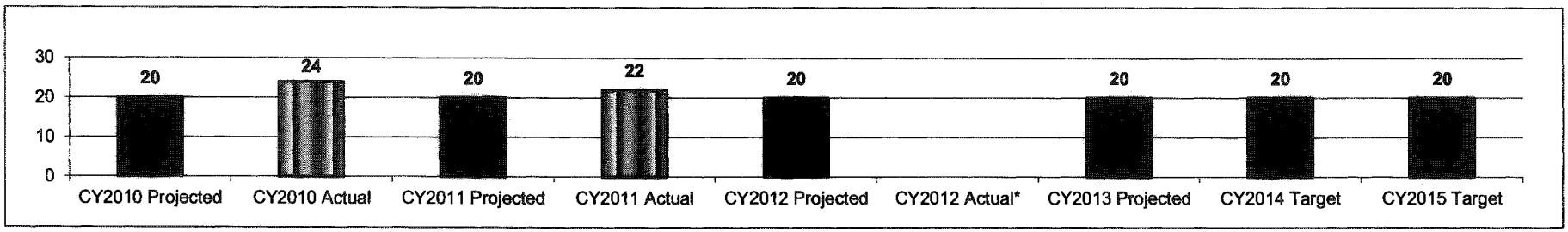


*Calendar year information will be provided with the Governor's Recommendations.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



*Calendar year information will be provided with the Governor's Recommendations.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National Association of Insurance Commissioners (NAIC) "Speed to Market Initiative".

7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
P&C filings received	6,000	5,339	5,400	5,402	6,100		5,700	5,700	5,500
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

*Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.
None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Administration Division
Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers and producers, and portable electronics insurance providers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 325, 374, 375, 384 RSMo.
State Constitution: Article IX Section 7 (state school fund deposits).

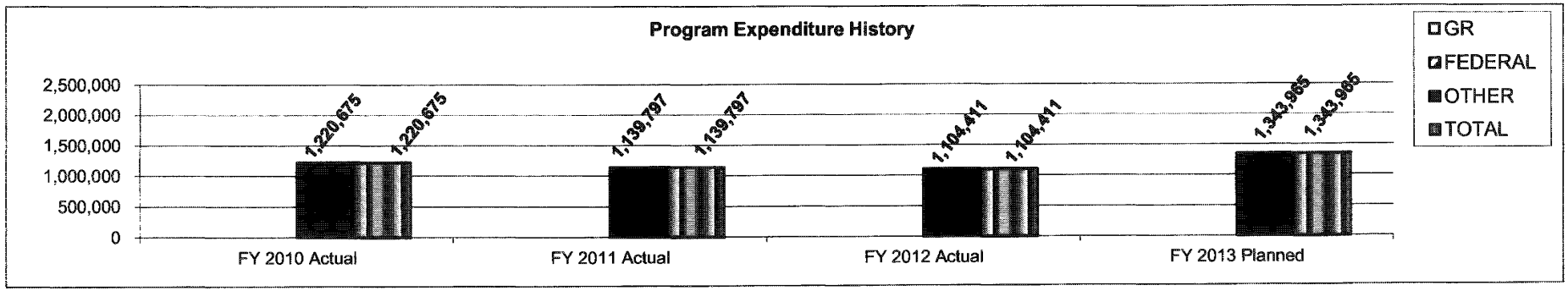
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



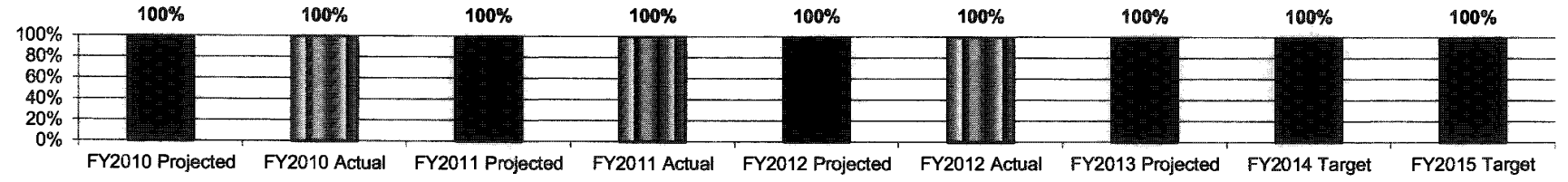
6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

Department of Insurance, Financial Institutions and Professional Registration
Administration Division
Program is found in the following core budget(s): Insurance Operations

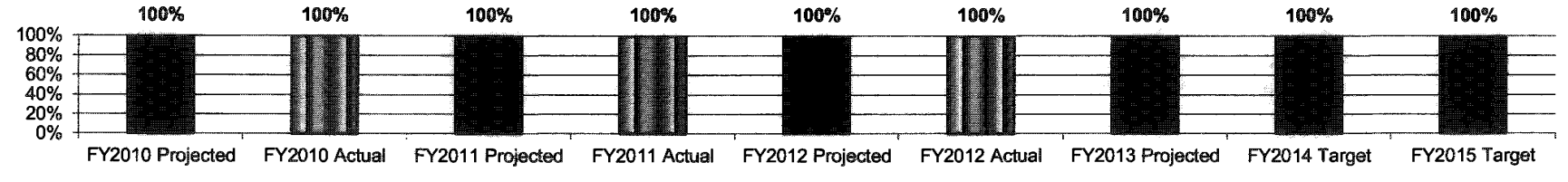
7a. Provide an effectiveness measure.

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days.

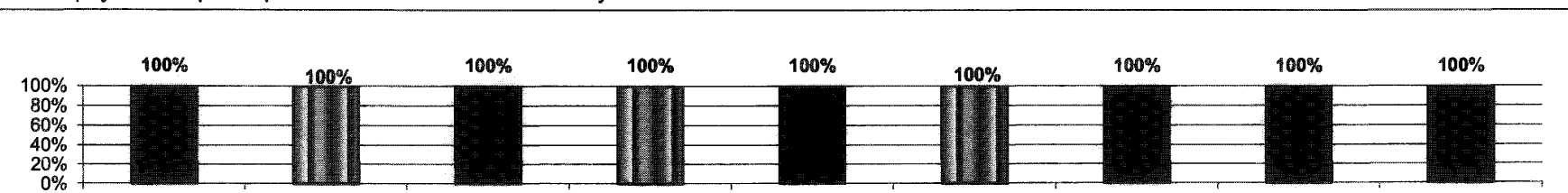


7b. Provide an efficiency measure.

Percent of phone calls regarding licensing issues returned within 24 hours.



Percent of payment requests processed within 10 business days.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Administration Division
Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
New licensing applications	25,000	19,860	22,000	22,720	22,000	30,710	31,000	31,000	31,000
Renewal licensing applications	40,000	43,440	40,000	42,126	40,000	46,991	40,000	40,000	43,000
Certification/clearance letters	300	250	200	254	250	256	250	250	250
Inquiries to licensing	53,000	73,975	65,000	43,334	43,000	41,503	43,000	43,000	40,000
Number of checks processed*	55,000	45,977	45,500	32,296	32,000	31,924	32,000	32,000	30,000
Number of EFTs processed*		44,476	45,000	59,736	65,000	63,845	65,000	65,000	65,000
Number of payments processed	2,500	2,006	2,000	2,224	2,500	2,059	2,500	2,500	2,200

*Reduction in checks processed in FY2010 is a result of SERFF EFT being implemented. EFTs were not estimated or accepted prior to FY2010.

7d. Provide a customer satisfaction measure, if available.
None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Consumer Restitution Fund
Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Restitution Fund was established for the purpose of preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.048 RSMo.

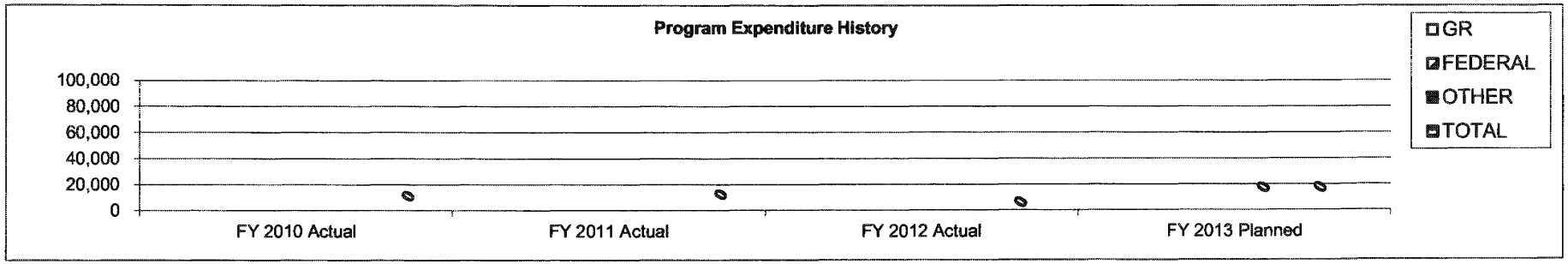
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Consumer Restitution Fund (0792)

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

NEW DECISION ITEM
RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit**	37501C
Insurance - Insurance Operations		
Implementation of SB 749 (2012)	**DI# 1375001**	

1. AMOUNT OF REQUEST

	FY 2014 Budget Request					FY 2014 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	153,712	153,712	**PS**	0	0	0	0
EE	0	0	63,380	63,380	**EE**	0	0	0	0
PSD	0	0	0	0	**PSD**	0	0	0	0
TRF	0	0	0	0	**TRF**	0	0	0	0
Total	**0**	**0**	**217,092**	**217,092**	**Total**	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**4.00**	**4.00**	**FTE**	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*79,023*	*79,023*	***Est. Fringe***	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

X	New Legislation		New Program		Fund Switch
	Federal Mandate		Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

NEW DECISION ITEM
RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Implementation of SB 749 (2012)	**DI# 1375001**

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department is seeing substantially higher filing volumes from the health insurance industry. The number of Missourians covered under state regulated individual health insurance products has increased by 39% since 2001; premium volume has increased by 255%. Due to the passage of Senate Bill 749, there will be an additional increase of health insurance policy form filings. Currently, insurance companies can make filings with variable provisions, meaning the provisions can be included or excluded based upon an insurance consumer's choice. Any combination of variable provisions in an insurance form can produce hundreds of different policy forms. The passage of Senate Bill 749 contains provisions which allow for civil suits to be filed against "a government entity, public official, or entity acting in a governmental capacity by any person or entity or group of persons or entities", for any persons aggrieved by a violation of Section 191.724. Section 191.724 provides that no person, employer or employee may be compelled to have health insurance coverage for abortions, sterilization or contraception. Due to the liability provisions in Senate Bill 749 for government agencies and employees, the complexity of insurance policy forms, and the need to determine that policies comply with Missouri law, the Department will have to limit the amount of variability in policy form filings to ensure compliance with Senate Bill 749. The Department estimates an additional 2,260 filings in a year, for the roughly 452 companies with an active license to write Accident and Health Insurance Coverage.

The Department's authority and/or requirement to review and approve all policy forms for group and individual health insurance policies issued or delivered in the State of Missouri can be found in Section 376.405 and 376.777 RSMo.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

In discussions with insurance companies, the Department has been advised any combination of variable provisions in a policy form can represent hundreds of different policies, in their final form. There are approximately 452 insurance companies with an active license to write Accident and Health Insurance. Only a portion of those companies would write policies subject to the provisions of Senate Bill 749. The Division anticipates an additional 2,260 filings each year. Each full-time analyst reviews approximately 500 policy form filings each year. This additional filing volume will necessitate the addition of at least three (3) new Insurance Product Analysts to fully implement the provisions of Senate Bill 749 and maintain the current workloads and review times. With the Department's exposure to civil liability, the Division anticipates the need for increased legal services necessitating the hiring of one additional attorney--a Senior Legal Counsel. Fiscal note response stated FTE and expenses could be needed.

NEW DECISION ITEM
RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration		**Budget Unit**	37501C
Insurance - Insurance Operations			
Implementation of SB 749 (2012)	**DI# 1375001**		

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/007576 / Ins Product Analyst II					98,712	3.0	98,712	3.0	
100/009735 / Sr. Legal Counsel					55,000	1.0	55,000	1.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**153,712**	**4.0**	**153,712**	**4.0**	**0**
580/Office Equipment					31,780		31,780		31,780
340/Communication Expenses					27,296		27,296		
320/Professional Development					1,648		1,648		
190/Office Supplies					2,656		2,656		
Total EE	**0**		**0**		**63,380**		**63,380**		**31,780**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**217,092**	**4.0**	**217,092**	**4.0**	**31,780**

NEW DECISION ITEM
RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration				Budget Unit 37501C					
Insurance - Insurance Operations									
Implementation of SB 749 (2012)		DI# 1375001							
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

NEW DECISION ITEM
RANK: 5 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Implementation of SB 749 (2012)	**DI# 1375001**

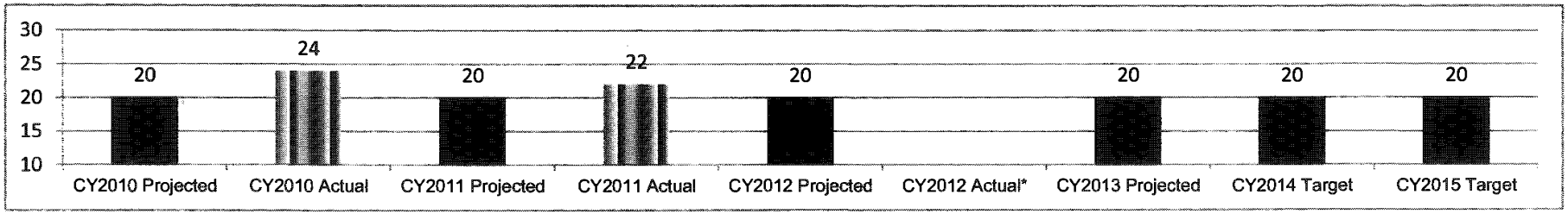
6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Not yet available.

6b. Provide an efficiency measure.

Average Processing time for Life and Health policy filings



*Calendar year information will be provided with the Governor's Recommendations.

6c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

*Calendar year information will be provided with the Governor's Recommendations.

6d. Provide a customer satisfaction measure, if available.

Not yet available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The Life and Health Section strives to maintain a 20 day turnaround time for form filing reviews for insurance industry Speed to Market initiatives. This is 20 days from receipt of the filing to either the first objection generated to the filing insurance company or to the conclusion of the filing review. The Life and Health Section will treat these additional filings as other filings and will work to achieve and maintain a 20 day review turnaround time.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Implementation SB 749 (2012) - 1375001								
INSURANCE PRODUCT ANALYST II	0	0.00	0	0.00	98,712	3.00	0	0.00
SENIOR COUNSEL	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**153,712**	**4.00**	**0**	**0.00**
SUPPLIES	0	0.00	0	0.00	2,656	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	1,648	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	27,296	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	31,780	0.00	0	0.00
TOTAL - EE	**0**	**0.00**	**0**	**0.00**	**63,380**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$217,092**	**4.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$217,092**	**4.00**		**0.00**

NEW DECISION ITEM
RANK: 6 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit**	37501C
Insurance - Insurance Operations		
Implementation of Senate Bill 132 (2011)	**DI# 1375002**	

1. AMOUNT OF REQUEST

	FY 2014 Budget Request				FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	36,672	36,672	PS	0	0	0	0
EE	0	0	15,845	15,845	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**52,517**	**52,517**	**Total**	**0**	**0**	**0**	**0**
FTE	0.00	0.00	1.00	1.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*18,853*	*18,853*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

X	New Legislation		New Program		Fund Switch
	Federal Mandate		Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Senate Bill 132 passed in 2011 modified who may sell motor vehicle extended service contracts. The authorized employees of motor vehicle dealers, motor vehicle manufacturers, lenders, and other entities may sell such contracts. Business entity producers or individual producers licensed under the provisions of this proposal may sell such contracts. It requires individuals selling such contracts to become licensed with the department prior to selling, soliciting, or negotiating such contracts/products. The proposal establishes the statutory reasons for which the director may suspend or revoke a license to sell motor vehicle extended service contracts. Applicants disclosing or found to have a criminal history or some other event for which the department may seek refusal, revocation, etc. will be reviewed by the Investigations Section. Investigators have reviewed 148 applications since the enactment of SB 132; of which 78 were referred to legal. Due to the additional work mandated by this legislation, the Investigations Section will need to hire an additional Investigator II in order to ensure the additional duties are completed in a timely manner to protect Missouri consumers.

NEW DECISION ITEM
RANK: 6 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Implementation of Senate Bill 132 (2011)	**DI# 1375002**

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Investigators currently employed by the department in the Investigations Section can maintain an average of 750 investigative files at any given time. Employees assigned greater than that average typically cannot devote the amount of time and effort to each file that is needed in order to fully conduct an effective investigation. To implement the new authority, of SB 132, the division is requesting One Investigator II to ensure the additional duties are completed in a timely manner for protection of Missouri consumers. This FTE will assist in the additional work mandated by this legislation. Fiscal note response stated FTE and expenses could be needed.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/005297 / Investigator II					36,672	1.0	36,672	1.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**36,672**	**1.0**	**36,672**	**1.0**	**0**
580/Office Equipment					7,945		7,945		7,945
340/Communication Expenses					6,824		6,824		
320/Professional Development					412		412		
190/Office Supplies					664		664		
Total EE	**0**		**0**		**15,845**		**15,845**		**7,945**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**52,517**	**1.0**	**52,517**	**1.0**	**7,945**

NEW DECISION ITEM
RANK: 6 OF 11

Department of Insurance, Financial Institutions and Professional Registration					**Budget Unit** 37501C				
Insurance - Insurance Operations									
Implementation of Senate Bill 132 (2011)		**DI# 1375002**							
Budget Object Class/Job Class	**Gov Rec GR DOLLARS**	**Gov Rec GR FTE**	**Gov Rec FED DOLLARS**	**Gov Rec FED FTE**	**Gov Rec OTHER DOLLARS**	**Gov Rec OTHER FTE**	**Gov Rec TOTAL DOLLARS**	**Gov Rec TOTAL FTE**	**Gov Rec One-Time DOLLARS**
							0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

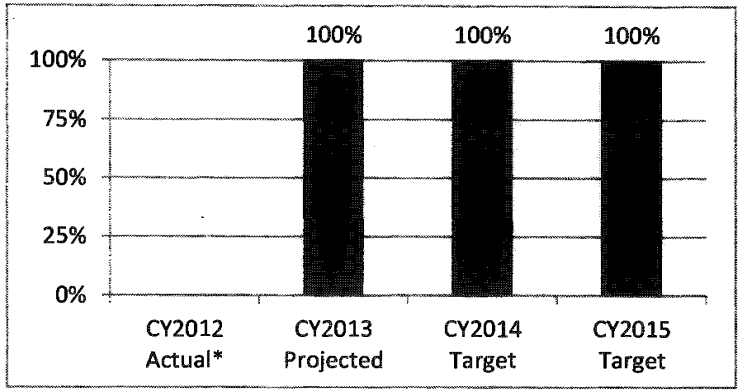
NEW DECISION ITEM
RANK: 6 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Implementation of Senate Bill 132 (2011)	**DI# 1375002**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

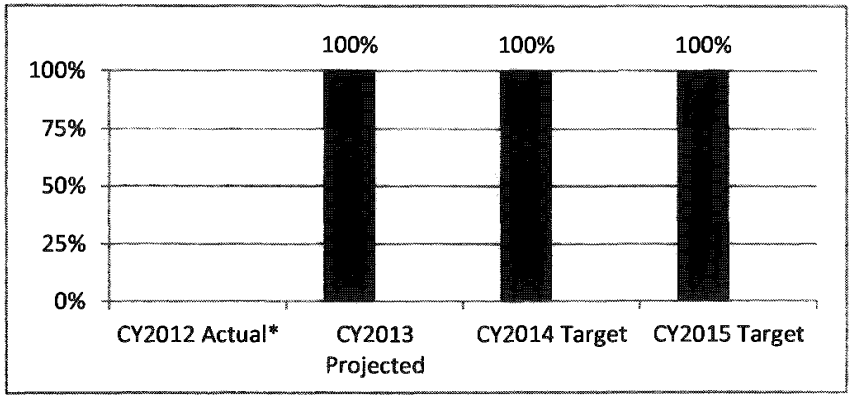
Percent of all licensing applications processed within 10 business days.



*Calendar year information will be provided with the Governor's Recommendations.

6b. Provide an efficiency measure.

Percent of investigations closed in less than 120 days.



*Calendar year information will be provided with the Governor's Recommendations.

6c. Provide the number of clients/individuals served, if applicable.

Individuals Licensed	1,100
Entities Licensed	60

6d. Provide a customer satisfaction measure, if available.

Not yet available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

To implement the new authority of SB 132, the division is requesting this FTE to ensure investigative duties are completed in a timely manner for protection of Missouri consumers.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Implementation SB 132 (2011) - 1375002								
INVESTIGATOR II	0	0.00	0	0.00	36,672	1.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**36,672**	**1.00**	**0**	**0.00**
SUPPLIES	0	0.00	0	0.00	664	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	412	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	6,824	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	7,945	0.00	0	0.00
TOTAL - EE	**0**	**0.00**	**0**	**0.00**	**15,845**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$52,517**	**1.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$52,517**	**1.00**		**0.00**

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NEW DECISION ITEM
RANK: 7 OF 11

Department of Insurance Financial Institutions and Professional Registration	**Budget Unit**	37501C
Insurance - Insurance Operations		
Missouri Captive Industry Growth	**DI# 1375003**	

1. AMOUNT OF REQUEST

	FY 2014 Budget Request				FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	43,356	43,356	PS	0	0	0	0
EE	0	0	15,845	15,845	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**59,201**	**59,201**	**Total**	**0**	**0**	**0**	**0**
FTE	0.00	0.00	1.00	1.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*22,289*	*22,289*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate	X	Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The number of captive insurance companies has grown significantly from 2 captive insurers in 2007 to 23 as of July 2012 and its expected that by December 2012 this number may exceed 30. Each new captive licensed brings positive revenue generation in the form of fees, taxes and jobs for Missourians. The work load related to licensing and regulating captive insurance companies has expanded as well, an additional FTE is needed to provide appropriate regulation of the Missouri captive industry. Adequate regulation will help ensure that Missouri remains an attractive location for companies to form their captive.

NEW DECISION ITEM
RANK: 7 OF 11

Department of Insurance Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Missouri Captive Industry Growth	**DI# 1375003**

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Currently there is one financial analyst for the 23 licensed Missouri captives. It's expected that this number may exceed 30 by the end of 2012. Based on current work load the captive program manager has frequently been required to assist the financial analyst to complete all assignments timely. This takes away from the time available to the captive program manager to promote the captive program. Providing adequate timely regulation is important to continued growth of the captive program. Based on discussions with other states, most domiciles average one analyst per 25 captive insurance companies. This is a highly specialized professional level analyst position and it requires the qualifications of an insurance analyst specialist. The funding will come from the fees collected from captive insurance companies.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/007579/Ins. Financial Analyst Specialist					43,356	1.0	43,356	1.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**43,356**	**1.0**	**43,356**	**1.0**	**0**
580/Office Equipment					7,945		7,945		7,945
340/Communication Expenses					6,824		6,824		
320/Professional Development					412		412		
190/Office Supplies					664		664		
Total EE	**0**		**0**		**15,845**		**15,845**		**7,945**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**59,201**	**1.0**	**59,201**	**1.0**	**7,945**

NEW DECISION ITEM
RANK: 7 OF 11

Department of Insurance Financial Institutions and Professional Registration					**Budget Unit** 37501C				
Insurance - Insurance Operations									
Missouri Captive Industry Growth		**DI# 1375003**							
Budget Object Class/Job Class	**Gov Rec GR DOLLARS**	**Gov Rec GR FTE**	**Gov Rec FED DOLLARS**	**Gov Rec FED FTE**	**Gov Rec OTHER DOLLARS**	**Gov Rec OTHER FTE**	**Gov Rec TOTAL DOLLARS**	**Gov Rec TOTAL FTE**	**Gov Rec One-Time DOLLARS**
							0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
Computer with two monitors									
Phone									
10 key calculator							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

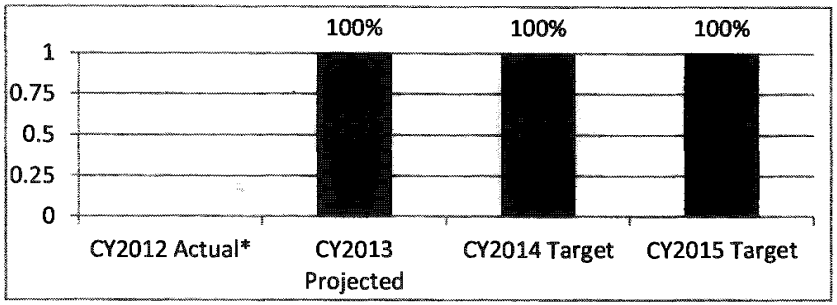
NEW DECISION ITEM
RANK: 7 OF 11

Department of Insurance Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Missouri Captive Industry Growth	**DI# 1375003**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

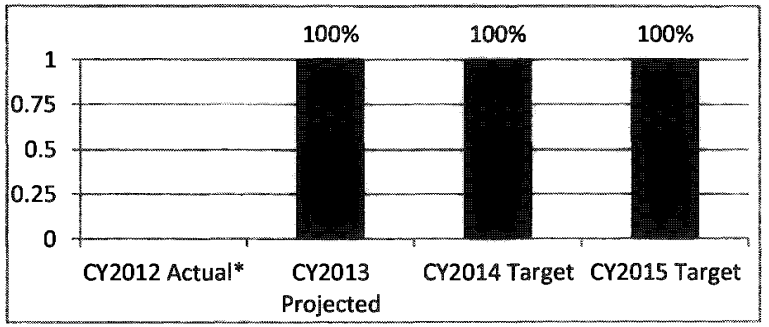
Percentage of Captive financial reviews completed in 90 days.



*Calendar year information will be provided with the Governor's Recommendations.

6b. Provide an efficiency measure.

Percentage of Captive application reviews completed in 30 days.



*Calendar year information will be provided with the Governor's Recommendations.

6c. Provide the number of clients/individuals served, if applicable.

Year	Number of Captives
2007	2
2008	3
2009	6
2010	11
2011	19
7/31/2012	23
12/31/2012	30

6d. Provide a customer satisfaction measure, if available.

Not yet available.

NEW DECISION ITEM
RANK: 7 OF 11

Department of Insurance Financial Institutions and Professional Registration	**Budget Unit** 37501C
Insurance - Insurance Operations	
Missouri Captive Industry Growth	**DI# 1375003**

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Providing adequate timely regulation is important to continued growth of the captive program. Based on discussions with other states, most domiciles average one analyst per 25 captive insurance companies.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE OPERATIONS								
Captive Industry Growth - 1375003								
INSURANCE FINANCIAL ANAL SPEC	0	0.00	0	0.00	43,356	1.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**43,356**	**1.00**	**0**	**0.00**
SUPPLIES	0	0.00	0	0.00	664	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	412	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	6,824	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	7,945	0.00	0	0.00
TOTAL - EE	**0**	**0.00**	**0**	**0.00**	**15,845**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$59,201**	**1.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$59,201**	**1.00**		**0.00**

Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE EXAMINATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	3,044,813	41.68	3,251,758	42.50	3,251,758	42.50	0	0.00
TOTAL - PS	3,044,813	41.68	3,251,758	42.50	3,251,758	42.50	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE EXAMINERS FUND	303,357	0.00	765,674	0.00	765,674	0.00	0	0.00
TOTAL - EE	303,357	0.00	765,674	0.00	765,674	0.00	0	0.00
TOTAL	**3,348,170**	**41.68**	**4,017,432**	**42.50**	**4,017,432**	**42.50**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	0	0.00	0	0.00	516	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	516	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**516**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$3,348,170**	**41.68**	**$4,017,432**	**42.50**	**$4,017,948**	**42.50**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37510C
Insurance
Core - Insurance Examinations

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	3,251,758	3,251,758
EE	0	0	765,674	765,674
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	4,017,432	4,017,432
FTE	0.00	0.00	42.50	42.50
Est. Fringe	*0*	*0*	*1,671,729*	*1,671,729*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Examiners Fund (0552)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Insurance Examiners Fund serves as a revolving fund to collect fees assessed and to pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumers have access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment to the state of Missouri.

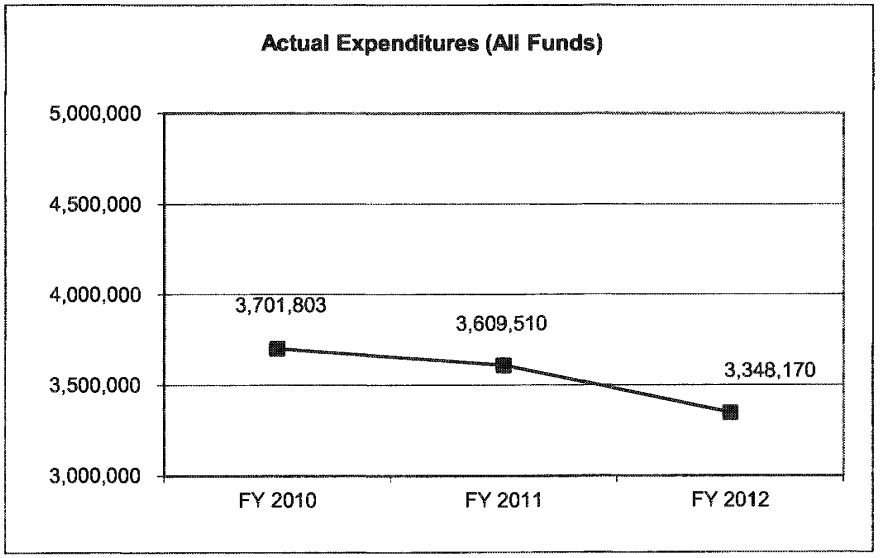
3. PROGRAM LISTING (list programs included in this core funding)

Insurance Company Regulation Division
Insurance Market Regulation Division

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37510C
Insurance
Core - Insurance Examinations
4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	4,219,886	4,219,866	4,041,656	4,017,432
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	4,219,886	4,219,866	4,041,656	N/A
Actual Expenditures (All Funds)	3,701,803	3,609,510	3,348,170	N/A
Unexpended (All Funds)	518,083	610,356	693,486	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	518,083	610,356	693,486	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
(2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
(3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

DIFP
INSURANCE EXAMINATIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	42.50	0	0	3,251,758	3,251,758	
	EE	0.00	0	0	765,674	765,674	
	Total	**42.50**	**0**	**0**	**4,017,432**	**4,017,432**	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation 1500 0793	PS	0.00	0	0	0	0	
NET DEPARTMENT CHANGES		**0.00**	**0**	**0**	**0**	**0**	
DEPARTMENT CORE REQUEST							
	PS	42.50	0	0	3,251,758	3,251,758	
	EE	0.00	0	0	765,674	765,674	
	Total	**42.50**	**0**	**0**	**4,017,432**	**4,017,432**	
GOVERNOR'S RECOMMENDED CORE							
	PS	42.50	0	0	3,251,758	3,251,758	
	EE	0.00	0	0	765,674	765,674	
	Total	**42.50**	**0**	**0**	**4,017,432**	**4,017,432**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE EXAMINATIONS								
CORE								
INSURANCE FINANCIAL ANAL SPEC	6,566	0.16	0	0.00	0	0.00	0	0.00
LEGAL COUNSEL	15,908	0.24	0	0.00	0	0.00	0	0.00
SENIOR COUNSEL	3,743	0.07	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	17,072	0.29	0	0.00	0	0.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	24,668	0.26	0	0.00	23,711	0.25	0	0.00
CHIEF MARKET CONDUCT EXAM	1,093	0.01	0	0.00	0	0.00	0	0.00
M C EXAMINER II	261,552	4.78	464,783	6.68	0	0.00	0	0.00
M C EXAMINER III	707,210	10.07	513,940	6.77	1,229,382	16.90	0	0.00
EXAMINER-IN-CHARGE MC	414,150	4.88	483,275	5.55	410,000	5.55	0	0.00
AUDIT MANAGER-MARKET CONDUCT	917	0.01	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER I	41,437	0.94	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER II	47,516	0.90	166,801	3.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	881,374	12.09	945,758	12.90	911,464	12.20	0	0.00
EXAMINER-IN-CHARGE FINANCIAL	621,607	6.98	677,201	7.60	677,201	7.60	0	0.00
TOTAL - PS	**3,044,813**	**41.68**	**3,251,758**	**42.50**	**3,251,758**	**42.50**	**0**	**0.00**
TRAVEL, IN-STATE	170,173	0.00	195,761	0.00	195,761	0.00	0	0.00
TRAVEL, OUT-OF-STATE	103,122	0.00	472,278	0.00	279,278	0.00	0	0.00
SUPPLIES	6,081	0.00	17,902	0.00	57,902	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	1	0.00	1	0.00	0	0.00
COMMUNICATION SERV & SUPP	17,734	0.00	51,545	0.00	51,545	0.00	0	0.00
PROFESSIONAL SERVICES	1,758	0.00	19,987	0.00	119,987	0.00	0	0.00
M&R SERVICES	0	0.00	5,000	0.00	5,000	0.00	0	0.00
OFFICE EQUIPMENT	4,489	0.00	1,197	0.00	51,197	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1	0.00	1,001	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1	0.00	1,001	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	0	0.00	1,000	0.00	1,000	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE EXAMINATIONS								
CORE								
REBILLABLE EXPENSES	0	0.00	1	0.00	1,001	0.00	0	0.00
TOTAL - EE	**303,357**	**0.00**	**765,674**	**0.00**	**765,674**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$3,348,170**	**41.68**	**$4,017,432**	**42.50**	**$4,017,432**	**42.50**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$3,348,170**	**41.68**	**$4,017,432**	**42.50**	**$4,017,432**	**42.50**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 2013 PLANNED		
	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,665,910	2,246,566	4,912,476
TOTAL	2,665,910	2,246,566	4,912,476

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

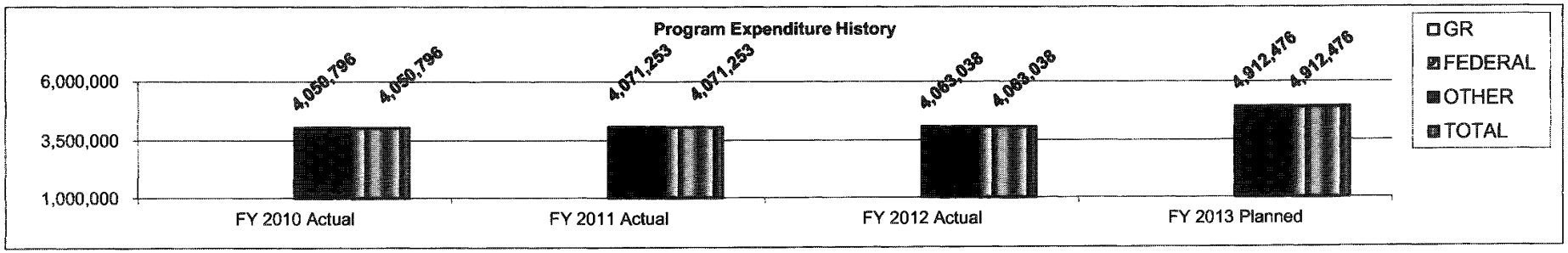
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

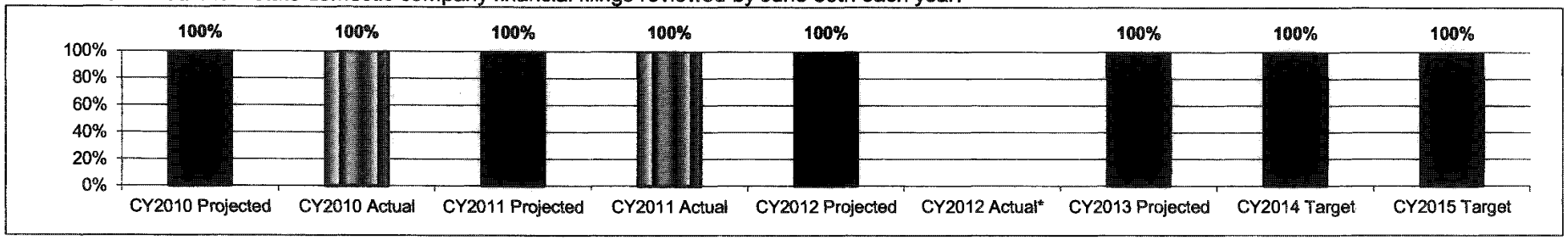
Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

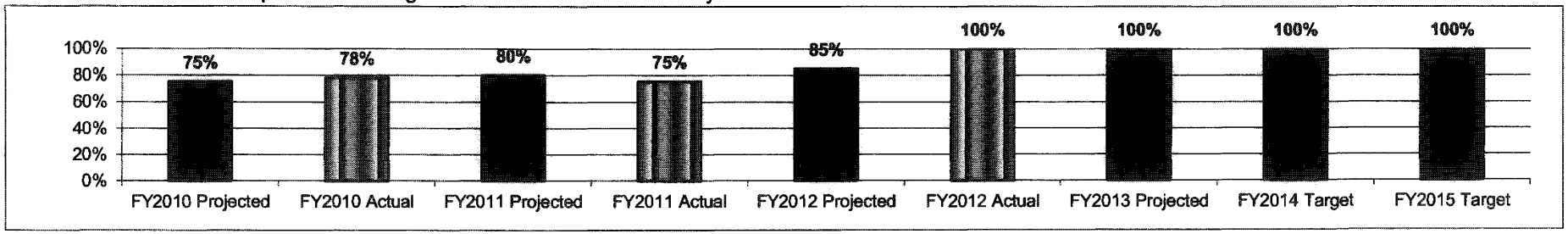
7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



*Calendar year information will be provided with the Governor's Recommendations.

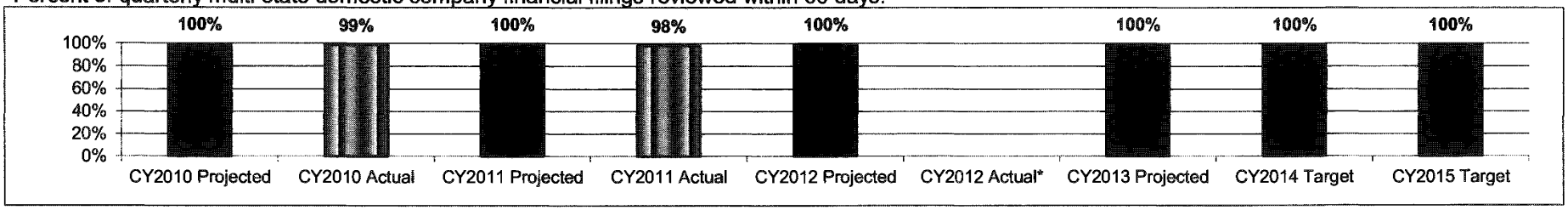
Percent of domestic companies receiving a financial exam within a five-year timeframe.



Department of Insurance, Financial Institutions and Professional Registration
Insurance Company Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure.

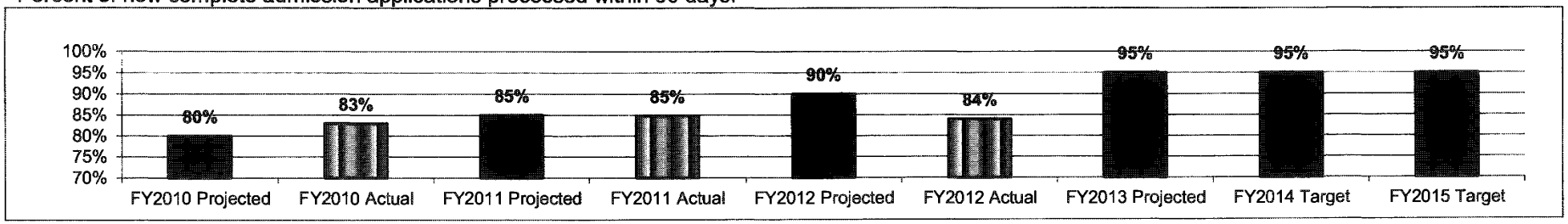
Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



*Calendar year information will be provided with the Governor's Recommendations.

7b. Provide an efficiency measure. (cont.)

Percent of new complete admission applications processed within 90 days.



7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Number of Domestic Companies	220	210	210	209	210		210	210	210
Number of Licensed Companies	1,850	1,837	1,830	1,900	1,830		1,830	1,830	1,900
Number of Surplus Lines Brokers	1,300	1,458	1,300	1,546	1,300		1,300	1,300	1,589
Surplus Lines Tax Collected	23 mil	23 mil	23 mil	22.4 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	200 mil	235 mil	200 mil	203.6 mil	210 mil		210 mil	210 mil	210 mil

*Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	FY 2013 PLANNED		
	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,047,995	1,770,866	3,818,861
TOTAL	2,047,995	1,770,866	3,818,861

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

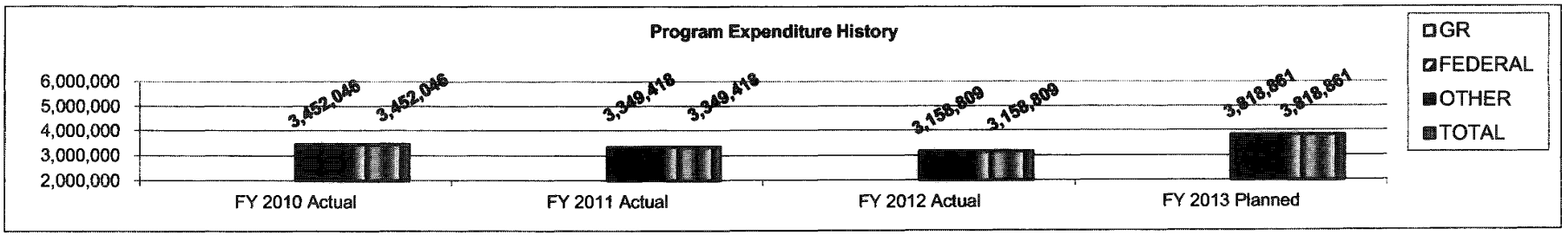
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



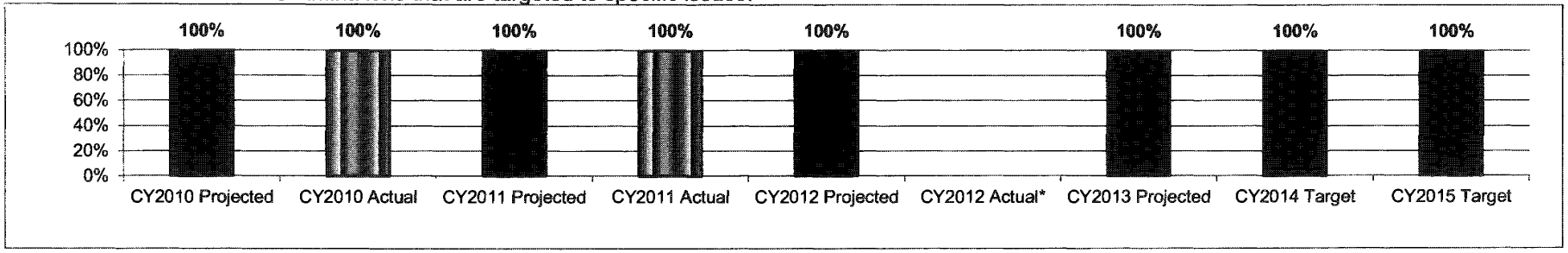
Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

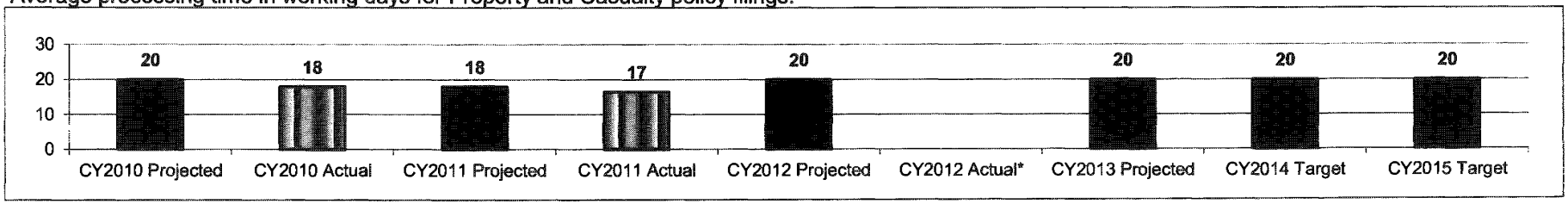
Percent of market conduct examinations that are targeted to specific issues.



*Calendar year information will be provided with the Governor's Recommendations.

7b. Provide an efficiency measure.

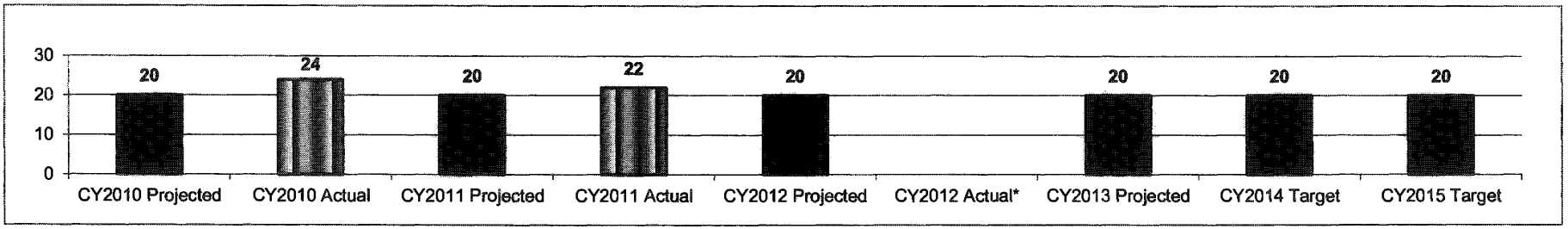
Average processing time in working days for Property and Casualty policy filings.



*Calendar year information will be provided with the Governor's Recommendations.

Department of Insurance, Financial Institutions and Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



*Calendar year information will be provided with the Governor's Recommendations.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National Association of Insurance Commissioners (NAIC) "Speed to Market Initiative".

7c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
P&C filings received	6,000	5,339	5,400	5,402	6,100		5,700	5,700	5,500
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

*Calendar year information will be provided with the Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE REFUNDS								
CORE								
PROGRAM-SPECIFIC								
INSURANCE EXAMINERS FUND	12	0.00	60,000	0.00	60,000	0.00	0	0.00
INSURANCE DEDICATED FUND	13,481	0.00	75,000	0.00	75,000	0.00	0	0.00
TOTAL - PD	13,493	0.00	135,000	0.00	135,000	0.00	0	0.00
TOTAL	**13,493**	**0.00**	**135,000**	**0.00**	**135,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$13,493**	**0.00**	**$135,000**	**0.00**	**$135,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37520C
Insurance
Core - Insurance Refunds

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	135,000	135,000
TRF	0	0	0	0
Total	0	0	135,000	135,000
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Examiners Fund (0552)
Insurance Dedicated Fund (0566)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This core request is needed to refund incorrect or overpayment of insurance fees received from individuals and insurance companies. When any incorrect or overpayment of fees is received, a refund is issued from the appropriate insurance fund using this appropriation.

3. PROGRAM LISTING (list programs included in this core funding)

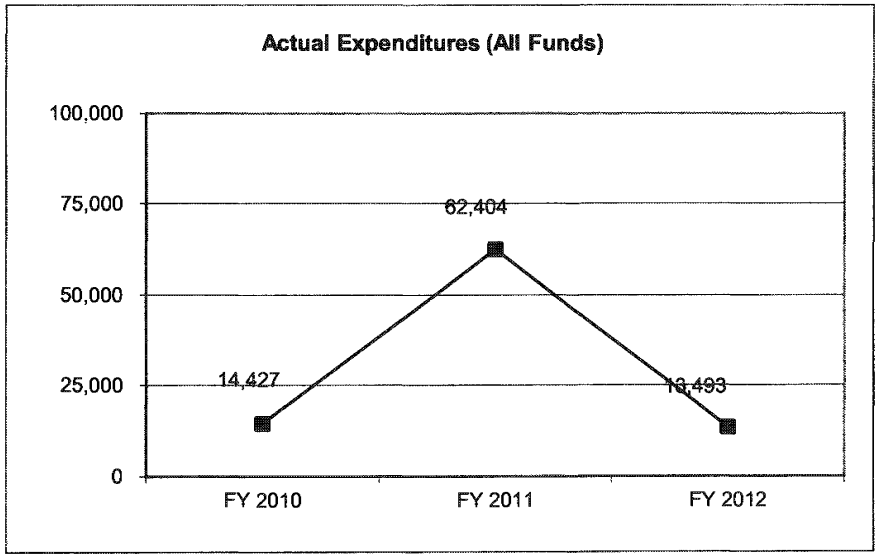
Insurance Refunds

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit**	37520C
Insurance		
Core - Insurance Refunds		

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	75,001	75,001	75,001	135,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	75,001	75,001	75,001	N/A
Actual Expenditures (All Funds)	14,427	62,404	13,493	N/A
Unexpended (All Funds)	60,574	12,597	61,508	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	60,574	12,597	61,508	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Unexpended amount due to less refunds processed than appropriation level.
(2) Unexpended amount due to less refunds processed than appropriation level.
(3) Unexpended amount due to less refunds processed than appropriation level.

DIFP
INSURANCE REFUNDS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PD	0.00	0	0	135,000	135,000	
	Total	**0.00**	**0**	**0**	**135,000**	**135,000**	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	135,000	135,000	
	Total	**0.00**	**0**	**0**	**135,000**	**135,000**	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	135,000	135,000	
	Total	**0.00**	**0**	**0**	**135,000**	**135,000**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
INSURANCE REFUNDS								
CORE								
REFUNDS	13,493	0.00	135,000	0.00	135,000	0.00	0	0.00
TOTAL - PD	**13,493**	**0.00**	**135,000**	**0.00**	**135,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$13,493**	**0.00**	**$135,000**	**0.00**	**$135,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$13,493**	**0.00**	**$135,000**	**0.00**	**$135,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration
Insurance Refunds
Program is found in the following core budget(s): Insurance Refunds

1. What does this program do?

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Chapter 374.150 RSMo., all fees due to the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.150 RSMo.

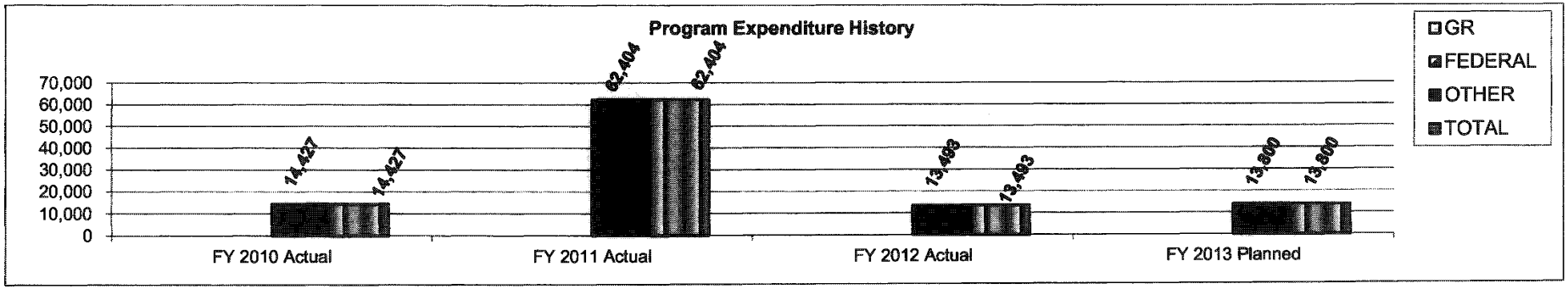
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

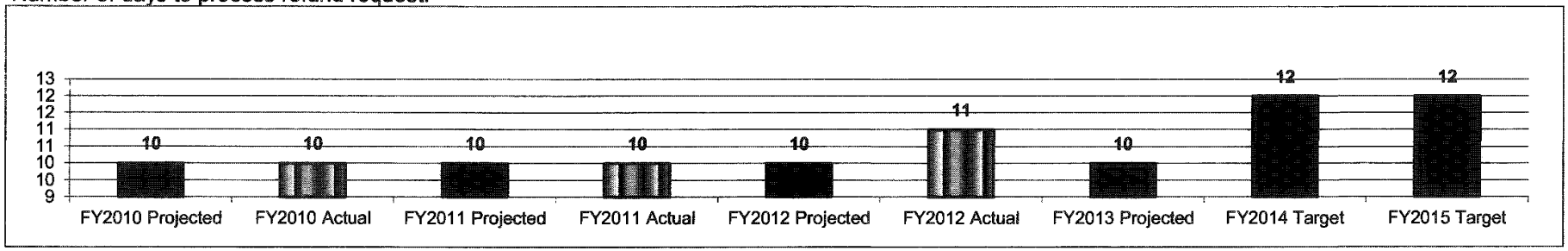
Department of Insurance, Financial Institutions & Professional Registration
Insurance Refunds
Program is found in the following core budget(s): Insurance Refunds

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

Number of days to process refund request.



7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Refunds processed	450	297	300	396	300	211	300	300	225

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM-SPECIFIC								
FEDERAL - MDI	1,300,713	0.00	1,250,000	0.00	1,250,000	0.00	0	0.00
INSURANCE DEDICATED FUND	200,000	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - PD	1,500,713	0.00	1,450,000	0.00	1,450,000	0.00	0	0.00
TOTAL	**1,500,713**	**0.00**	**1,450,000**	**0.00**	**1,450,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,500,713**	**0.00**	**$1,450,000**	**0.00**	**$1,450,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37540C
Insurance
Core - Health Insurance Counseling

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	1,250,000	200,000	1,450,000
TRF	0	0	0	0
Total	0	1,250,000	200,000	1,450,000
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare & Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, www.missouriclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as cosponsors and provide space, supplies and local publicity for the program. CLAIM has more than 300 volunteer counselors and has approximately 160 counseling locations throughout the state where counseling is provided.

3. PROGRAM LISTING (list programs included in this core funding)

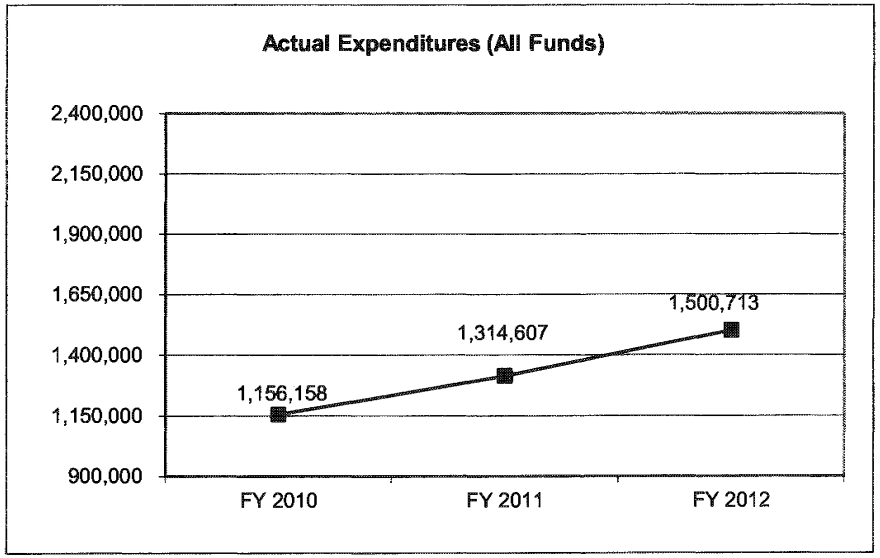
Health Insurance Counseling

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 37540C
Insurance
Core - Health Insurance Counseling

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,196,160	1,373,686	1,500,713	1,450,000
Less Reverted (All Funds)	0	0		N/A
Budget Authority (All Funds)	1,196,160	1,373,686	1,500,713	N/A
Actual Expenditures (All Funds)	1,156,158	1,314,607	1,500,713	N/A
Unexpended (All Funds)	40,002	59,079	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	9,079	0	N/A
Other	40,002	50,000	0	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Original Federal appropriation of $700,000 E was increased by $296,160.
(2) Original Federal appropriation of $700,000 E was increased by $473,686.
(3) Original Federal appropriation of $900,000 E was increased by $600,713.

CORE RECONCILIATION DETAIL

DIFP

HEALTH INSURANCE COUNSELING

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	**0.00**	**0**	**1,250,000**	**200,000**	**1,450,000**	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	**0.00**	**0**	**1,250,000**	**200,000**	**1,450,000**	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	**0.00**	**0**	**1,250,000**	**200,000**	**1,450,000**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM DISTRIBUTIONS	1,500,713	0.00	1,450,000	0.00	1,450,000	0.00	0	0.00
TOTAL - PD	**1,500,713**	**0.00**	**1,450,000**	**0.00**	**1,450,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,500,713**	**0.00**	**$1,450,000**	**0.00**	**$1,450,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$1,300,713**	**0.00**	**$1,250,000**	**0.00**	**$1,250,000**	**0.00**		**0.00**
OTHER FUNDS	**$200,000**	**0.00**	**$200,000**	**0.00**	**$200,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Health Insurance Counseling
Program is found in the following core budget(s): Health Insurance Counseling

1. What does this program do?

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare & Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, www.missouriclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM has approximately 300 volunteer counselors and has approximately 160 counseling locations throughout the state where counseling is provided.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal: State Health Insurance Assistance Program; Federal CFDA - 93.779.

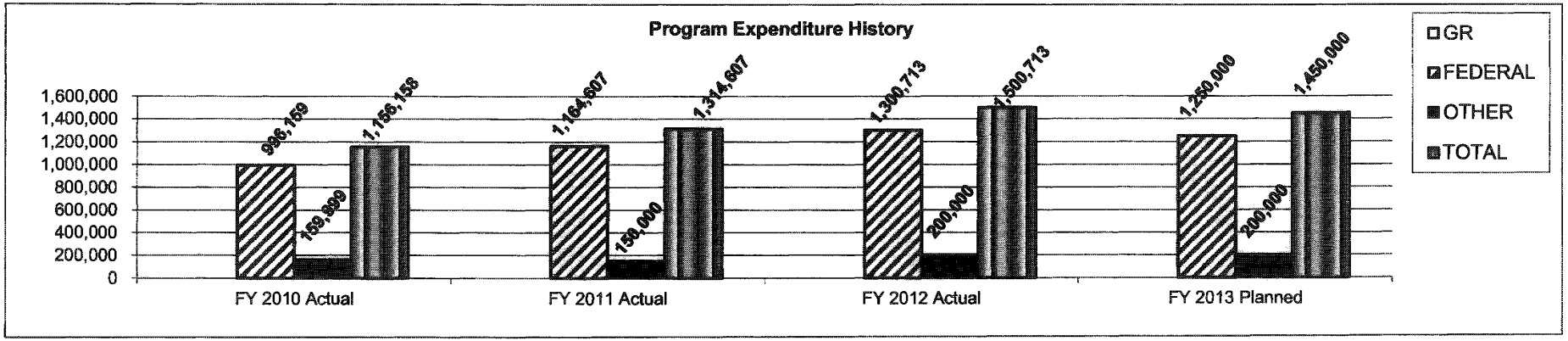
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

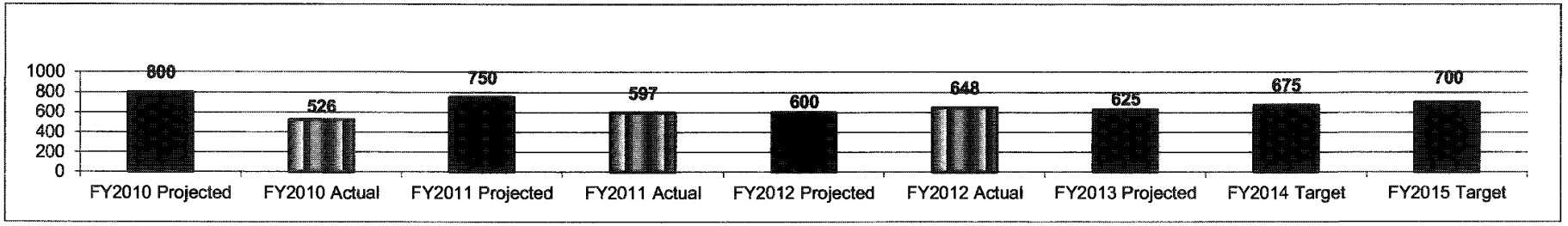
Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

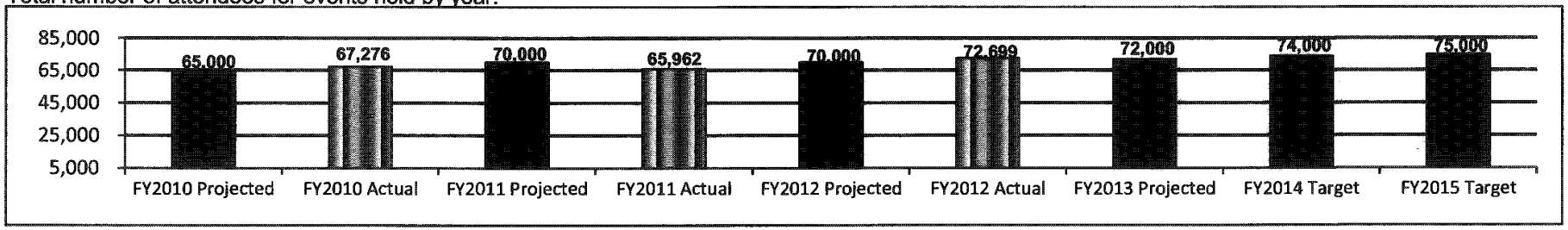
Department of Insurance, Financial Institutions and Professional Registration
Health Insurance Counseling
Program is found in the following core budget(s): Health Insurance Counseling

7a. Provide an effectiveness measure.

Number of educational outreach events held.

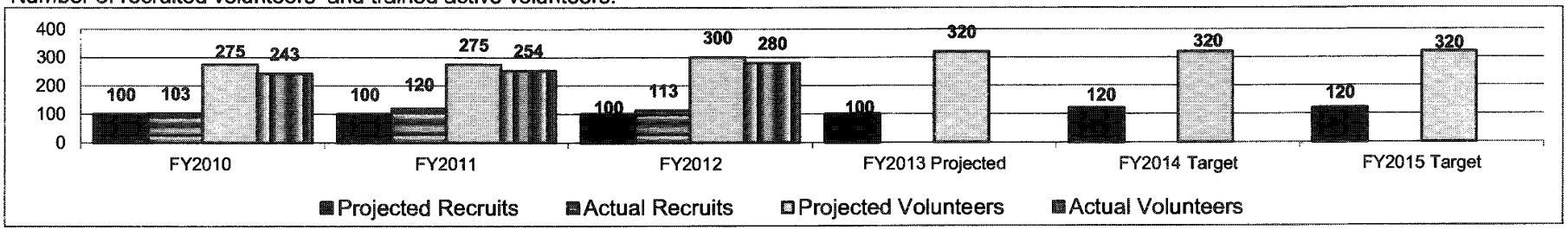


Total number of attendees for events held by year.



7b. Provide an efficiency measure.

Number of recruited volunteers and trained active volunteers.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Health Insurance Counseling
Program is found in the following core budget(s): Health Insurance Counseling

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Individuals counseled	20,500	18,065	20,500	34,387	36,510	42,025	40,161	44,221	45,000

7d. Provide a customer satisfaction measure, if available.

CLAIM conducts random surveys to measure customer satisfaction with the counseling process.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Excellent or above average rating	87%	91%	95%	91%	95%	80%	95%	95%	90%

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
CREDIT UNIONS								
CORE								
PERSONAL SERVICES								
DIVISION OF CREDIT UNIONS	944,089	15.38	1,135,603	15.50	1,135,603	15.50	0	0.00
TOTAL - PS	944,089	15.38	1,135,603	15.50	1,135,603	15.50	0	0.00
EXPENSE & EQUIPMENT								
DIVISION OF CREDIT UNIONS	95,763	0.00	119,084	0.00	119,084	0.00	0	0.00
TOTAL - EE	95,763	0.00	119,084	0.00	119,084	0.00	0	0.00
TOTAL	**1,039,852**	**15.38**	**1,254,687**	**15.50**	**1,254,687**	**15.50**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DIVISION OF CREDIT UNIONS	0	0.00	0	0.00	415	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	415	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**415**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,039,852**	**15.38**	**$1,254,687**	**15.50**	**$1,255,102**	**15.50**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42490C
Division of Credit Unions
Core - Credit Unions

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	1,135,603	1,135,603
EE	0	0	119,084	119,084
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	1,254,687	1,254,687
FTE	0.00	0.00	15.50	15.50
Est. Fringe	*0*	*0*	*583,814*	*583,814*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Credit Unions Fund (0548)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, merger and liquidation of all state-chartered credit unions. The division also responds to consumer requests or complaints about credit union services or operations. The division is an accredited agency through the National Association of State Credit Union Supervisors (NASCUS), and all member deposits are insured by the National Credit Union Administration (NCUA), an agency of the federal government. The division is statutorily required to conduct examinations at least once every 18 months on qualifying state-chartered credit unions. Examinations are conducted to ensure the safety and soundness of credit unions and to ensure compliance with applicable rules, regulations and statutes. The division proactively performs off-site monitoring on an ongoing basis to assist in identifying increasing risk. Credit unions incurring problems, whether financial, operational or in compliance areas, receive increased attention which may come in the form of enforcement actions. The Division of Credit Unions currently regulates 121 credit unions with assets exceeding $10.8 billion. Missouri is ranked eighth in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

3. PROGRAM LISTING (list programs included in this core funding)

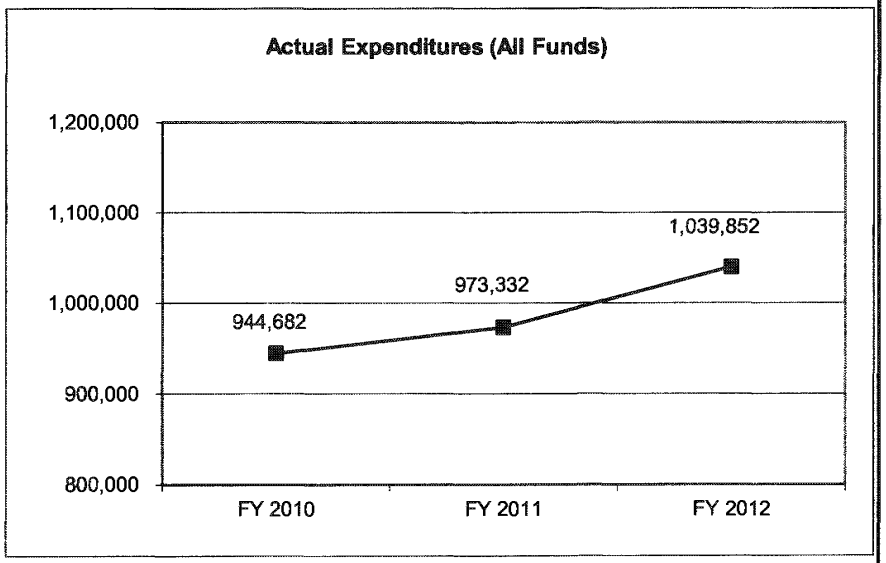
Division of Credit Unions

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42490C
Division of Credit Unions
Core - Credit Unions

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,249,846	1,249,846	1,249,846	1,254,687
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,249,846	1,249,846	1,249,846	N/A
Actual Expenditures (All Funds)	944,682	973,332	1,039,852	N/A
Unexpended (All Funds)	305,164	276,514	209,994	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	305,164	276,514	209,994	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session).
(2) Unexpended amount is primarily personal service appropriation due to staff turnover.
(3) Unexpended amount is primarily personal service appropriation due to staff turnover.

DIFP
CREDIT UNIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	15.50	0	0	1,135,603	1,135,603	
	EE	0.00	0	0	119,084	119,084	
	Total	**15.50**	**0**	**0**	**1,254,687**	**1,254,687**	
DEPARTMENT CORE REQUEST							
	PS	15.50	0	0	1,135,603	1,135,603	
	EE	0.00	0	0	119,084	119,084	
	Total	**15.50**	**0**	**0**	**1,254,687**	**1,254,687**	
GOVERNOR'S RECOMMENDED CORE							
	PS	15.50	0	0	1,135,603	1,135,603	
	EE	0.00	0	0	119,084	119,084	
	Total	**15.50**	**0**	**0**	**1,254,687**	**1,254,687**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
CREDIT UNIONS								
CORE								
COMMISSION MEMBER	0	0.00	18,891	0.00	18,891	0.00	0	0.00
ADMINISTRATIVE SECRETARY	32,819	1.00	0	0.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	14,426	0.69	21,343	0.50	21,343	0.50	0	0.00
EXECUTIVE II	47,041	1.00	48,318	1.00	48,318	1.00	0	0.00
FINANCIAL EXAM ASST II	48,679	1.02	51,119	1.00	51,119	1.00	0	0.00
FINANCIAL EXAMINER	240,965	4.66	305,432	5.00	305,432	5.00	0	0.00
SENIOR FINANCIAL EXAMINER	60,638	1.00	61,786	1.00	61,786	1.00	0	0.00
FINANCIAL EXAMINER SPEC	226,468	3.00	356,764	4.00	356,764	4.00	0	0.00
CHIEF FINANCIAL EXAMINER	87,963	1.00	87,960	1.00	87,960	1.00	0	0.00
DIVISION DIRECTOR	95,990	1.00	95,990	1.00	95,990	1.00	0	0.00
COMMISSION MEMBER	1,100	0.01	0	0.00	0	0.00	0	0.00
GENERAL COUNSEL - DIVISION	88,000	1.00	88,000	1.00	88,000	1.00	0	0.00
TOTAL - PS	**944,089**	**15.38**	**1,135,603**	**15.50**	**1,135,603**	**15.50**	**0**	**0.00**
TRAVEL, IN-STATE	58,262	0.00	54,685	0.00	54,685	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,423	0.00	587	0.00	587	0.00	0	0.00
SUPPLIES	7,493	0.00	20,174	0.00	20,174	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	8,818	0.00	17,641	0.00	17,641	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	687	0.00	687	0.00	0	0.00
PROFESSIONAL SERVICES	896	0.00	100	0.00	100	0.00	0	0.00
M&R SERVICES	66	0.00	54	0.00	54	0.00	0	0.00
OFFICE EQUIPMENT	114	0.00	1,634	0.00	1,634	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	535	0.00	535	0.00	0	0.00
BUILDING LEASE PAYMENTS	416	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	144	0.00	1,534	0.00	1,534	0.00	0	0.00
REBILLABLE EXPENSES	18,131	0.00	21,352	0.00	21,352	0.00	0	0.00
TOTAL - EE	**95,763**	**0.00**	**119,084**	**0.00**	**119,084**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,039,852**	**15.38**	**$1,254,687**	**15.50**	**$1,254,687**	**15.50**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$1,039,852**	**15.38**	**$1,254,687**	**15.50**	**$1,254,687**	**15.50**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Division of Credit Unions
Program is found in the following core budget(s): Credit Unions

1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to $250,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 121 credit unions with assets exceeding $10.8 billion. Missouri is currently ranked eighth in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 370 RSMo.

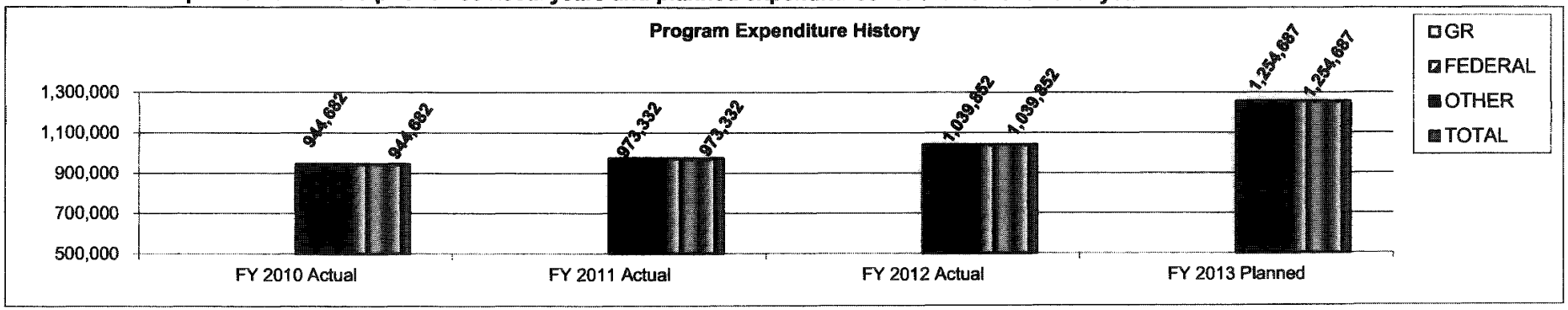
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

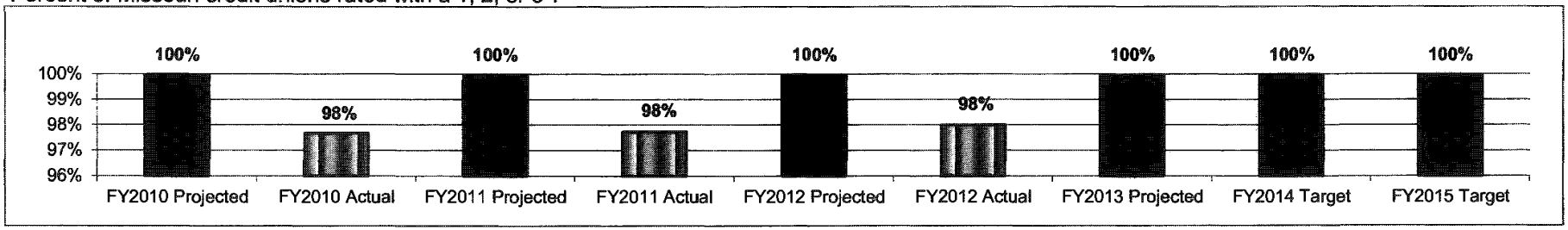
Division of Credit Unions Fund (0548)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Division of Credit Unions
Program is found in the following core budget(s): Credit Unions

7a. Provide an effectiveness measure.

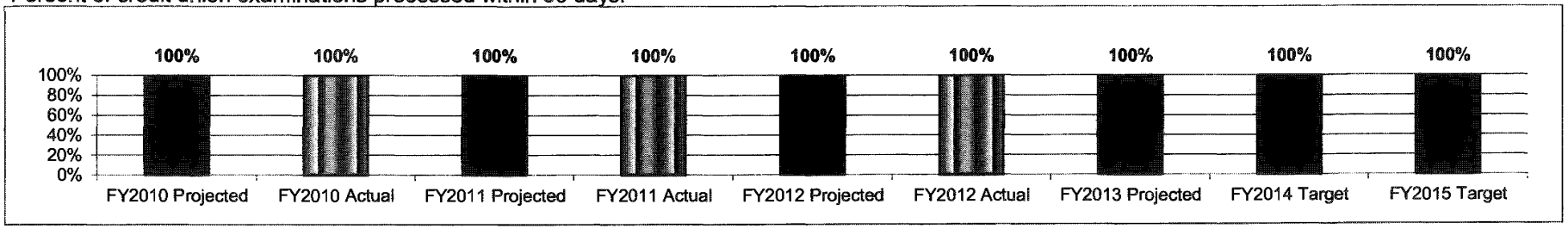
Percent of Missouri credit unions rated with a 1, 2, or 3*.



*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

7b. Provide an efficiency measure.

Percent of credit union examinations processed within 30 days.



7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Missouri Credit Union Members	1,200,000	1,245,164	1,200,000	1,246,779	1,210,000	1,215,913	1,216,849	1,217,940	1,218,746

7d. Provide a customer satisfaction measure, if available.

The Division of Credit Unions surveyed each credit union after completion of an examination to determine their overall satisfaction with the division until FY2010.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Percent reporting satisfaction	92%	93%	93%	N/A	N/A	N/A	N/A	N/A	N/A

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
CORE								
PERSONAL SERVICES								
DIVISION OF FINANCE	6,437,054	109.80	7,094,824	118.15	7,094,824	118.15	0	0.00
TOTAL - PS	6,437,054	109.80	7,094,824	118.15	7,094,824	118.15	0	0.00
EXPENSE & EQUIPMENT								
DIVISION OF FINANCE	732,834	0.00	927,491	0.00	927,491	0.00	0	0.00
TOTAL - EE	732,834	0.00	927,491	0.00	927,491	0.00	0	0.00
PROGRAM-SPECIFIC								
DIVISION OF FINANCE	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL	**7,171,538**	**109.80**	**8,023,315**	**118.15**	**8,023,315**	**118.15**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DIVISION OF FINANCE	0	0.00	0	0.00	2,934	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	2,934	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**2,934**	**0.00**	**0**	**0.00**
Personal Services Increase - 1375004								
PERSONAL SERVICES								
DIVISION OF FINANCE	0	0.00	0	0.00	496,825	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	496,825	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**496,825**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$7,171,538**	**109.80**	**$8,023,315**	**118.15**	**$8,523,074**	**118.15**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42510C
Division of Finance
Core - Finance

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	7,094,824	7,094,824
EE	0	0	928,491	928,491
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	8,023,315	8,023,315
FTE	0.00	0.00	118.15	118.15
Est. Fringe	*0*	*0*	*3,647,449*	*3,647,449*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Finance Fund (0550)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, residential mortgage brokers, and loan originators. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc.

3. PROGRAM LISTING (list programs included in this core funding)

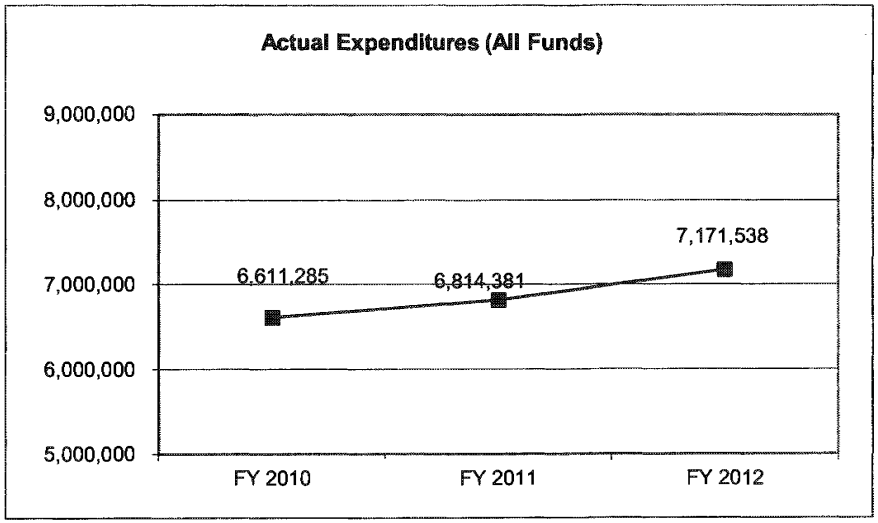
Bank and Trust Company Regulation
Consumer Credit Licensing and Regulation

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42510C
Division of Finance
Core - Finance

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	7,202,886	7,086,544	8,055,162	8,023,315
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,202,886	7,086,544	8,055,162	N/A
Actual Expenditures (All Funds)	6,611,285	6,814,381	7,171,538	N/A
Unexpended (All Funds)	591,601	272,163	883,624	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	591,601	272,163	883,624	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is primarily personal service appropriation due to gradual implementation of HB 378/SB 318 (2005).
(2) Unexpended amount is primarily personal service appropriation due to gradual implementation of HB 378/SB 318 (2005).
(3) Unexpended amount is due to lower than expected expenditures.

CORE RECONCILIATION DETAIL

DIFP
FINANCE

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	118.15	0	0	7,094,824	7,094,824	
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	
	Total	**118.15**	**0**	**0**	**8,023,315**	**8,023,315**	
DEPARTMENT CORE REQUEST							
	PS	118.15	0	0	7,094,824	7,094,824	
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	
	Total	**118.15**	**0**	**0**	**8,023,315**	**8,023,315**	
GOVERNOR'S RECOMMENDED CORE							
	PS	118.15	0	0	7,094,824	7,094,824	
	EE	0.00	0	0	927,491	927,491	
	PD	0.00	0	0	1,000	1,000	
	Total	**118.15**	**0**	**0**	**8,023,315**	**8,023,315**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	63,419	2.00	64,635	2.00	64,635	2.00	0	0.00
ADMINISTRATIVE SECRETARY	76,255	2.00	77,717	2.00	77,717	2.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	74,144	2.95	76,139	3.00	76,139	3.00	0	0.00
ACCOUNTANT II	38,443	1.00	39,180	1.00	39,180	1.00	0	0.00
ASSISTANT BANK EXAMINER	343,906	8.90	535,545	13.00	535,545	13.00	0	0.00
SENIOR ASSISTANT BANK EXAMINER	252,524	5.38	293,520	6.00	343,000	7.00	0	0.00
BANK EXAMINER	347,288	6.11	244,600	4.00	780,000	13.00	0	0.00
SENIOR BANK EXAMINER I	400,681	6.23	429,954	6.00	464,898	7.00	0	0.00
REVIEW EXAMINER	360,699	4.71	381,925	5.00	384,307	5.00	0	0.00
ASSIST TRUST EXAMINER	28,742	0.73	41,196	1.00	82,392	2.00	0	0.00
TRUST SUPERVISOR	75,920	1.00	75,920	1.00	75,920	1.00	0	0.00
DISTRICT SUPERVISOR	421,280	5.06	433,200	5.00	433,200	5.00	0	0.00
REPORT ANALYST	32,978	1.00	39,115	1.00	39,115	1.00	0	0.00
ASSISTANT BANK EXAMINER II	326,823	7.71	433,900	10.00	222,500	5.00	0	0.00
ASSIST TRUST EXAMINER II	19,441	0.46	0	0.00	0	0.00	0	0.00
ASST CONS. CREDIT EXAMINER	0	0.00	0	0.00	41,196	1.00	0	0.00
SENIOR ASST CONS. CREDIT EXAM	42,664	1.00	45,863	1.00	45,863	1.00	0	0.00
CONSUMER CREDIT EXAMINER	72,279	1.33	122,300	2.00	0	0.00	0	0.00
SR CONS CREDIT EXAMINER I	136,133	2.00	143,318	2.00	143,318	2.00	0	0.00
CONSUMER CREDIT SPECIALIST	34,649	0.80	45,221	1.00	45,221	1.00	0	0.00
SUPERVISOR OF CONSUMER CREDIT	84,361	1.00	84,361	1.00	84,361	1.00	0	0.00
SENIOR BANK EXAMINER II	408,475	5.93	448,944	6.00	489,058	7.00	0	0.00
SENIOR BANK EXAMINER III	580,676	7.79	537,985	7.00	690,940	9.00	0	0.00
SENIOR TRUST EXAMINER II	123,675	1.77	149,648	2.00	70,000	1.00	0	0.00
SR CONS CREDIT EXAMINER II	207,054	3.00	224,472	3.00	154,472	2.00	0	0.00
SR CONS CREDIT EXAMINER III	74,165	1.00	74,165	1.00	74,165	1.00	0	0.00
SUPVSR OF MORTGAGE LICENSING	75,920	1.00	75,920	1.00	75,920	1.00	0	0.00
SENIOR ASSISTANT EXAMINER II	441,355	8.92	476,970	9.00	265,000	5.00	0	0.00
BANK EXAMINER II	391,888	6.50	587,040	9.00	184,485	3.00	0	0.00
MORTGAGE LICENSING SPECIALIST	36,222	0.84	45,221	1.00	45,221	1.00	0	0.00
SR ASST CONS CREDIT EXAM II	49,458	1.00	50,406	1.00	50,406	1.00	0	0.00
CONSUMER CREDIT EXAMINER II	99,699	1.65	61,445	1.00	184,485	3.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
CORE								
MORTGAGE LICENSING SPEC II	60,289	1.00	61,445	1.00	61,445	1.00	0	0.00
SENIOR ASSISTANT MORTGAGE EXAM	3,354	0.07	0	0.00	46,920	1.00	0	0.00
SENIOR MORTGAGE EXAMINER II	0	0.00	0	0.00	70,000	1.00	0	0.00
DIVISION DIRECTOR	104,757	1.00	100,450	1.00	105,372	1.00	0	0.00
DEPUTY DIVISION DIRECTOR	96,000	1.00	96,000	1.00	98,880	1.00	0	0.00
CHIEF EXAMINER	100,199	1.08	92,700	1.00	92,700	1.00	0	0.00
SENIOR COUNSEL	73,500	1.00	73,500	1.00	73,500	1.00	0	0.00
CHIEF COUNSEL	87,375	1.00	85,000	1.00	88,000	1.00	0	0.00
FISCAL AND ADMINISTRATIVE MNGR	49,743	1.00	50,696	1.00	50,696	1.00	0	0.00
COMMISSION MEMBER	0	0.00	2,410	0.00	0	0.00	0	0.00
BOARD MEMBER	300	0.00	4,699	0.15	4,699	0.15	0	0.00
MISCELLANEOUS PROFESSIONAL	140,321	1.88	188,099	3.00	139,953	2.00	0	0.00
TOTAL - PS	**6,437,054**	**109.80**	**7,094,824**	**118.15**	**7,094,824**	**118.15**	**0**	**0.00**
TRAVEL, IN-STATE	400,972	0.00	470,825	0.00	470,825	0.00	0	0.00
TRAVEL, OUT-OF-STATE	93,239	0.00	117,369	0.00	117,369	0.00	0	0.00
SUPPLIES	55,726	0.00	56,733	0.00	56,733	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	96,436	0.00	92,786	0.00	92,786	0.00	0	0.00
COMMUNICATION SERV & SUPP	26,690	0.00	36,325	0.00	36,325	0.00	0	0.00
PROFESSIONAL SERVICES	28,672	0.00	105,938	0.00	105,938	0.00	0	0.00
M&R SERVICES	3,009	0.00	3,175	0.00	3,175	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	11,776	0.00	33,293	0.00	33,293	0.00	0	0.00
OTHER EQUIPMENT	11,024	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	166	0.00	100	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	984	0.00	5,805	0.00	5,805	0.00	0	0.00
REBILLABLE EXPENSES	4,140	0.00	4,140	0.00	4,140	0.00	0	0.00
TOTAL - EE	**732,834**	**0.00**	**927,491**	**0.00**	**927,491**	**0.00**	**0**	**0.00**

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
CORE								
REFUNDS	1,650	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	**1,650**	**0.00**	**1,000**	**0.00**	**1,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$7,171,538**	**109.80**	**$8,023,315**	**118.15**	**$8,023,315**	**118.15**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$7,171,538**	**109.80**	**$8,023,315**	**118.15**	**$8,023,315**	**118.15**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Bank and Trust Company Regulation
Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of March 31, 2012, Missouri ranked 5th in the nation in the number of state-chartered banks with 274 state-chartered banks, 6 non-deposit trust companies, and 6 savings and loan associations regulated by the division. Assets in Missouri state-chartered banks totaled $94.4 billion on March 31, 2012. The 6 nondeposit trust companies held a combined total of $13.0 billion in trust assets as of calendar year end 2011.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 361, 362, 369 and 443 RSMo.

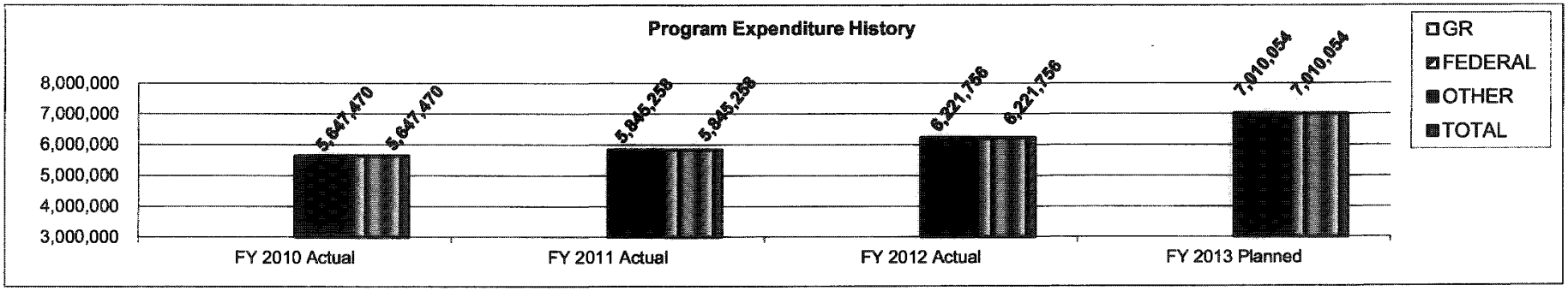
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



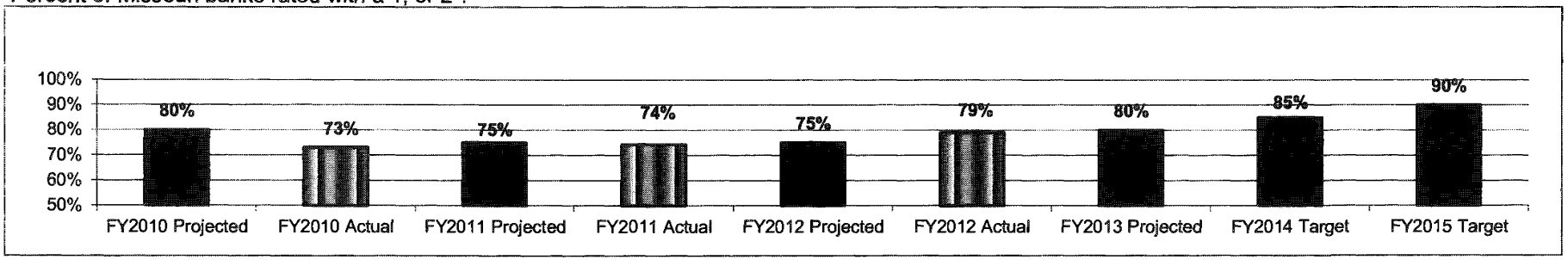
6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

Department of Insurance, Financial Institutions and Professional Registration
Bank and Trust Company Regulation
Program is found in the following core budget(s): Finance

7a. Provide an effectiveness measure.

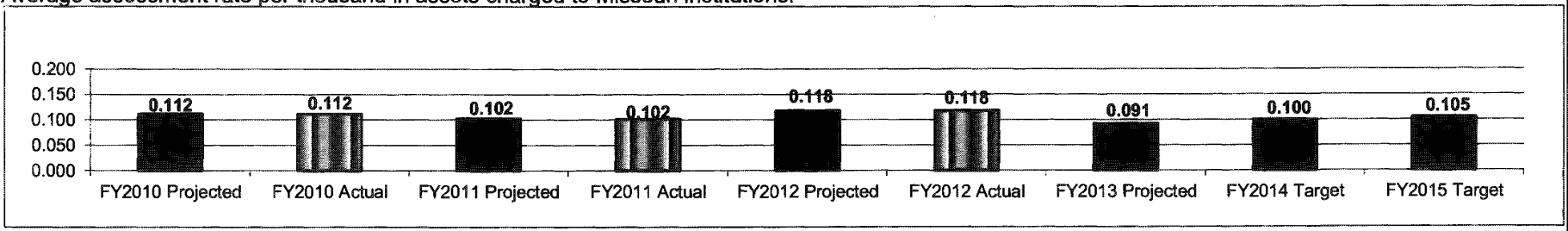
Percent of Missouri banks rated with a 1, or 2*.



*A bank's performance is measured by the CAMELS ratings. The CAMELS rating system (1 - 5) is based upon evaluation of critical elements of a bank's operations. Banks rated at a CAMELS rate of 3, 4 or 5 are considered to be problem institutions.

7b. Provide an efficiency measure.

Average assessment rate per thousand in assets charged to Missouri institutions.



7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
State-chartered Banks	284	279	279	275	275	274	274	274	274

7d. Provide a customer satisfaction measure, if available.

The Division of Finance has contracted with MU to conduct a post-examination survey of customer satisfaction on an overall rating scale of 1(poor) to 5 (excellent).

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
MU Survey Results	4.00	4.12	4.00	4.08	4.00	4.19	4.00	4.00	4.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Consumer Credit Licensing and Regulation
Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 364, 365, 367 and 408 RSMo.

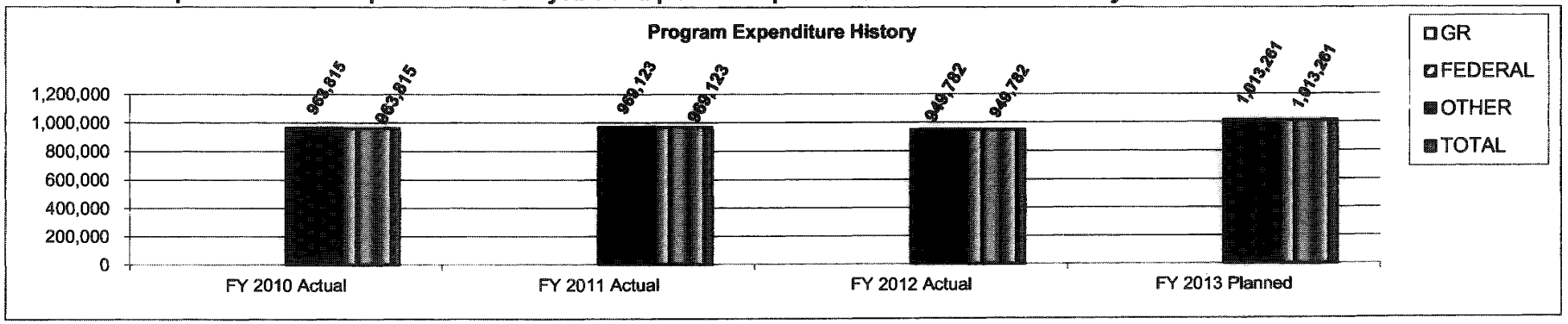
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

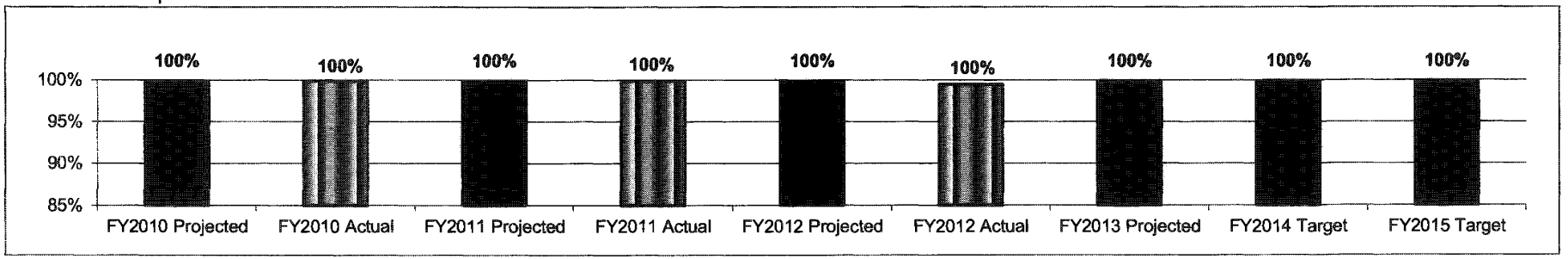
Division of Finance Fund (0550)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Consumer Credit Licensing and Regulation
Program is found in the following core budget(s): Finance

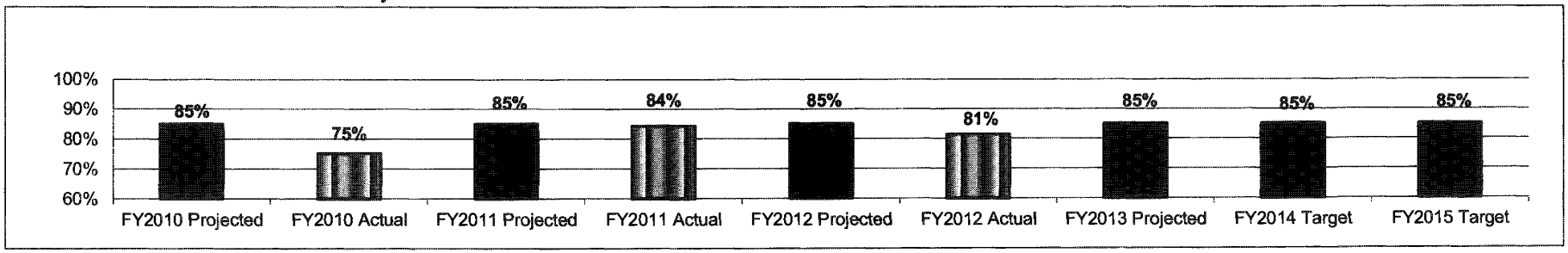
7a. Provide an effectiveness measure.

Percent of compliant licensees.



7b. Provide an efficiency measure.

Percent of licensees examined each year.



7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Licensees	2,800	2,724	2,750	2,783	2,800	2,916	2,925	2,950	2,975

7d. Provide a customer satisfaction measure, if available.

None available.

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NEW DECISION ITEM
RANK: 8 OF 11

Department of Insurance, Financial Institutions & Professional Registration	**Budget Unit** 42510C
Division of Finance	
Personal Service Increase	**DI# 1375004**

1. AMOUNT OF REQUEST

	FY 2014 Budget Request				FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	496,825	496,825	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**496,825**	**496,825**	**Total**	**0**	**0**	**0**	**0**
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*255,418*	*255,418*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Finance Fund (0550)

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate	X	Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Division of Finance is requesting an increase to Personal Service appropriation to fund future examiner and professional staff promotions. Section 361.170 RSMo requires the director of finance to maintain an equitable salary schedule for all Division employees. Such schedule shall allow for a comparison of state examiner positions with similar examiner positions at federal bank regulatory agencies. While the statute allows division employees to be compensated up to 90% of our federal counterparts, senior examiner positions are compensated at approximately 60% of our federal counterparts. The division's current personal service appropriation will cover the costs of anticipated promotions in FY2013; however, payroll projections indicate the division's personal service appropriation will not adequately fund promotions in FY2014 and FY2015.

NEW DECISION ITEM
RANK: 8 OF 11

Department of Insurance, Financial Institutions & Professional Registration	**Budget Unit** 42510C
Division of Finance	
Personal Service Increase	**DI# 1375004**

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Payroll projections were completed for FY2013, FY2014 and FY2015 assuming that all employees remained employed with the division and moved up the examiner career ladder on target. The division's current personal service appropriation will cover the costs of promotions in FY2013; however, payroll projections indicate the division's personal service appropriation is $496,825 less than what is needed to fund promotions during FY2014 and FY2015. Therefore, the division is requesting to increase our personal service appropriation by $496,825 to fund future promotions over the next two fiscal years.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100 / Personal Services					496,825		496,825	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**496,825**	**0.0**	**496,825**	**0.0**	**0**
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**496,825**	**0.0**	**496,825**	**0.0**	**0**

NEW DECISION ITEM
RANK: 8 OF 11

Department of Insurance, Financial Institutions & Professional Registration					**Budget Unit** 42510C				
Division of Finance									
Personal Service Increase	**DI# 1375004**								
Budget Object Class/Job Class	**Gov Rec GR DOLLARS**	**Gov Rec GR FTE**	**Gov Rec FED DOLLARS**	**Gov Rec FED FTE**	**Gov Rec OTHER DOLLARS**	**Gov Rec OTHER FTE**	**Gov Rec TOTAL DOLLARS**	**Gov Rec TOTAL FTE**	**Gov Rec One-Time DOLLARS**
							0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

NEW DECISION ITEM
RANK: 8 OF 11

Department of Insurance, Financial Institutions & Professional Registration	**Budget Unit** 42510C
Division of Finance	
Personal Service Increase	**DI# 1375004**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Staff turnover rates

FY2010	7.58%
FY2011	6.00%
FY2012	6.67%
FY2013 Projected	6.00%
FY2014 Projected	6.00%
FY2015 Projected	6.00%

6b. Provide an efficiency measure.

Average assessment rate per thousand in assets charged to Missouri institutions.

FY2010	0.112
FY2011	0.102
FY2012	0.118
FY2013	0.091
FY2014 Projected	0.091
FY2015 Projected	0.091

6c. Provide the number of clients/individuals served, if applicable.

State-chartered Banks

	# Banks	Total Assets
FY2010	279	$77.0 billion
FY2011	275	$91.3 billion
FY2012 Projected	274	$95.7 billion
FY2013 Projected	274	$97.9 billion
FY2014 Projected	274	$100.1 billion
FY2015 Projected	274	$102.3 billion

6d. Provide a customer satisfaction measure, if available.

Customer satisfaction scale of 1-5

Current	4.19
FY2012 Projected	4.19
FY2013 Projected	4.25
FY2014 Projected	4.25
FY2015 Projected	4.25

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Funding is necessary to continue promoting division of finance examiners consistent with the career ladder and maintaining a minimal turnover rate with overall professional staff. Maintaining a well trained qualified staff is of utmost importance in dealing with a complex and growing banking industry.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
FINANCE								
Personal Services Increase - 1375004								
SALARIES & WAGES	0	0.00	0	0.00	496,825	0.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**496,825**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$496,825**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$496,825**	**0.00**		**0.00**

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Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
S&L FUND TRANSFER								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
TOTAL - TRF	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
TOTAL	**34,859**	**0.00**	**50,000**	**0.00**	**50,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$34,859**	**0.00**	**$50,000**	**0.00**	**$50,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42520C
Division of Finance
Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	50,000	50,000
Total	0	0	50,000	50,000
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Savings and Loan Supervision Fund (0549)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This transfer provides funds to the Division of Finance Fund from the Savings and Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

3. PROGRAM LISTING (list programs included in this core funding)

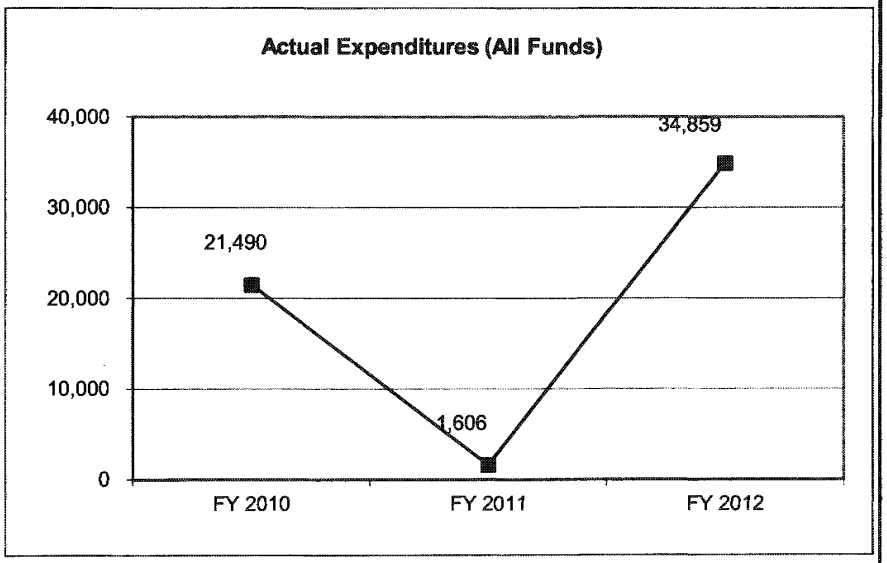
Savings and Loan Supervision Transfer

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42520C
Division of Finance
Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	39,400	39,400	39,400	50,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	39,400	39,400	39,400	N/A
Actual Expenditures (All Funds)	21,490	1,606	34,859	N/A
Unexpended (All Funds)	17,910	37,794	4,541	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	17,910	37,794	4,541	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Required transfer amount less than appropriation.
(2) Required transfer amount less than appropriation.
(3) Required transfer amount less than appropriation.

CORE RECONCILIATION DETAIL

DIFP

S&L FUND TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	50,000	50,000	
	Total	**0.00**	**0**	**0**	**50,000**	**50,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	50,000	50,000	
	Total	**0.00**	**0**	**0**	**50,000**	**50,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	50,000	50,000	
	Total	**0.00**	**0**	**0**	**50,000**	**50,000**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
S&L FUND TRANSFER								
CORE								
TRANSFERS OUT	34,859	0.00	50,000	0.00	50,000	0.00	0	0.00
TOTAL - TRF	**34,859**	**0.00**	**50,000**	**0.00**	**50,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$34,859**	**0.00**	**$50,000**	**0.00**	**$50,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$34,859**	**0.00**	**$50,000**	**0.00**	**$50,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Division of Savings and Loan Supervision Fund Transfer to Finance Fund
Program is found in the following core budget(s): Division of Savings and Loan Supervision Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Division of Savings and Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 369 RSMo.

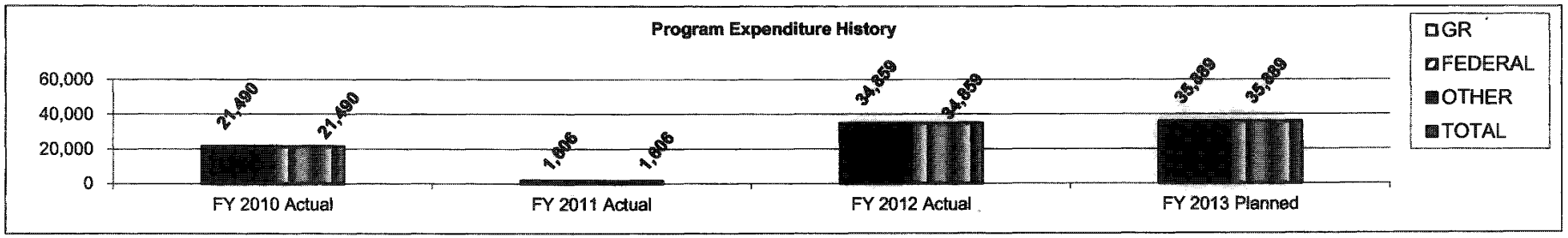
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
RESIDENTAL MORTGAGE FUND TRF								
CORE								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL - TRF	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL	**410,151**	**0.00**	**700,000**	**0.00**	**700,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$410,151**	**0.00**	**$700,000**	**0.00**	**$700,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42550C
Division of Finance
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund

1. CORE FINANCIAL SUMMARY

FY 2014 Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	700,000	700,000
Total	0	0	700,000	700,000
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Residential Mortgage Licensing Fund (0261)

FY 2014 Governor's Recommendation

	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

3. PROGRAM LISTING (list programs included in this core funding)

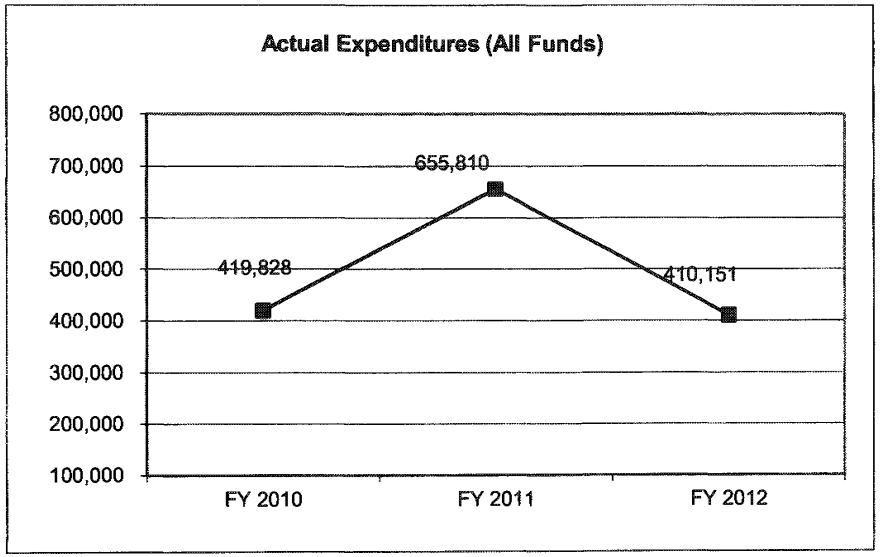
Residential Mortgage Licensing Fund Transfer

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42550C
Division of Finance
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	419,900	660,000	600,000	700,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	419,900	660,000	600,000	N/A
Actual Expenditures (All Funds)	419,828	655,810	410,151	N/A
Unexpended (All Funds)	72	4,190	189,849	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	72	4,190	189,849	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Original appropriation of $150,000 E was increased by $269,900.
(2) Original appropriation of $150,000 E was increased by $510,000.
(3) Required transfer amount less than appropriation.

DIFP

RESIDENTAL MORTGAGE FUND TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	700,000	700,000	
	Total	**0.00**	**0**	**0**	**700,000**	**700,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	700,000	700,000	
	Total	**0.00**	**0**	**0**	**700,000**	**700,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	700,000	700,000	
	Total	**0.00**	**0**	**0**	**700,000**	**700,000**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
RESIDENTAL MORTGAGE FUND TRF								
CORE								
TRANSFERS OUT	410,151	0.00	700,000	0.00	700,000	0.00	0	0.00
TOTAL - TRF	**410,151**	**0.00**	**700,000**	**0.00**	**700,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$410,151**	**0.00**	**$700,000**	**0.00**	**$700,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$410,151**	**0.00**	**$700,000**	**0.00**	**$700,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Residential Mortgage Licensing Fund Transfer
Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 443.845 RSMo.

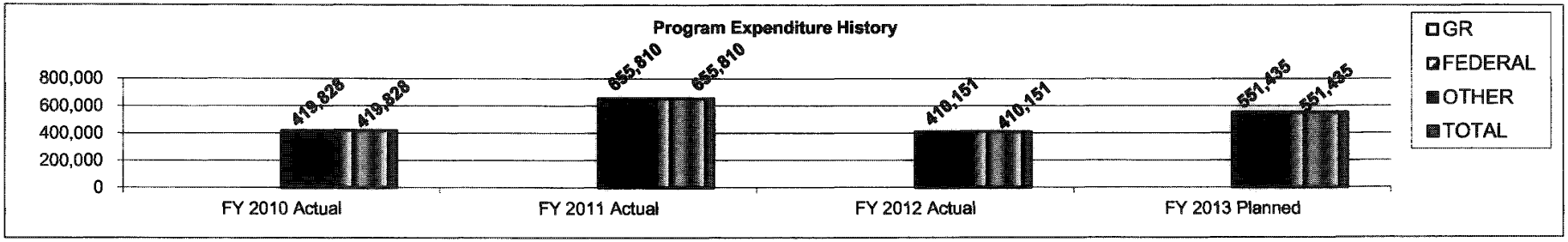
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Residential Mortgage Licensing Fund (0261)

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit Decision Item Budget Object Summary Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
S&L FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	0	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL - TRF	0	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL	**0**	**0.00**	**25,000**	**0.00**	**25,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$25,000**	**0.00**	**$25,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42540C
Division of Finance
Core - Division of Savings and Loan Supervision Fund Transfer to General Revenue

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	25,000	25,000
Total	0	0	25,000	25,000
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Savings & Loan Supervision Fund (0549)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

In accordance with State Statute Chapter 369.324 RSMo., any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. This transfer is necessary to meet the requirements of the statute.

3. PROGRAM LISTING (list programs included in this core funding)

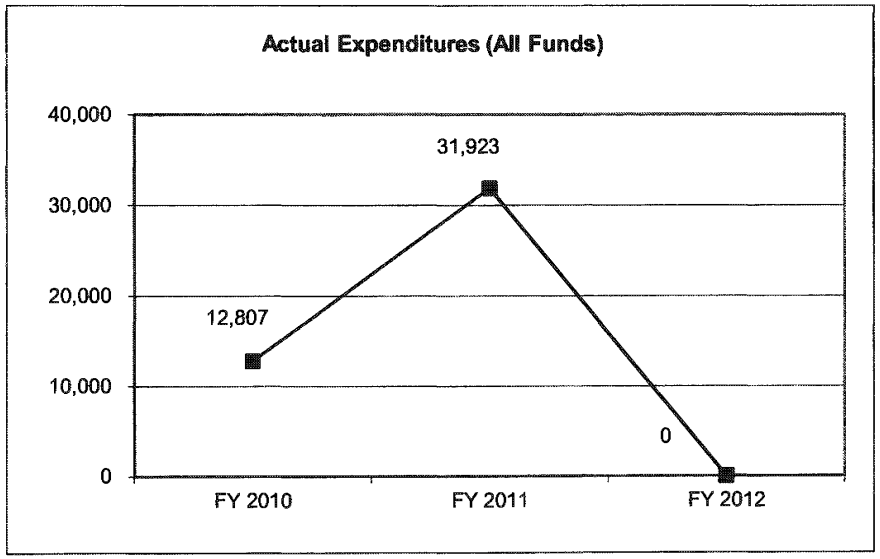
Division of Savings and Loan Supervision Fund Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42540C
Division of Finance
Core - Division of Savings and Loan Supervision Fund Transfer to General Revenue

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	12,809	31,923	6,909	25,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	12,809	31,923	6,909	N/A
Actual Expenditures (All Funds)	12,807	31,923	0	N/A
Unexpended (All Funds)	2	0	6,909	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	2	0	6,909	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Original appropriation of $6,909 E was increased by $5,900.
(2) Original appropriation of $6,909 E was increased by $25,014.
(3) No transfer required for FY 2012.

CORE RECONCILIATION DETAIL

DIFP

S&L FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	25,000	25,000	
	Total	**0.00**	**0**	**0**	**25,000**	**25,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	25,000	25,000	
	Total	**0.00**	**0**	**0**	**25,000**	**25,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	25,000	25,000	
	Total	**0.00**	**0**	**0**	**25,000**	**25,000**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
S&L FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	0	0.00	25,000	0.00	25,000	0.00	0	0.00
TOTAL - TRF	**0**	**0.00**	**25,000**	**0.00**	**25,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$25,000**	**0.00**	**$25,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$25,000**	**0.00**	**$25,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Division of Savings and Loan Supervision Fund Transfer to General Revenue
Program is found in the following core budget(s): Division of Savings and Loan Supervision Fund Transfer to General Revenue

1. What does this program do?

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 369.324 RSMo.

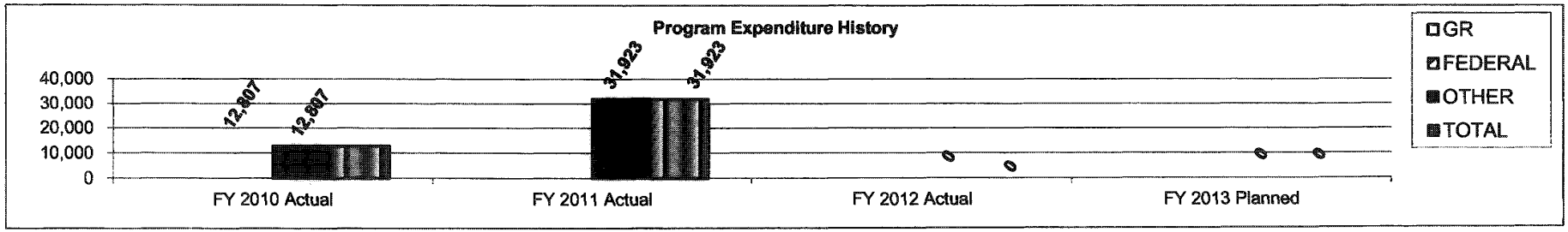
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
CORE								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	3,031,753	88.96	3,351,663	84.50	3,351,663	84.50	0	0.00
TOTAL - PS	3,031,753	88.96	3,351,663	84.50	3,351,663	84.50	0	0.00
EXPENSE & EQUIPMENT								
PROFESSIONAL REGISTRATION FEES	726,200	0.00	1,289,686	0.00	1,289,686	0.00	0	0.00
TOTAL - EE	726,200	0.00	1,289,686	0.00	1,289,686	0.00	0	0.00
PROGRAM-SPECIFIC								
PROFESSIONAL REGISTRATION FEES	48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL - PD	48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL	**3,806,640**	**88.96**	**4,766,349**	**84.50**	**4,766,349**	**84.50**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	0	0.00	0	0.00	2,701	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	2,701	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**2,701**	**0.00**	**0**	**0.00**
Real Estate Appraisers - 1375007								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**55,000**	**1.00**	**0**	**0.00**
GRAND TOTAL	**$3,806,640**	**88.96**	**$4,766,349**	**84.50**	**$4,824,050**	**85.50**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42640C
Professional Registration
Core - Professional Registration Administration

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	3,351,663	3,351,663
EE	0	0	1,289,686	1,289,686
PSD	0	0	125,000	125,000
TRF	0	0	0	0
Total	0	0	4,766,349	4,766,349
FTE	0.00	0.00	84.50	84.50
Est. Fringe	*0*	*0*	*1,723,090*	*1,723,090*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Professional Registration Fees Fund (0689)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance, and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, behavior analysts, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, private fire investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders, and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry, and Veterinary.

3. PROGRAM LISTING (list programs included in this core funding)

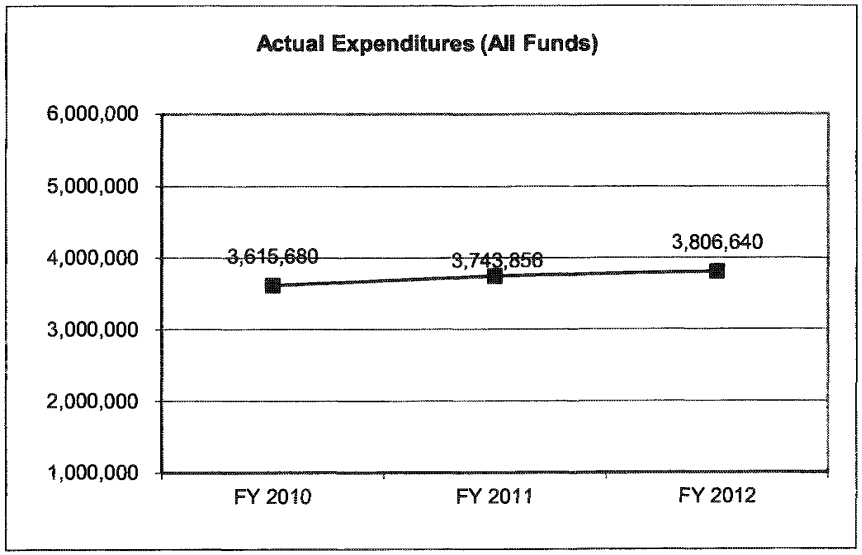
Professional Registration Administration	Board of Geologist Registration	Board of Private Investigator and Private Fire Investigator Examiners
Missouri Acupuncture Advisory Committee	Board of Hearing Instrument Specialists	
Office of Athletics	Interior Design Council	Committee for Professional Counselors
Office of Athlete Agents	State Committee of Interpreters	State Committee of Psychologists
State Board of Chiropractic Examiners (PS Only)	Committee for Marital & Family Therapists	Missouri Real Estate Appraisers Commission
State Board of Cosmetology & Barbers (PS Only)	State Board of Therapeutic Massage	Board for Respiratory Care
Committee for Dietitians	Occupational Therapy	State Committee for Social Workers
State Board of Embalmers & Funeral Directors (PS Only)	State Board of Optometry (PS Only)	Office of Tattooing, Body Piercing & Branding
Endowed Care Cemeteries	State Board of Podiatric Medicine (PS Only)	Board of Veterinary Medicine (PS Only)

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42640C
Professional Registration
Core - Professional Registration Administration

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	4,319,134	4,514,192	4,514,192	4,766,349
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	4,319,134	4,514,192	4,514,192	N/A
Actual Expenditures (All Funds)	3,615,680	3,743,856	3,806,640	N/A
Unexpended (All Funds)	703,454	770,336	707,552	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	703,454	770,336	707,552	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Original appropriation of $35,000 E for refunds was increased by $5,349. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Original appropriation of $88,000 E for testing services was increased by $190,000 and original appropriation of $35,000 E for refunds was increased by $12,000. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Original appropriation of $35,000 E for refunds was increased by $15,500. Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP

PR ADMINISTRATION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	84.50	0	0	3,351,663	3,351,663	
	EE	0.00	0	0	1,289,686	1,289,686	
	PD	0.00	0	0	125,000	125,000	
	Total	**84.50**	**0**	**0**	**4,766,349**	**4,766,349**	
DEPARTMENT CORE REQUEST							
	PS	84.50	0	0	3,351,663	3,351,663	
	EE	0.00	0	0	1,289,686	1,289,686	
	PD	0.00	0	0	125,000	125,000	
	Total	**84.50**	**0**	**0**	**4,766,349**	**4,766,349**	
GOVERNOR'S RECOMMENDED CORE							
	PS	84.50	0	0	3,351,663	3,351,663	
	EE	0.00	0	0	1,289,686	1,289,686	
	PD	0.00	0	0	125,000	125,000	
	Total	**84.50**	**0**	**0**	**4,766,349**	**4,766,349**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
CORE								
OFFICE SUPPORT ASST (CLERICAL)	14,873	0.63	24,252	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (CLERICAL)	35,055	1.38	25,866	1.00	52,866	2.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	122,072	4.09	218,738	7.00	30,008	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	94,694	4.03	137,286	6.00	99,286	4.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	60,679	2.20	52,578	2.00	33,000	1.00	0	0.00
ACCOUNT CLERK II	42,495	1.63	55,382	2.00	26,382	1.00	0	0.00
ACCOUNTANT I	11,286	0.38	0	0.00	32,000	1.00	0	0.00
ACCOUNTANT II	37,296	1.00	38,701	1.00	38,701	1.00	0	0.00
BUDGET ANAL II	36,612	1.00	37,518	1.00	37,518	1.00	0	0.00
PERSONNEL OFCR I	50,076	1.00	52,819	1.00	52,819	1.00	0	0.00
RESEARCH ANAL II	33,026	0.95	35,308	1.00	36,308	1.00	0	0.00
PUBLIC INFORMATION SPEC I	11,093	0.38	0	0.00	31,000	1.00	0	0.00
EXECUTIVE I	84,335	2.55	105,996	3.00	35,500	1.00	0	0.00
EXECUTIVE II	36,612	1.00	37,314	1.00	37,814	1.00	0	0.00
PERSONNEL CLERK	28,140	1.00	29,726	1.00	29,726	1.00	0	0.00
INVESTIGATOR I	1,632	0.05	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	115,856	3.10	156,756	4.00	114,756	3.00	0	0.00
INVESTIGATOR III	46,080	1.00	48,084	1.00	48,084	1.00	0	0.00
GRAPHIC ARTS SPEC II	17,003	0.63	26,706	1.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	45,060	1.00	45,924	1.00	46,024	1.00	0	0.00
INSURANCE FINANCIAL ANALYST II	80,424	2.00	82,455	2.00	157,455	3.00	0	0.00
COMBATIVE SPORTS ADMINISTRATOR	52,200	1.00	53,201	1.00	53,601	1.00	0	0.00
INSPECTOR (PROF REGISTRATION)	296,922	10.55	316,961	11.00	316,961	11.00	0	0.00
INSP SUPV (PROF REGISTRATION)	30,384	1.00	31,774	1.00	32,774	1.00	0	0.00
FUNERAL ESTABLISHMENT INSP	40,968	1.00	41,753	1.00	41,953	1.00	0	0.00
PROF REG LIC TECH I	72,984	3.15	168,163	7.00	0	0.00	0	0.00
PROF REG LIC TECH II	170,536	6.54	360,594	13.50	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	4,782	0.14	0	0.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	0	0.00	0	0.00	40,000	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	95,333	1.64	117,904	2.00	65,904	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	21,844	0.38	0	0.00	61,000	1.00	0	0.00
PROCESSING TECHNICIAN I	53,757	2.31	0	0.00	122,000	5.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
CORE								
PROCESSING TECHNICIAN II	212,900	7.99	0	0.00	498,319	19.50	0	0.00
PROCESSING TECHNICIAN III	8,355	0.25	0	0.00	40,000	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	20,103	0.56	0	0.00	85,000	2.00	0	0.00
DIVISION DIRECTOR	94,760	1.00	94,760	1.00	94,760	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	46,535	0.82	127,974	0.00	97,974	3.00	0	0.00
LEGAL COUNSEL	141,460	2.46	186,995	3.00	136,995	2.00	0	0.00
CHIEF COUNSEL	37,375	0.54	0	0.00	80,000	1.00	0	0.00
BOARD MEMBER	63,235	4.50	102,359	0.00	102,359	0.00	0	0.00
STUDENT WORKER	6,316	0.29	8,785	0.00	8,785	0.00	0	0.00
CLERK	81,295	3.22	55,733	0.00	58,733	0.00	0	0.00
EXECUTIVE	398	0.01	0	0.00	0	0.00	0	0.00
INSPECTOR	35,150	1.35	44,670	0.00	44,670	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	439,762	7.26	428,628	7.00	430,628	7.00	0	0.00
TOTAL - PS	**3,031,753**	**88.96**	**3,351,663**	**84.50**	**3,351,663**	**84.50**	**0**	**0.00**
TRAVEL, IN-STATE	70,953	0.00	119,125	0.00	119,125	0.00	0	0.00
TRAVEL, OUT-OF-STATE	20,397	0.00	53,700	0.00	53,700	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	0	0.00
SUPPLIES	104,618	0.00	149,002	0.00	149,002	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	68,972	0.00	169,392	0.00	169,392	0.00	0	0.00
COMMUNICATION SERV & SUPP	52,721	0.00	53,525	0.00	53,525	0.00	0	0.00
PROFESSIONAL SERVICES	308,945	0.00	605,945	0.00	605,945	0.00	0	0.00
M&R SERVICES	15,738	0.00	38,445	0.00	38,445	0.00	0	0.00
MOTORIZED EQUIPMENT	18,769	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	9,532	0.00	22,225	0.00	22,225	0.00	0	0.00
OTHER EQUIPMENT	31,675	0.00	550	0.00	550	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	25,050	0.00	25,050	0.00	0	0.00
BUILDING LEASE PAYMENTS	10,514	0.00	18,250	0.00	18,250	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,741	0.00	2,800	0.00	2,800	0.00	0	0.00
MISCELLANEOUS EXPENSES	11,625	0.00	31,675	0.00	31,675	0.00	0	0.00
TOTAL - EE	**726,200**	**0.00**	**1,289,686**	**0.00**	**1,289,686**	**0.00**	**0**	**0.00**

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
CORE								
REFUNDS	48,687	0.00	125,000	0.00	125,000	0.00	0	0.00
TOTAL - PD	**48,687**	**0.00**	**125,000**	**0.00**	**125,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$3,806,640**	**88.96**	**$4,766,349**	**84.50**	**$4,766,349**	**84.50**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$3,806,640**	**88.96**	**$4,766,349**	**84.50**	**$4,766,349**	**84.50**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Professional Registration Administration
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 620.105-620.154 RSMo.

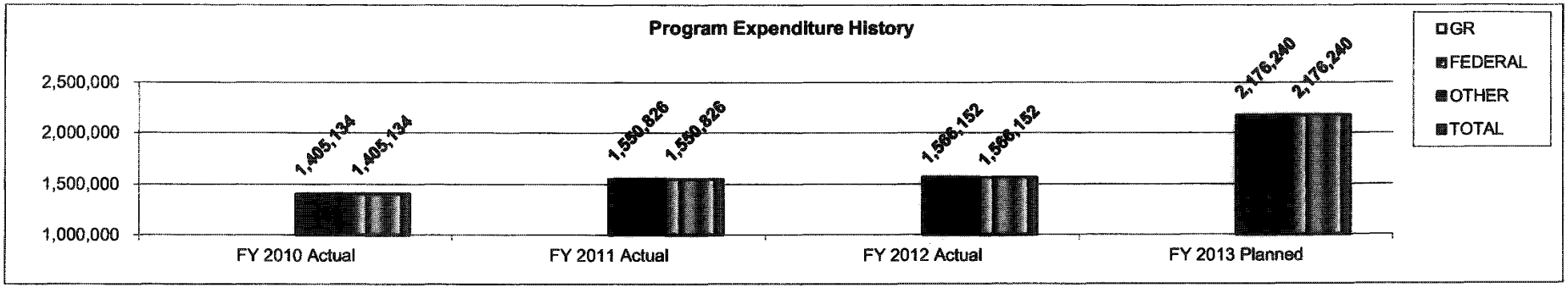
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



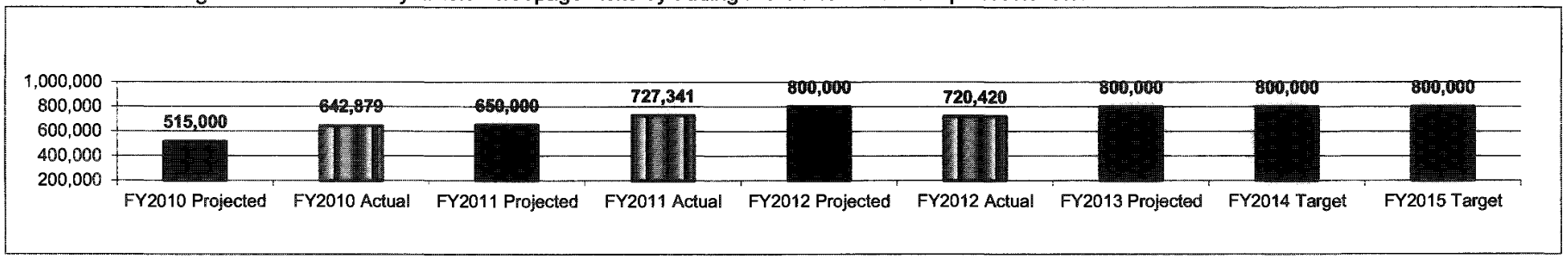
6. What are the sources of the "Other " funds?

Professional Registration Fee Fund (0689)

Department of Insurance, Financial Institutions and Professional Registration
Professional Registration Administration
Program is found in the following core budget(s): Professional Registration Administration

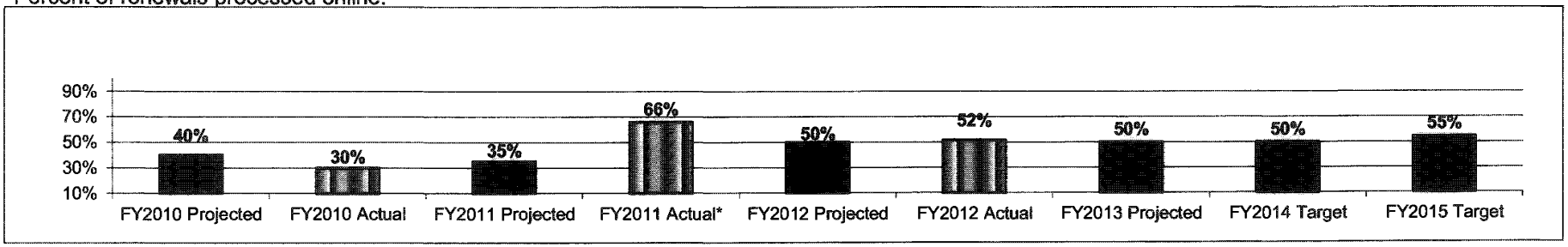
7a. Provide an effectiveness measure.

Increase the average number of monthly division webpage visits by adding more information for professionals.



7b. Provide an efficiency measure.

Percent of renewals processed online.



*Approximately 90,000 registered nurses had their biennial renewal period in FY2011, of which approximately 80 percent renewed online. The significant increase in online renewal percentages for FY2011 can be attributed to this.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Licensed Professionals	402,097	423,729	423,729	426,015	426,015	434,597	434,597	434,597	434,597
Board Members	233	233	240	246	246	244	244	244	244
Division Employees	218	218	223	223	223	222	222	222	222
Renewals Processed	200,000	187,938	219,986	216,980	216,980	191,460	191,460	191,460	191,460

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Acupuncturist Advisory Committee
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.475-324.635 RSMo.

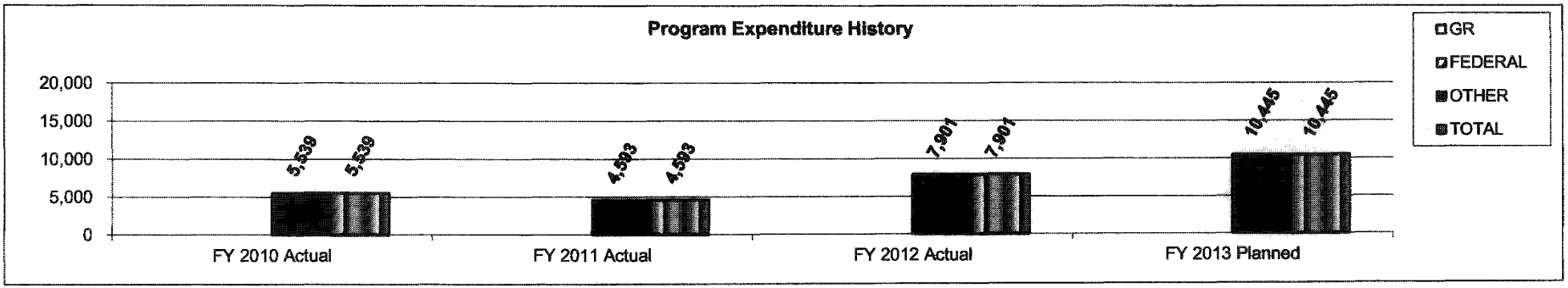
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

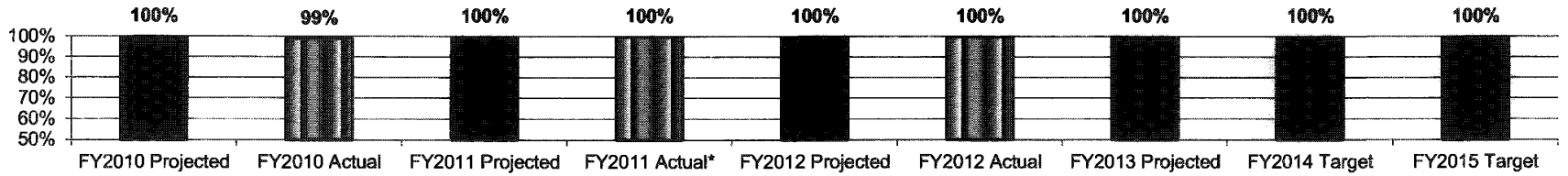
Acupuncturist Fund (0882)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Acupuncturist Advisory Committee
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	13	9	7	15	9	11	7	7	7
Licensed Professionals	96	94	94	107	102	114	104	104	107

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Athlete Agents
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

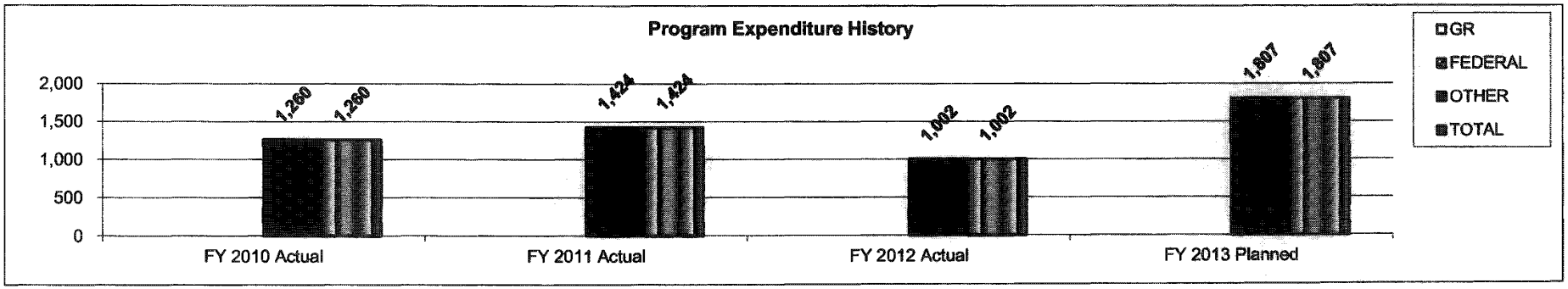
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

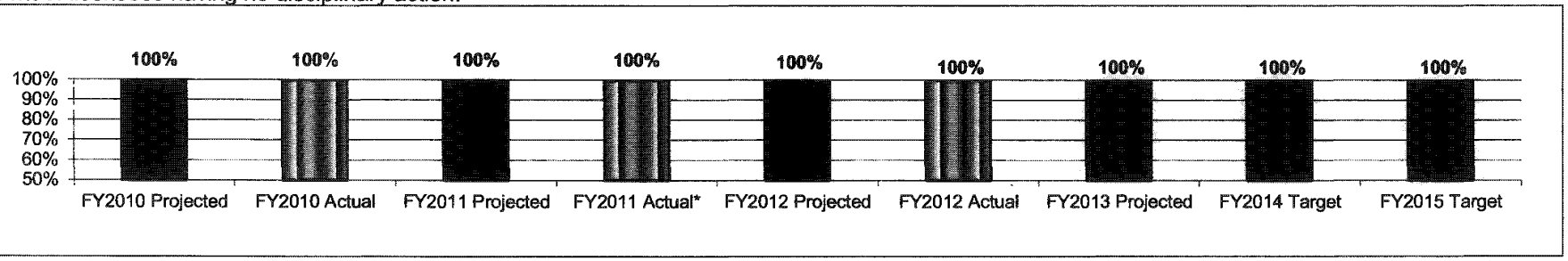
Athlete Agent Fund (0774)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Athlete Agents
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	44	19	23	11	35	9	9	9	12
Licensed Professionals	65	65	65	79	84	65	23	23	26

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Athletics
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers, and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 317.001-317.021 RSMo.

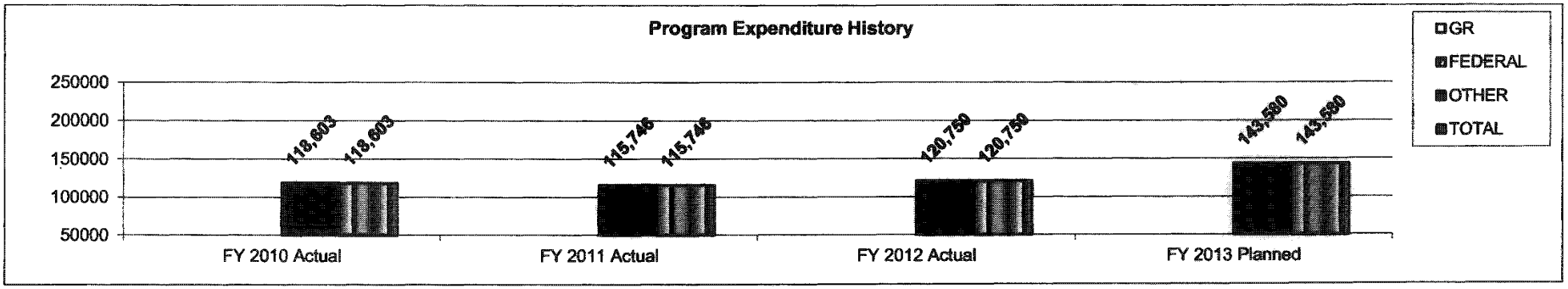
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Office of Athletics (0693)

PROGRAM DESCRIPTION

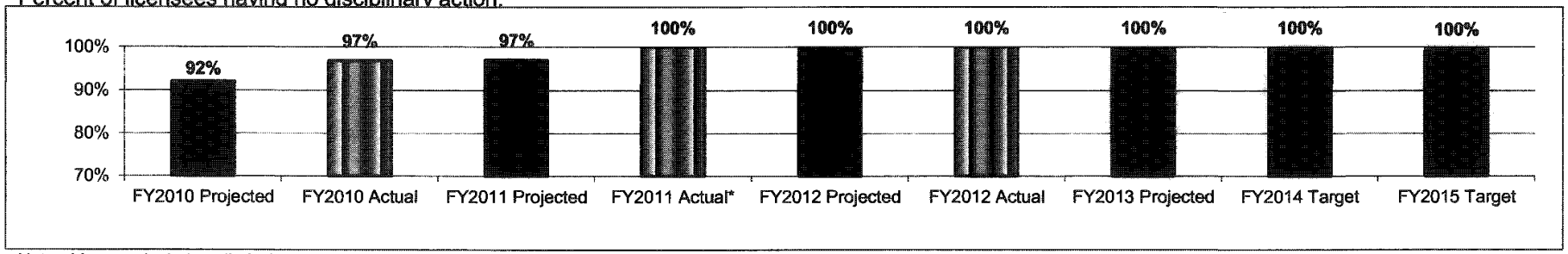
Department of Insurance, Financial Institutions and Professional Registration

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	600	550	874	648	1,200	814	814	814	850
Licensed Professionals	1,174	2,225	2,000	1,495	1,100	2,458	1,066	1,066	1,100

Note: FY10, FY11, and FY12 actual licensee counts are reflective of the renewal period ending on June 30, 2012. Typically athletic contestants do not renew their license until they actually participate in an event.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Chiropractic Examiners
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

FY 2013 PLANNED			
	Chiropractic	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	147,672	68,954	216,626
TOTAL	147,672	68,954	216,626

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

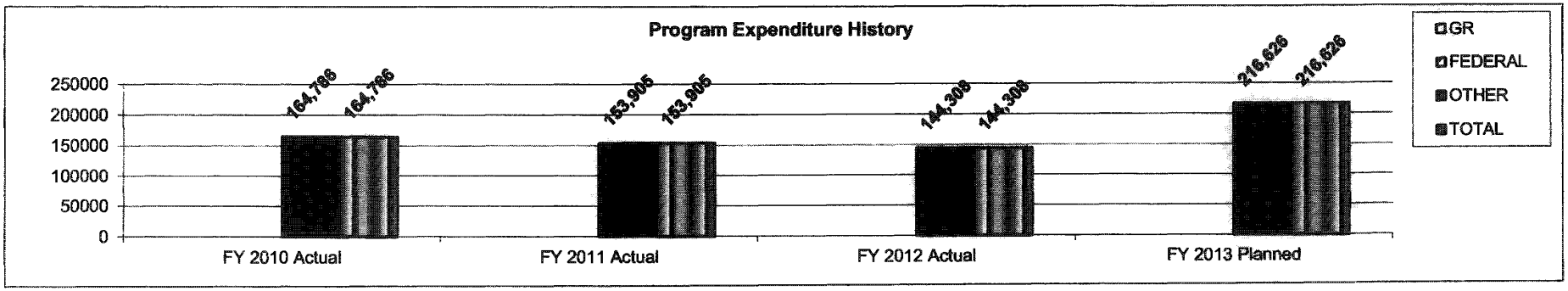
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

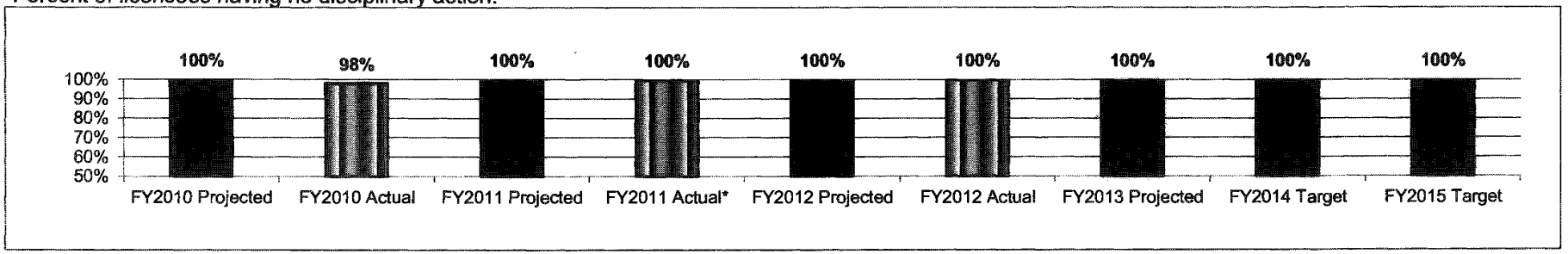
Department of Insurance, Financial Institutions and Professional Registration
State Board of Chiropractic Examiners
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	157	151	140	138	125	128	120	120	120
Licensed Professionals	2,068	2,225	2,007	2,209	2,205	2,348	2,200	2,200	2,200

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Cosmetology and Barber Examiners
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

FY 2013 PLANNED			
	Cosmetology Barber	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	286,409	665,307	951,716
TOTAL	286,409	665,307	951,716

1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

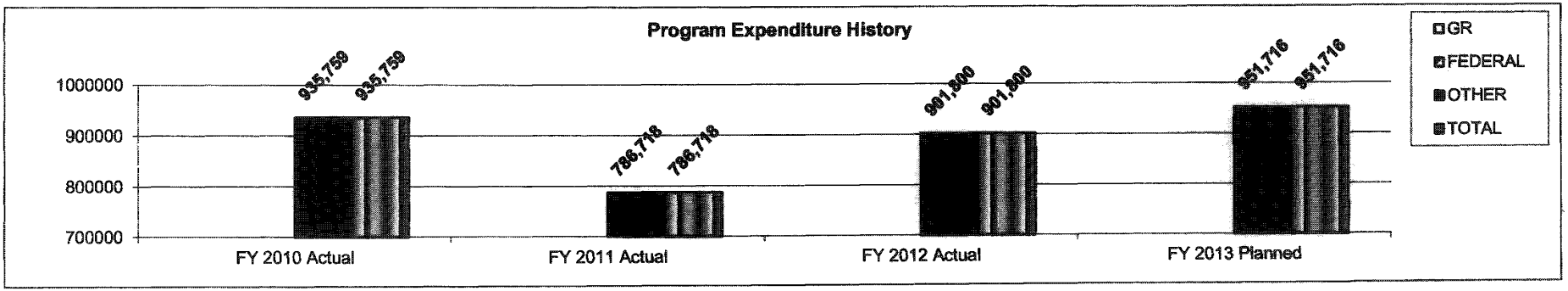
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



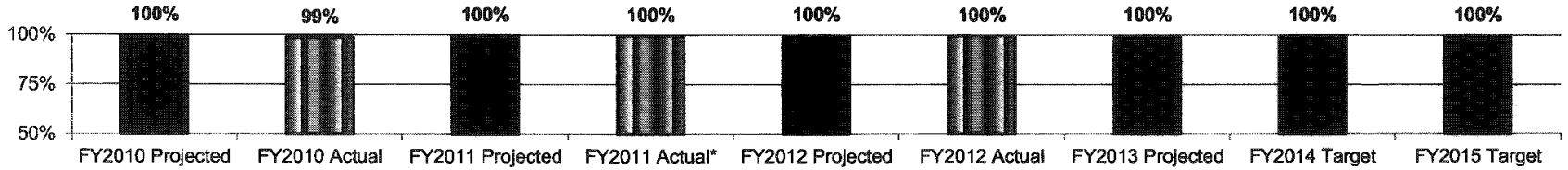
Department of Insurance, Financial Institutions and Professional Registration
Board of Cosmetology and Barber Examiners
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10,603	10,980	11,000	10,362	11,979	11,381	15,100	15,100	15,100
Licensed Professionals	80,100	78,589	80,000	82,601	77,480	79,492	79,643	79,643	79,643

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Committee for Dietitians
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.200-324.228 RSMo.

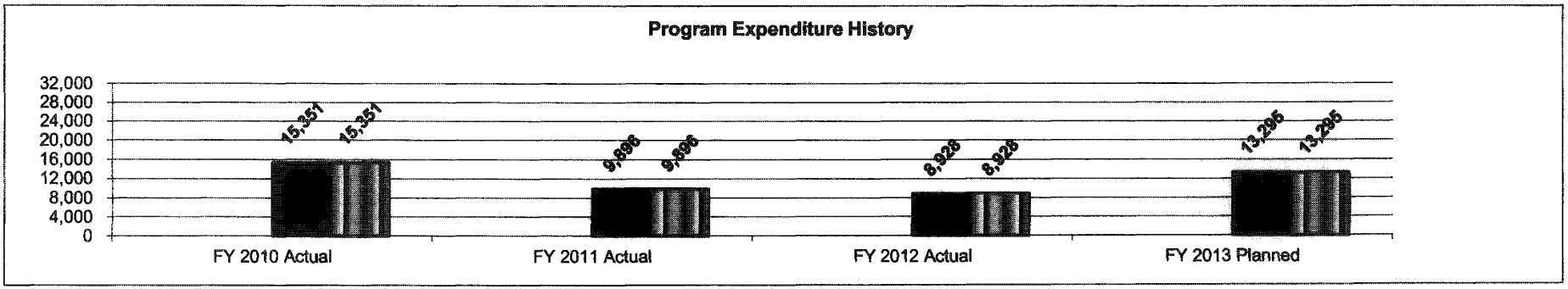
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dietitian Fund (0857)

PROGRAM DESCRIPTION

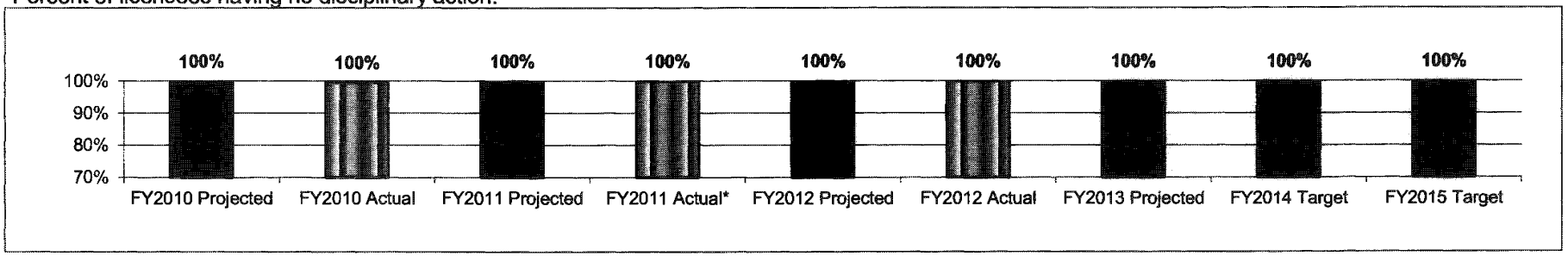
Department of Insurance, Financial Institutions and Professional Registration

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	120	143	145	158	170	165	170	170	175
Licensed Professionals	1,588	1,549	1,550	1,742	1,550	1,700	1,750	1,750	1,800

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Embalmers and Funeral Directors
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	FY 2013 PLANNED		
	Emb & FDs	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	204,033	405,917	609,950
TOTAL	204,033	405,917	609,950

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

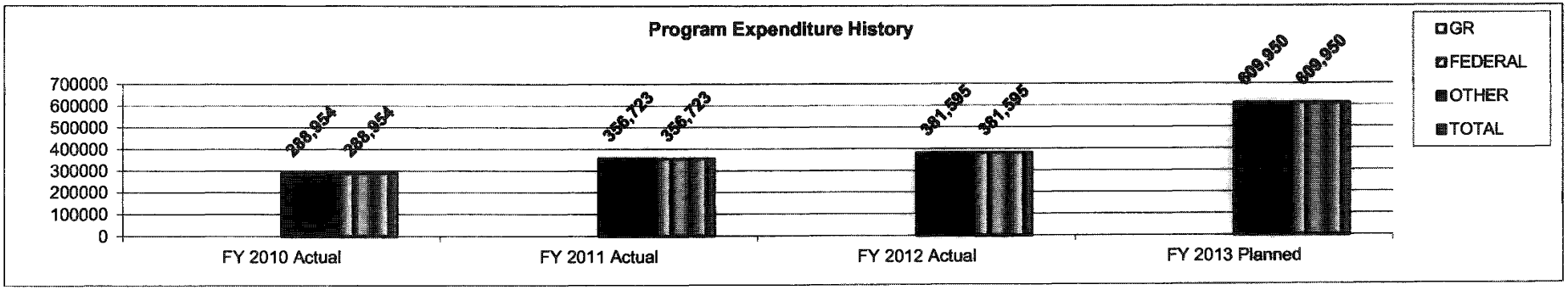
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



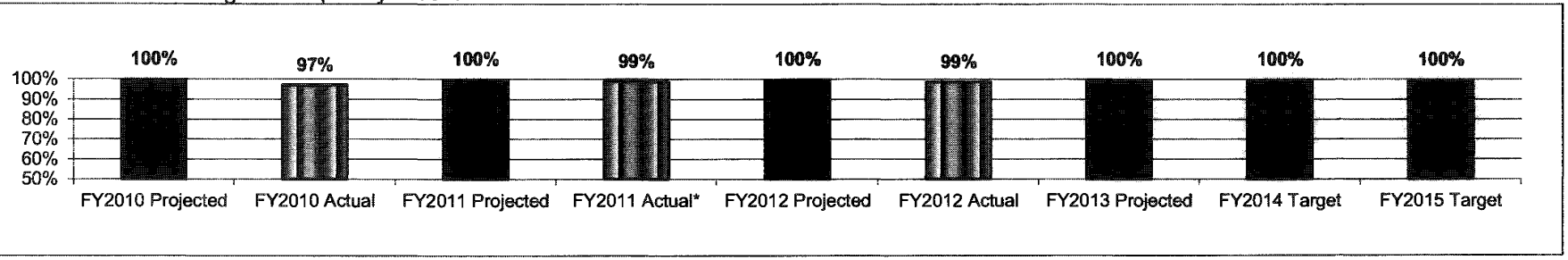
Department of Insurance, Financial Institutions and Professional Registration
State Board of Embalmers and Funeral Directors
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	319	1,460	295	405	405	402	400	400	400
Licensed Professionals	6,183	5,995	5,995	6,254	6,170	6,125	6,170	6,170	6,170

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Endowed Care Cemeteries
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 214.270-214.516 RSMo.

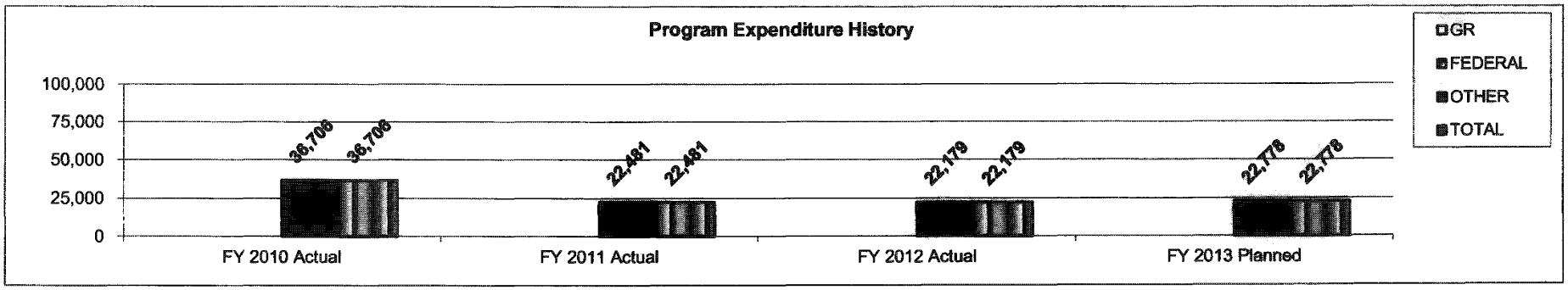
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

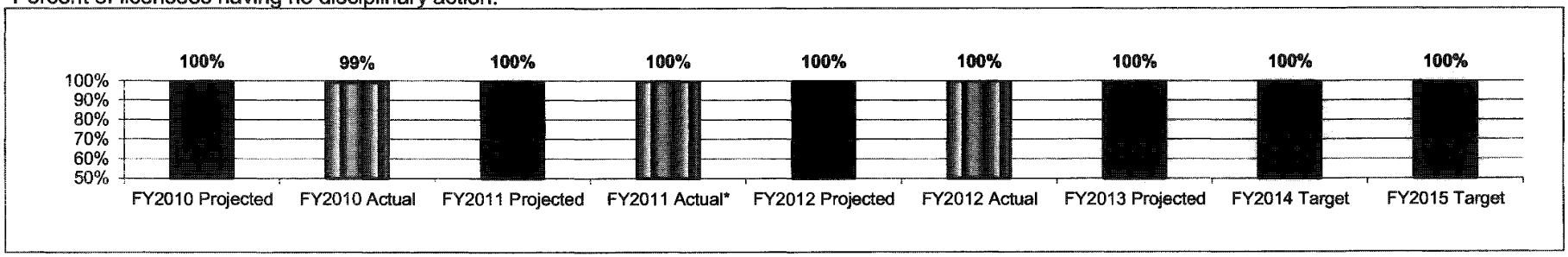
Endowed Care Cemetery Audit Fund (0562)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Endowed Care Cemeteries
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	5	8	30	12	3	2	2	2	2
Licensed Professionals	154	143	172	98	98	133	133	133	135

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Geologist Registration
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 256.010-256.453 RSMo.

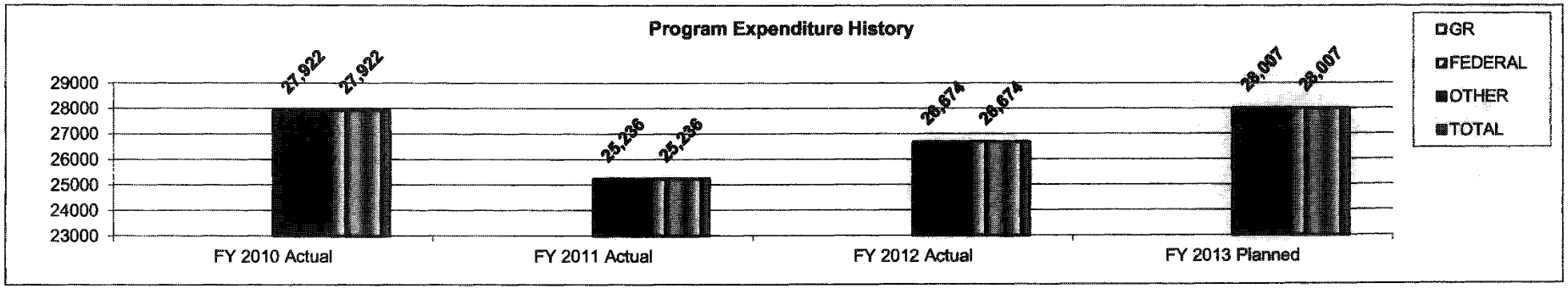
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



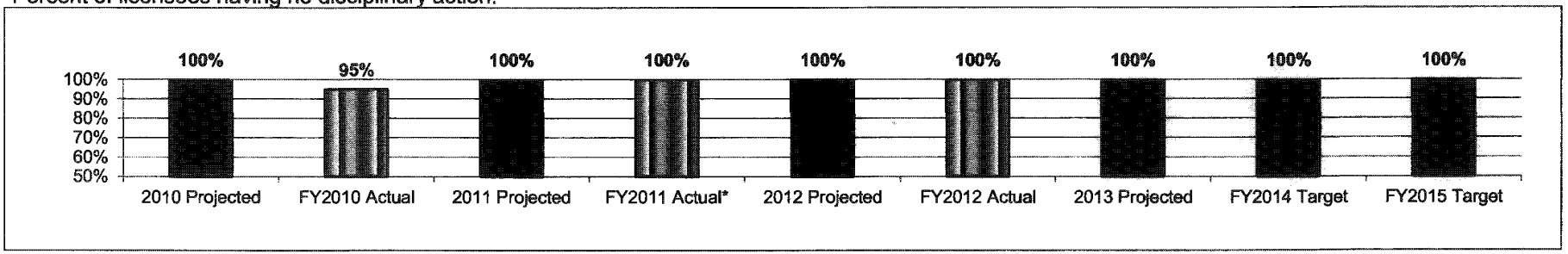
6. What are the sources of the "Other " funds?

The Board of Geologists Registration Fund (0263)

Department of Insurance, Financial Institutions and Professional Registration
Board of Geologist Registration
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	30	40	35	28	28	26	25	25	25
Licensed Professionals	870	797	815	862	832	859	850	850	850

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Hearing Instrument Specialists
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 346.007-346.250 RSMo.

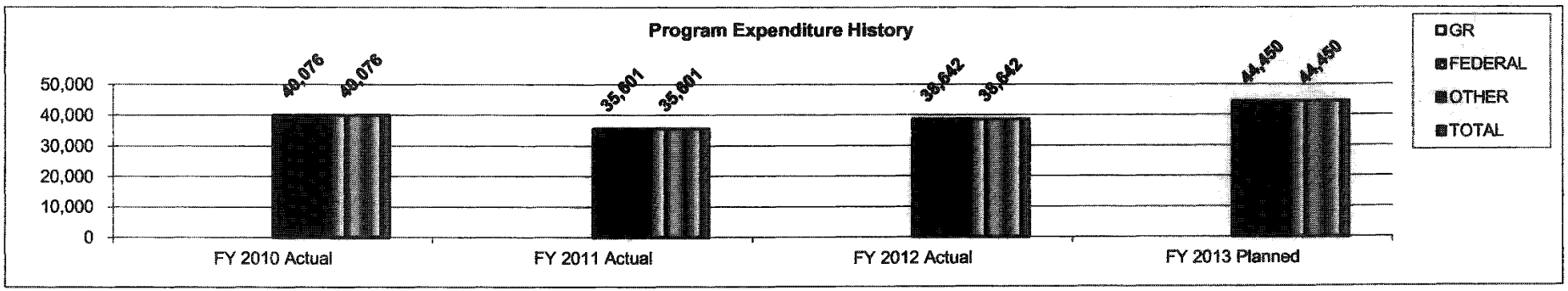
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

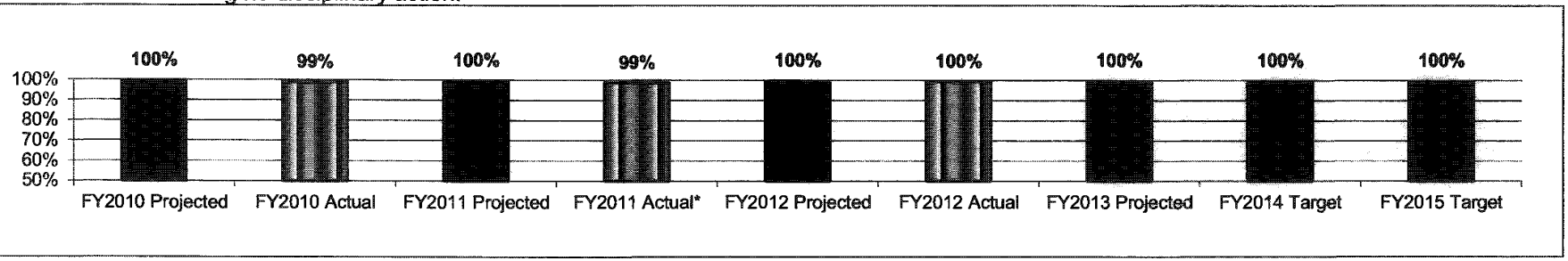
Hearing Instrument Specialists Fund (0247)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Hearing Instrument Specialists
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012*		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	40	66	44	52	34	34	27	27	27
Licensed Professionals	286	261	247	261	250	256	245	245	245

*Beginning in FY 2012 the manner in which applications received are counted has changed to eliminate any chance of duplications.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Interior Design Council
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.400-324.439 RSMo.

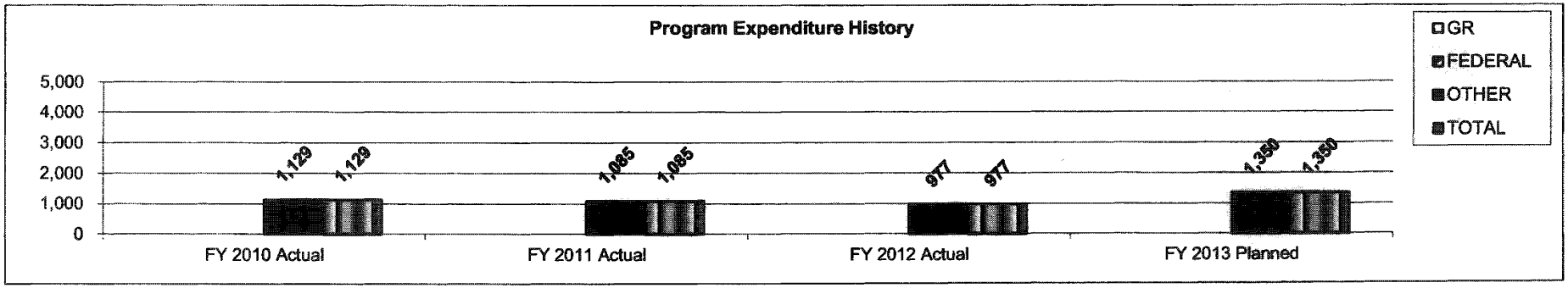
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

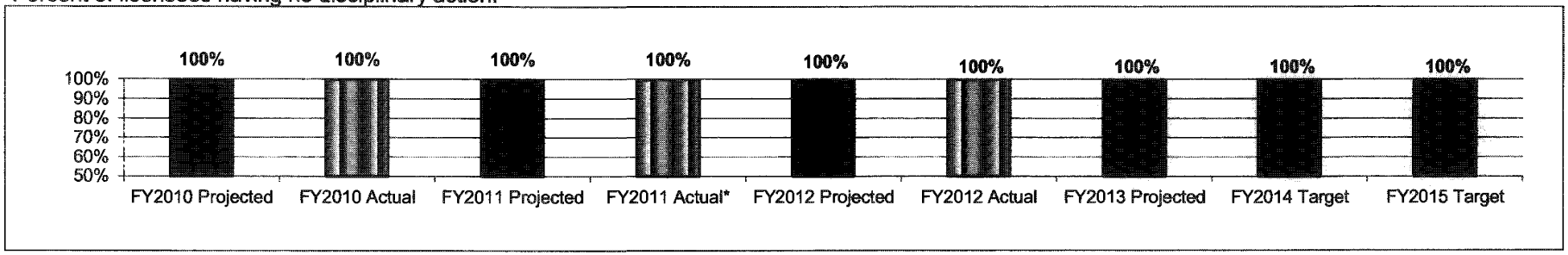
Interior Design Council (0877)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Interior Design Council
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	8	5	6	10	6	9	9	9	9
Licensed Professionals	110	101	106	84	95	93	93	93	93

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee of Interpreters
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 209.319-209.339 RSMo.

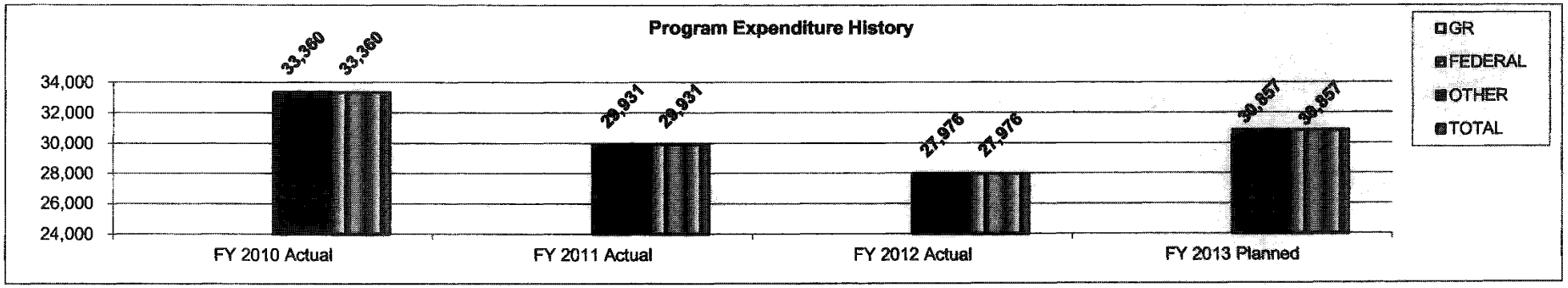
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

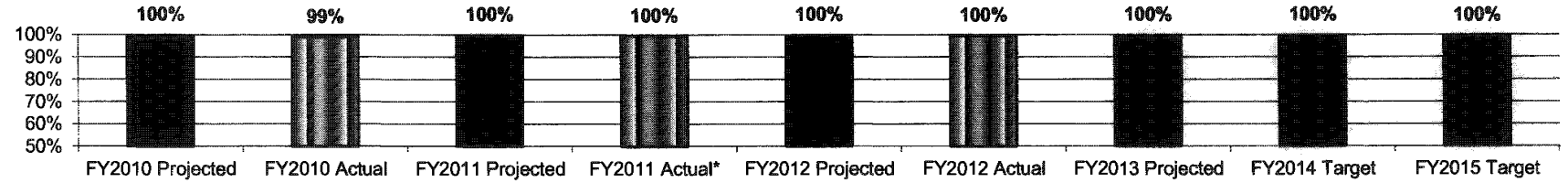
State Committee of Interpreters Fund (0256)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee of Interpreters
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	75	88	85	88	90	74	70	70	70
Licensed Professionals	600	658	700	691	736	711	734	754	774

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Marital & Family Therapists
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.700-337.750 RSMo.

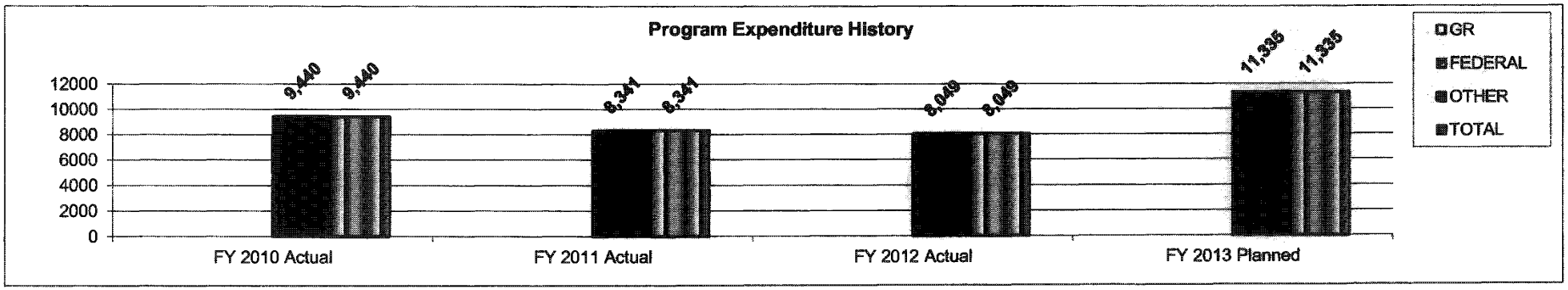
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

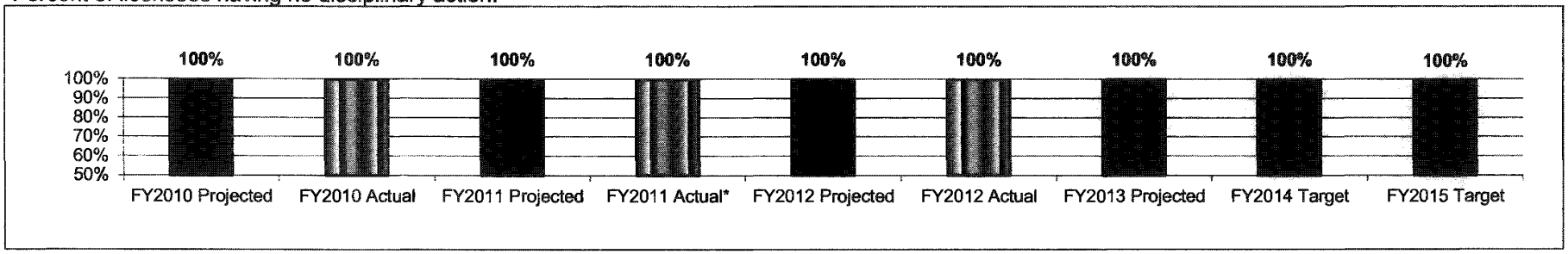
Marital and Family Therapists Fund (0820)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Marital & Family Therapists
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10	30	13	34	10	41	33	33	33
Licensed Professionals	192	192	200	208	200	223	200	200	200

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Occupational Therapy
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.050-324.089 RSMo.

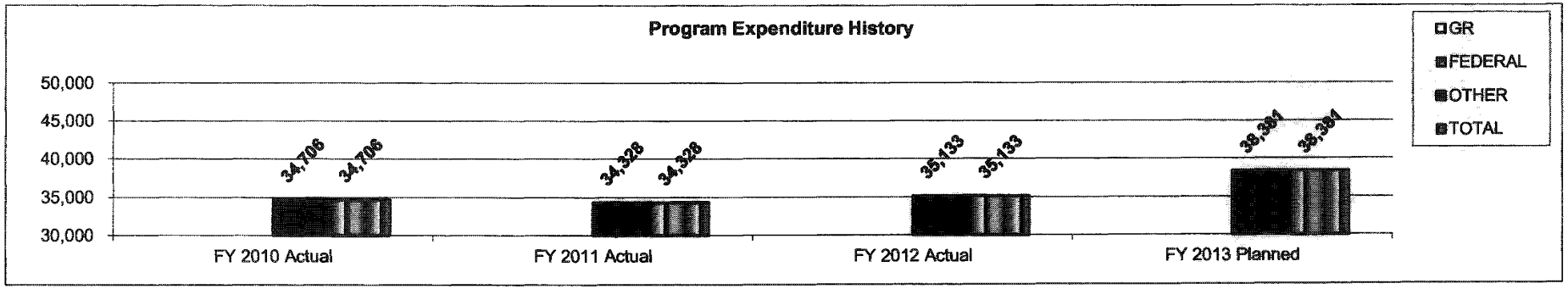
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

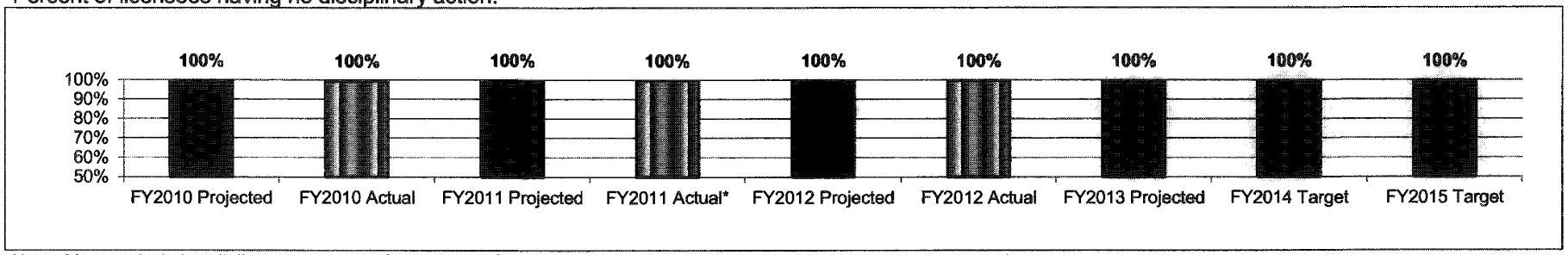
Missouri Board of Occupational Therapy Fund (0845)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Occupational Therapy
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	392	427	405	529	420	531	531	531	531
Licensed Professionals	3,450	3,960	4,000	4,316	3,850	4,344	4,500	4,500	4,700

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Optometry
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

FY 2013 PLANNED			
	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	41,110	57,098	98,208
TOTAL	41,110	57,098	98,208

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

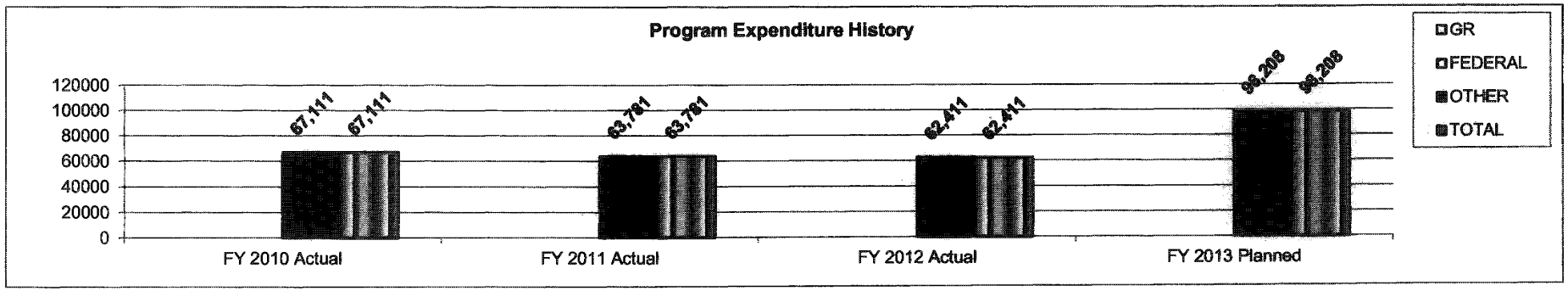
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



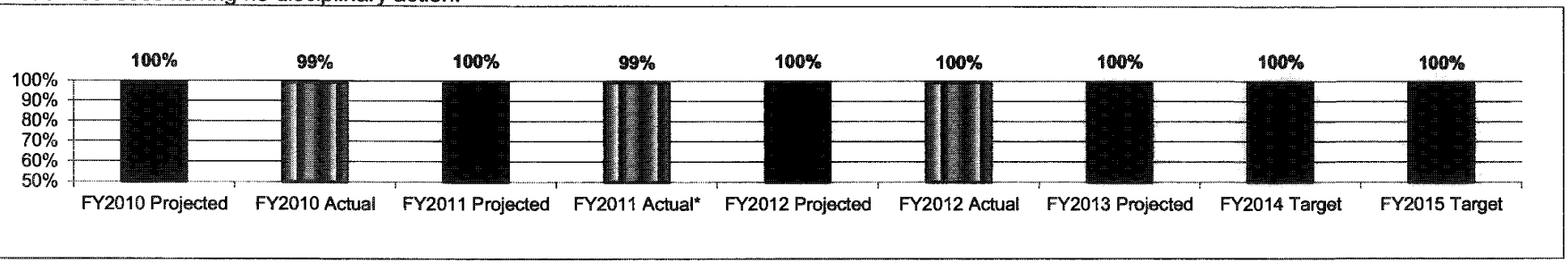
Department of Insurance, Financial Institutions and Professional Registration
State Board of Optometry
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	51	80	50	57	45	72	70	70	70
Licensed Professionals	1,271	1,333	1,310	1,282	1,290	1,336	1,350	1,350	1,350

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Podiatric Medicine
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	FY 2013 PLANNED		
	Podiatry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	20,069	24,189	44,258
TOTAL	20,069	24,189	44,258

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

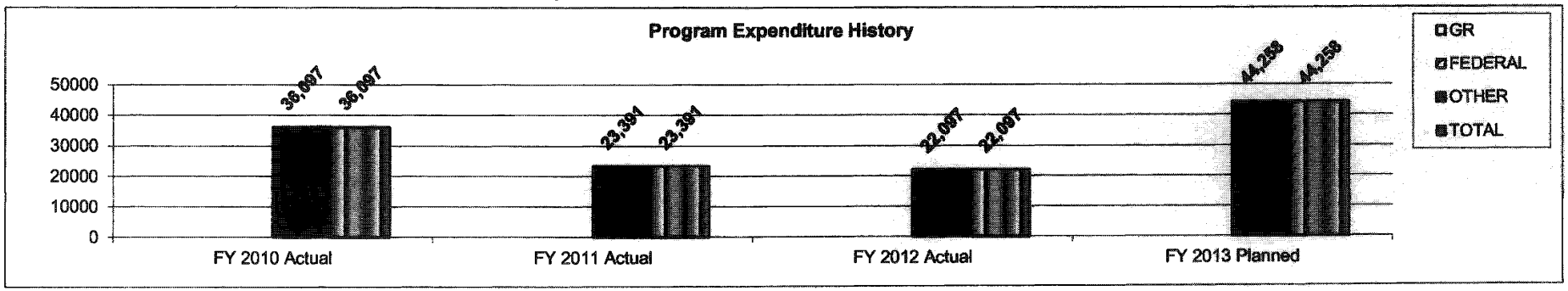
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



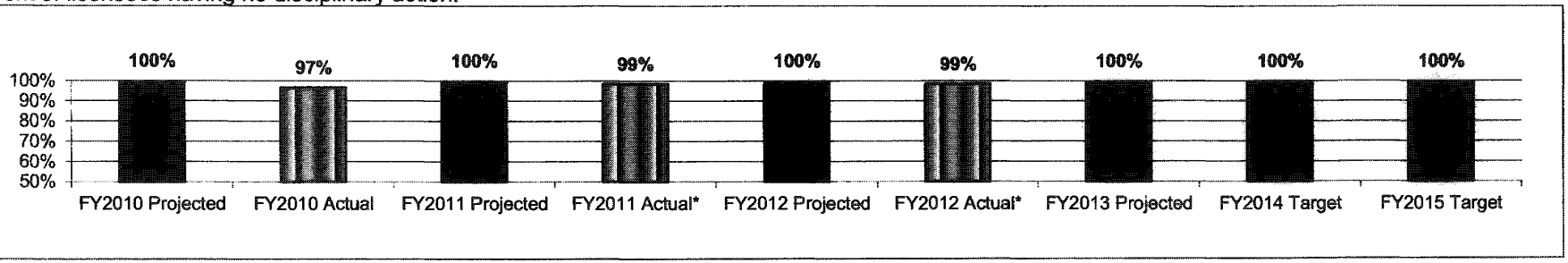
Department of Insurance, Financial Institutions and Professional Registration
State Board of Podiatric Medicine
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	21	28	21	31	31	34	31	31	31
Licensed Professionals	338	321	305	340	345	337	332	332	332

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Private Investigator and Private Fire Investigator Examiners
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Private Investigator and Private Fire Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators, private investigator businesses, private fire investigators, and private fire investigator businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.1100-324.1148 RSMo.

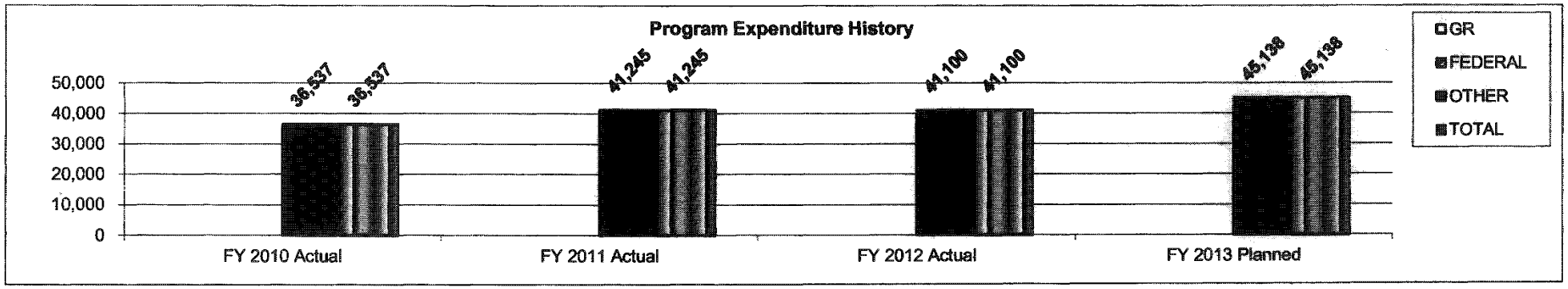
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

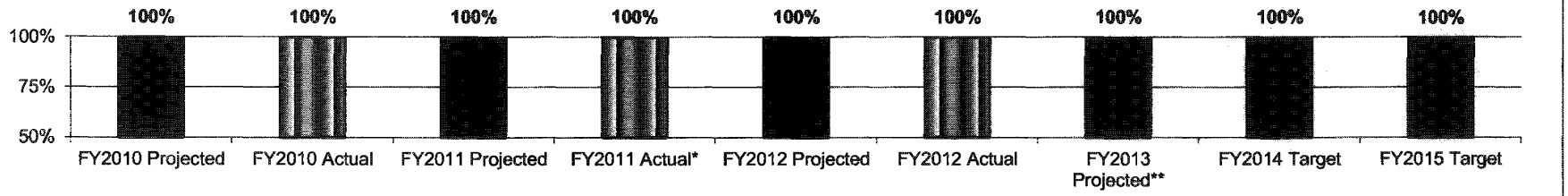
Board of Private Investigator and Private Fire Investigator Examiners Fund (0802)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Private Investigator and Private Fire Investigator Examiners
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

**Licensure for private fire investigators is anticipated to begin in FY2013.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	478	305	329	205	230	200	200	200
Licensed Professionals	400	308	630	714	880	709	790	800	900

Note: HB 464 (2011) combined the Board of Private Fire Investigator Examiners with the Board of Private Investigator Examiners to form the Board of Private Investigator and Private Fire Investigator Examiners.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Committee for Professional Counselors
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.050-337.540 RSMo.

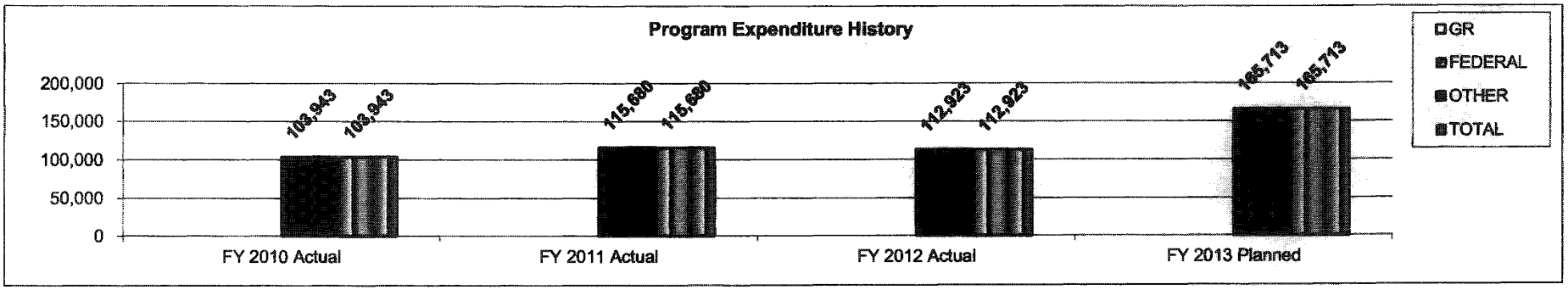
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

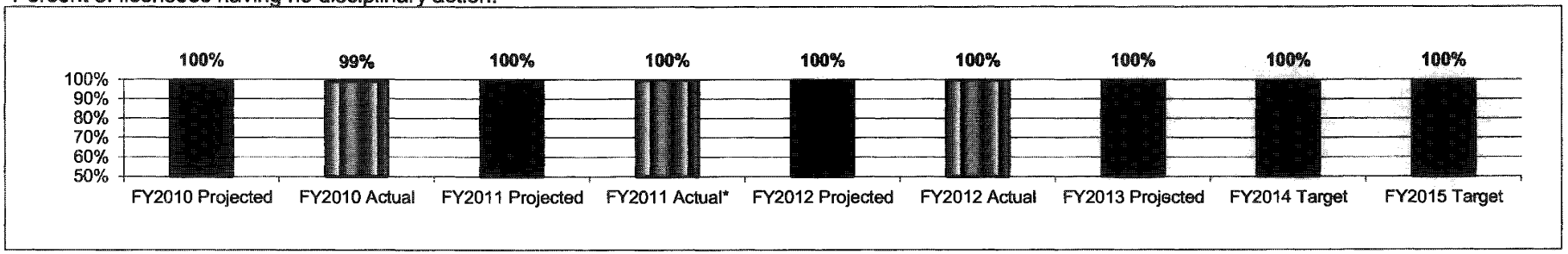
Committee for Professional Counselors Fund (0672)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Committee for Professional Counselors
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	700	628	250	697	500	745	625	625	625
Licensed Professionals	3,480	4,568	3,300	4,918	3,850	4,999	4,000	4,000	4,000

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee of Psychologists
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Psychologists and the Behavioral Analyst Advisory Board were established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists and behavioral analysts in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.010-337.093 and 337.300-337.345 RSMo.

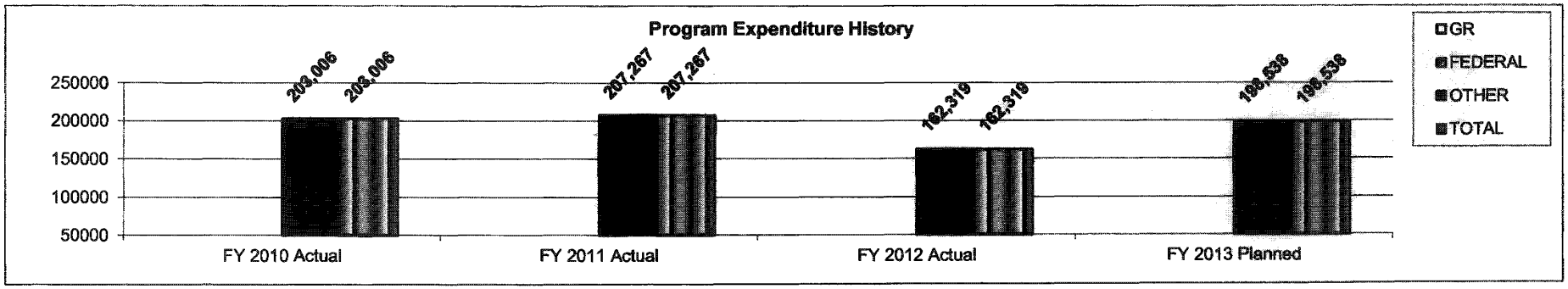
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

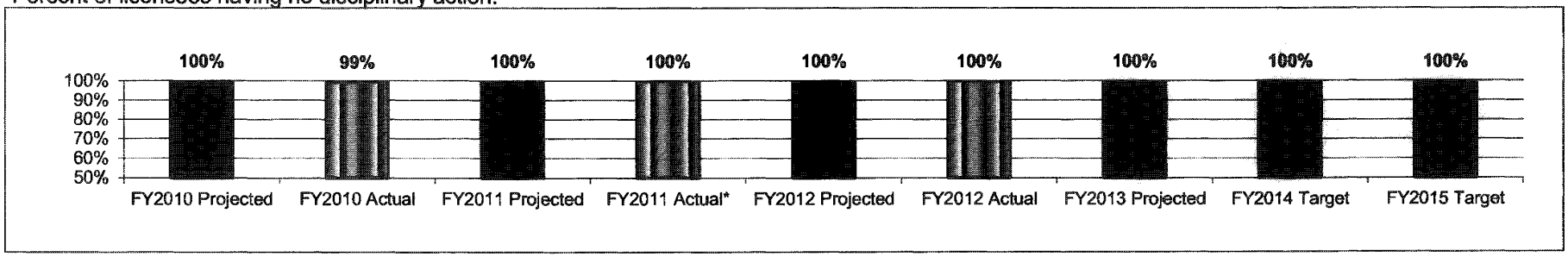
State Committee of Psychologists' Fund (0580)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee of Psychologists
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual*	Projected	Actual	Projected	Target	Target
Applications Received	94	98	90	203	230	162	150	150	150
Licensed Professionals	1,780	2,033	2,000	2,218	2,075	2,263	2,250	2,365	2,600

*The Behavioral Analyst Advisory Board began licensure in FY 2011 which created an initial spike in applications.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Real Estate Appraisers Commission
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers and appraisal management companies in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 339.500-339.549 RSMo.

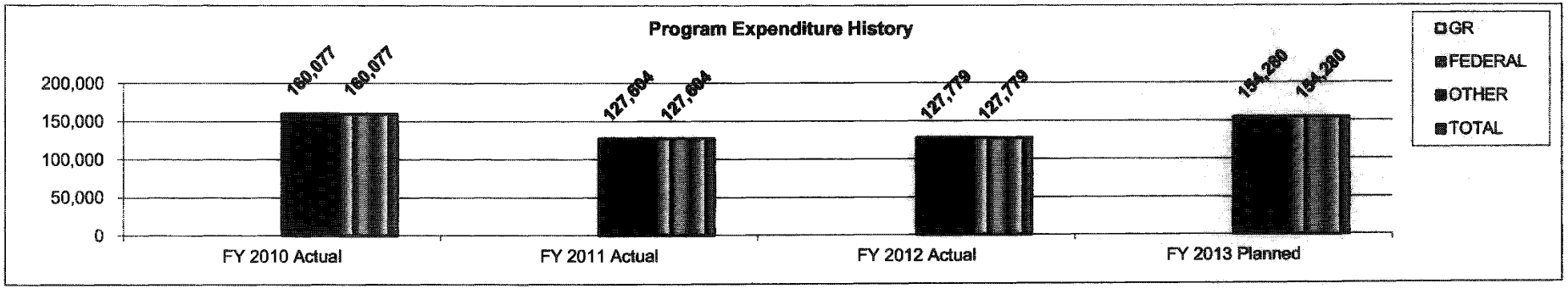
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

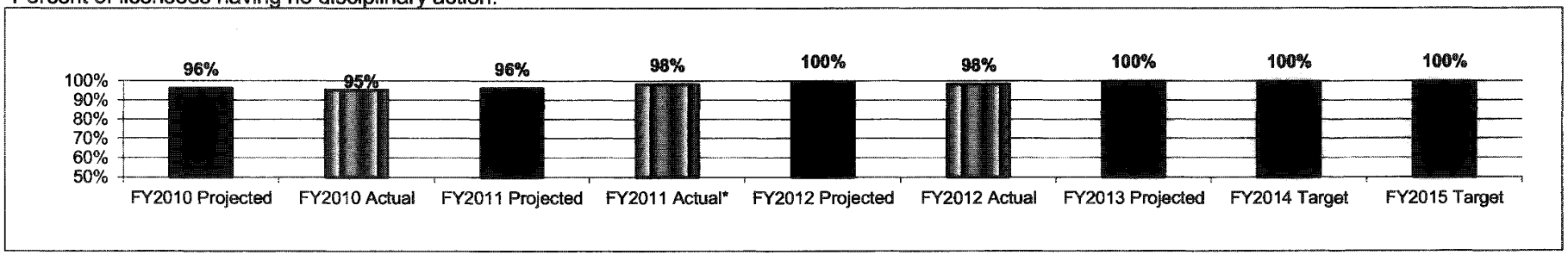
Missouri Real Estate Appraisers Fund (0561)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Real Estate Appraisers Commission
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	286	246	190	307	270	326	300	300	300
Licensed Professionals	2,800	2,746	2,800	2,564	2,400	2,630	2,400	2,300	2,300

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board for Respiratory Care
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 334.800-334.930 RSMo.

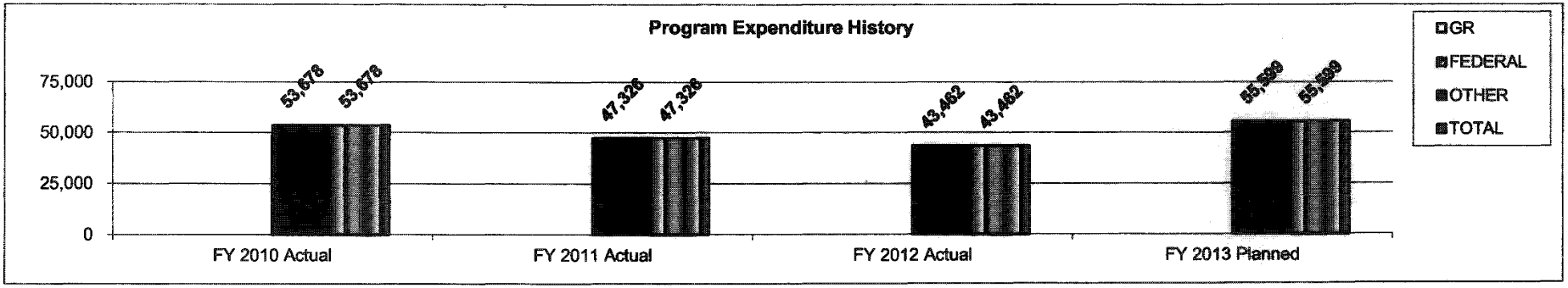
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

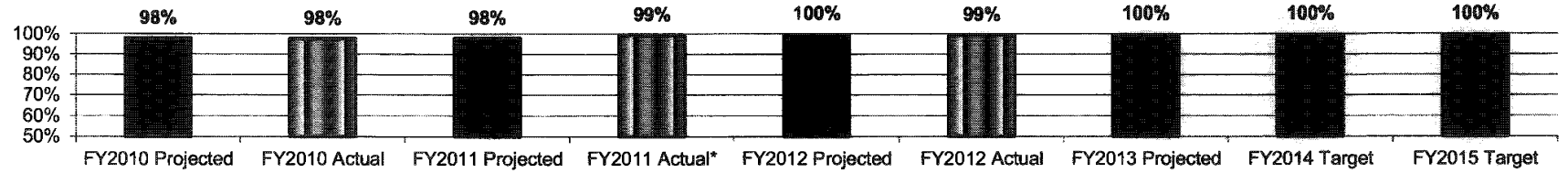
Respiratory Care Practitioners Fund (0833)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board for Respiratory Care
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	403	382	387	402	400	396	400	400	400
Licensed Professionals	3,650	4,365	4,600	4,231	4,000	4,548	4,650	4,650	4,800

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Social Workers
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.600-337.689 RSMo.

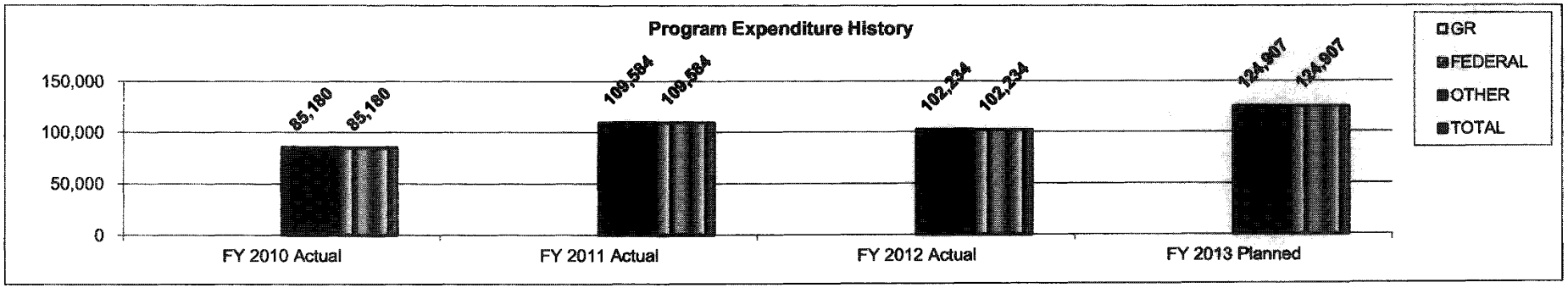
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

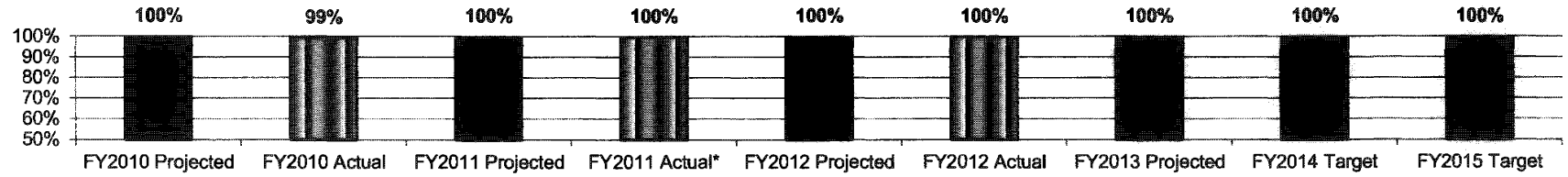
Clinical Social Workers Fund (0574)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Social Workers
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	450	521	685	700	700	849	850	850	860
Licensed Professionals	5,476	5,293	5,435	5,761	5,351	6,523	6,300	6,300	6,350

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Tattoo, Body Piercing and Branding
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.520-324.524 RSMo.

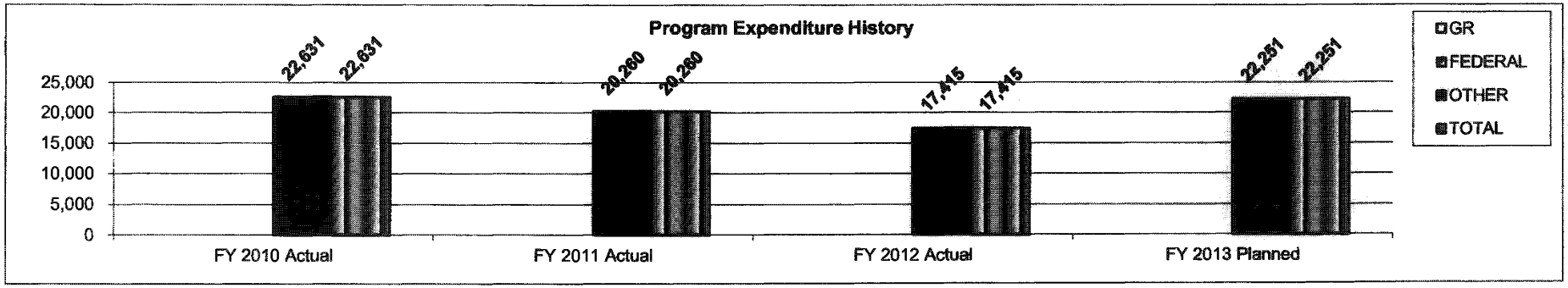
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



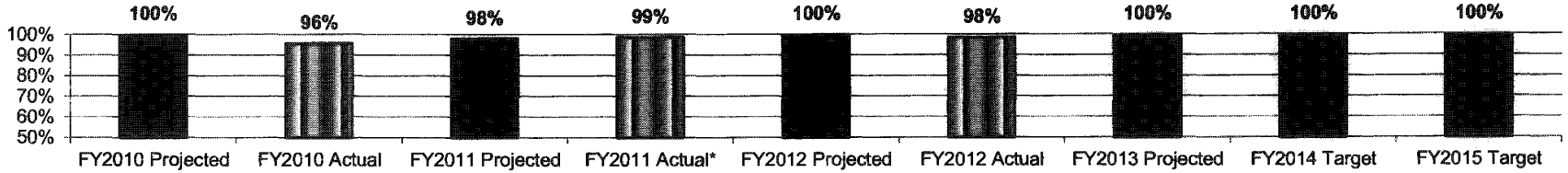
6. What are the sources of the "Other " funds?

Tattoo Fund (0883)

Department of Insurance, Financial Institutions and Professional Registration
Office of Tattoo, Body Piercing and Branding
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	320	300	318	233	240	248	250	250	250
Licensed Professionals	1,600	1,527	1,825	1,766	1,500	1,488	1,700	1,700	1,700

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Therapeutic Massage
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

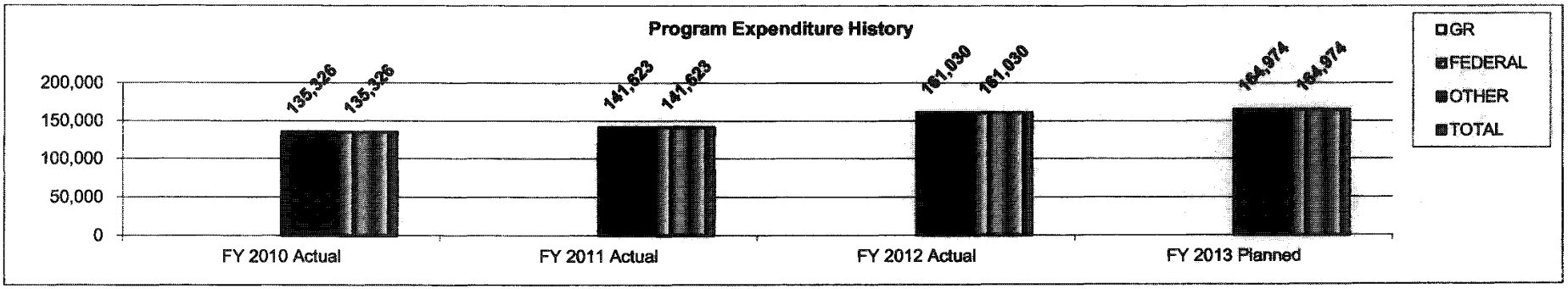
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



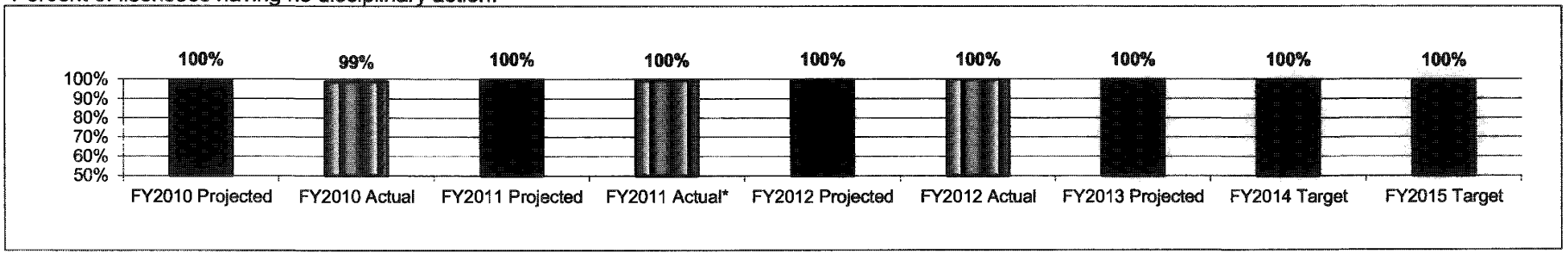
6. What are the sources of the "Other " funds?

Massage Therapy Fund (0884)

Department of Insurance, Financial Institutions and Professional Registration
Board of Therapeutic Massage
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	1,425	1,841	1,425	1,739	1,800	1,662	1,250	1,250	1,250
Licensed Professionals	5,700	6,930	6,500	6,014	6,200	6,875	5,400	5,400	5,400

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Veterinary Medical Board
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

FY 2013 PLANNED			
	Veterinary	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	118,079	90,960	209,039
TOTAL	118,079	90,960	209,039

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 340.200-340.350 RSMo.

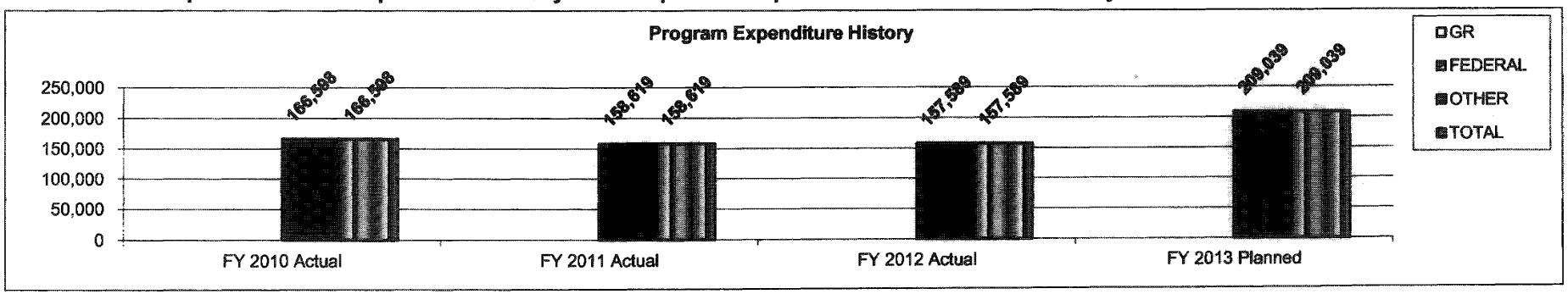
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



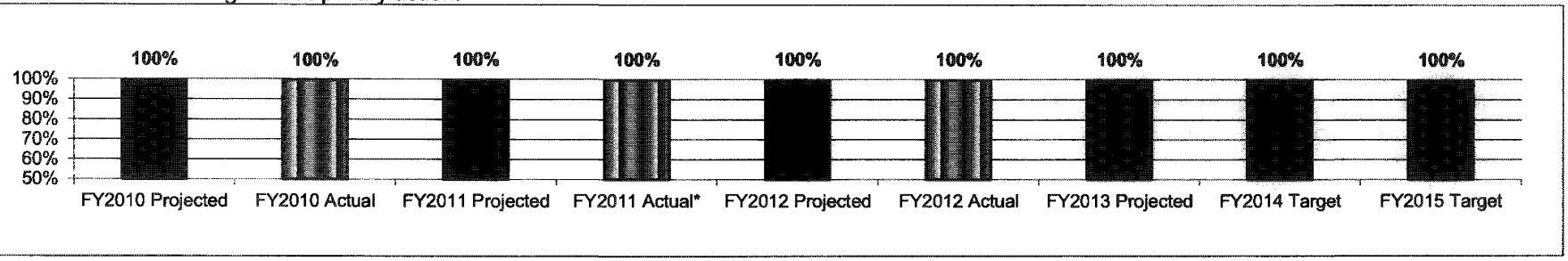
Department of Insurance, Financial Institutions and Professional Registration
Missouri Veterinary Medical Board
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	390	434	400	485	448	464	433	433	433
Licensed Professionals	4,624	4,681	4,722	4,765	4,795	4,891	4,911	4,911	4,911

7d. Provide a customer satisfaction measure, if available.

None available.

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NEW DECISION ITEM
RANK: 9 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit**	42640C
Division of Professional Registration		
Real Estate Appraisers Compliance	**DI# 1375007**	

1. AMOUNT OF REQUEST

	FY 2014 Budget Request				FY 2014 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	55,000	55,000	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**55,000**	**55,000**	Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**1.00**	**1.00**	FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*28,276*	*28,276*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Professional Registration Fees Fund (0689)

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate	X	Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

NEW DECISION ITEM
RANK: 9 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42640C
Division of Professional Registration	
Real Estate Appraisers Compliance	**DI# 1375007**

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Division of Professional Registration is requesting 1 FTE and personal service for a legal counsel position that will be dedicated to the Missouri Real Estate Appraisers Commission.

The Appraisal Subcommittee of the Federal Financial Institutions Examination Council (FFIEC) was created on August 9, 1989, pursuant to Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989. The Appraisal Subcommittee oversees the real estate appraisal process as it relates to federally related transactions in Title XI.

In the past the Missouri Real Estate Appraisers Commission has been found to be non-compliant with the Appraisal Subcommittee's Policy Statement which states that "States should resolve all complaints filed against appraisers within one year, except for special documented circumstances, which are listed below. (Title XI section 1118 (a), 12 U.S.C. 3347; ASC Policy Statement 10E.)"

The department and the Missouri Real Estate Appraisers Commission are dedicated to meeting the one year deadline as set by the Appraisal Subcommittee and believe an in-house counsel dedicated to Missouri Real Estate Appraisers Commission representation will facilitate meeting those requirements. This new position would be responsible for litigation and general counsel duties primarily for the Missouri Real Estate Appraisers Commission. This should result in the Missouri Real Estate Appraisers Commission being compliant with the Appraisal Subcommittee's 1-year requirement as stated above.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

The Appraisal Subcommittee has the authority to withdraw certification from Missouri which would mean that Missouri appraisers could not perform work on "federally related transactions", which is defined broadly and would encompass nearly all Missouri real estate loan transactions. In August 2012 representatives of the Appraisal Subcommittee assessed the Missouri Real Estate Appraisers Commission's compliance, and will issue a preliminary report around the end of 2012. The Appraisal Subcommittee representative has indicated that due to Missouri's noncompliance with the one year deadline, they may accelerate the evaluation periods leading to earlier potential decertification. Missouri Real Estate Appraisers Commission may be able to avoid decertification by taking substantial steps toward resolving cases within the one year deadline. Therefore, the department is seeking one legal counsel FTE.

NEW DECISION ITEM
RANK: 9 OF 11

Department of Insurance, Financial Institutions and Professional Registration					**Budget Unit** 42640C				
Division of Professional Registration									
Real Estate Appraisers Compliance		**DI# 1375007**							
5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
Budget Object Class/Job Class	**Dept Req GR DOLLARS**	**Dept Req GR FTE**	**Dept Req FED DOLLARS**	**Dept Req FED FTE**	**Dept Req OTHER DOLLARS**	**Dept Req OTHER FTE**	**Dept Req TOTAL DOLLARS**	**Dept Req TOTAL FTE**	**Dept Req One-Time DOLLARS**
100/009734/Legal Counsel					55,000	1.0	55,000	1.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**55,000**	**1.0**	**55,000**	**1.0**	**0**
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**55,000**	**1.0**	**55,000**	**1.0**	**0**

NEW DECISION ITEM
RANK: 9 OF 11

Department of Insurance, Financial Institutions and Professional Registration					**Budget Unit** 42640C				
Division of Professional Registration									
Real Estate Appraisers Compliance		**DI# 1375007**							
Budget Object Class/Job Class	**Gov Rec GR DOLLARS**	**Gov Rec GR FTE**	**Gov Rec FED DOLLARS**	**Gov Rec FED FTE**	**Gov Rec OTHER DOLLARS**	**Gov Rec OTHER FTE**	**Gov Rec TOTAL DOLLARS**	**Gov Rec TOTAL FTE**	**Gov Rec One-Time DOLLARS**
					0	0.0	0	0.0	
							0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		
							0		
							0		
							0		
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers									
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

NEW DECISION ITEM
RANK: 9 OF 11

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42640C
Division of Professional Registration

Real Estate Appraisers Compliance **DI# 1375007**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Referrals Not Meeting One Year Requirement (as of August, 2012)

Number of Yrs.	Number of Cases
Over 4 Years	8
Over 3 Years	9
Over 2 Years	7
Over 1 Year	7

Total Number of Cases Not In Compliance with Appraisal Subcommittee One Year Requirement: 31

6b. Provide an efficiency measure.

Missouri Real Estate Appraisers Commission will monitor the number of disciplinary cases filed and the length of time to resolve the case to ensure compliance with the Appraisal Subcommittee.

6c. Provide the number of clients/individuals served, if applicable.

Not yet available.

6d. Provide a customer satisfaction measure, if available.

Not Yet Available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

This new position would be responsible for litigation and general counsel duties for Missouri Real Estate Appraisers Commission . This should result in the Missouri Real Estate Appraisers Commission being compliant with the Federal Financial Institutions Examination Council Appraisal Subcommittee's 1-year requirement as stated above.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINISTRATION								
Real Estate Appraisers - 1375007								
LEGAL COUNSEL	0	0.00	0	0.00	55,000	1.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**55,000**	**1.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$55,000**	**1.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$55,000**	**1.00**		**0.00**

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR LICENSURE REPLACEMENT SYSTM								
PR Licensure System Replacemnt - 1375006								
EXPENSE & EQUIPMENT								
HEARING INSTRUMENT SPECIALIST	0	0.00	0	0.00	600	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	0	0.00	0	0.00	1,600	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	0	0.00	0	0.00	2,000	0.00	0	0.00
MO RE APPRS AND APPRMGMT COMPS	0	0.00	0	0.00	6,300	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	0	0.00	0	0.00	300	0.00	0	0.00
LICENSED SOCIAL WORKERS	0	0.00	0	0.00	12,800	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	0	0.00	0	0.00	5,000	0.00	0	0.00
BOARD OF ACCOUNTANCY	0	0.00	0	0.00	47,700	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	0	0.00	0	0.00	800	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	0	0.00	0	0.00	5,100	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	0	0.00	0	0.00	13,700	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	0	0.00	0	0.00	91,100	0.00	0	0.00
BOARD OF NURSING	0	0.00	0	0.00	286,000	0.00	0	0.00
BOARD OF OPTOMETRY	0	0.00	0	0.00	3,100	0.00	0	0.00
BOARD OF PHARMACY	0	0.00	0	0.00	72,200	0.00	0	0.00
MO REAL ESTATE COMMISSION	0	0.00	0	0.00	110,400	0.00	0	0.00
VETERINARY MEDICAL BOARD	0	0.00	0	0.00	11,100	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	0	0.00	0	0.00	11,000	0.00	0	0.00
DENTAL BOARD FUND	0	0.00	0	0.00	18,700	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	0	0.00	0	0.00	62,000	0.00	0	0.00
ATHLETIC FUND	0	0.00	0	0.00	4,200	0.00	0	0.00
ATHLETIC AGENT	0	0.00	0	0.00	200	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	0	0.00	0	0.00	190,300	0.00	0	0.00
BOARD OF PI&PI FIRE EXAMINERS	0	0.00	0	0.00	800	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	0	0.00	0	0.00	500	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	0	0.00	0	0.00	10,000	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	0	0.00	0	0.00	9,600	0.00	0	0.00
DIETITIAN	0	0.00	0	0.00	3,900	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	0	0.00	0	0.00	200	0.00	0	0.00
ACUPUNCTURIST	0	0.00	0	0.00	200	0.00	0	0.00
TATTOO	0	0.00	0	0.00	3,700	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR LICENSURE REPLACEMENT SYSTM								
PR Licensure System Replacemnt - 1375006								
EXPENSE & EQUIPMENT								
MASSAGE THERAPY	0	0.00	0	0.00	14,900	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	1,000,000	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**1,000,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$1,000,000**	**0.00**	**$0**	**0.00**

NEW DECISION ITEM
RANK: 10 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42645C
Division of Professional Registration	
Professional Registration Licensure System Replacement	**DI# 1375006**

1. AMOUNT OF REQUEST

	FY 2014 Budget Request					FY 2014 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	1,000,000	1,000,000	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**1,000,000**	**1,000,000**	**Total**	**0**	**0**	**0**	**0**
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Board Funds

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate		Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan	X	Other: System Replacement		

NEW DECISION ITEM
RANK: 10 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42645C
Division of Professional Registration	
Professional Registration Licensure System Replacement	**DI# 1375006**

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The existing PR licensure system (PROMO) is now approximately 12 years old. The age of the system, the lack of support for the system, the lack of customization options and the substantial timeframes required for adding new and necessary functionality has proven to be a hindrance to the implementation of required business requirements for the Division of Professional Registration.

The goal of this new decision item is to replace the existing licensure system (PROMO) with a purchased software package that allows for customization while allowing for the implementation of new features to meet the business requirements of the Division of Professional Registration.

The purpose of the new licensure system is to allow for greater efficiencies for the Missouri Division of Professional Registration, including all the respective boards, in providing licensing and regulatory functions while enabling all interested parties to use current technologies with self-service functionality for improved services.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

The high level cost estimate for the project is $1,900,000 over a two year period. Funding for this project will be provided solely by the Missouri Division of Professional Registration. This is based upon an estimate provided by ITSD when reviewing business requirements including but not limited to :

- The replacement licensure system will primarily be a purchased existing product that may or may not require additional customization in order to meet the business requirements for the Missouri Division of Professional Registration.
- The replacement licensure system will perform at least at the same level as the existing licensure system with anticipated enhancements using new technologies available that are not available with the existing license system.
- All existing system interactions will be maintained with minimal interruption and migrated into the new licensure system.

NEW DECISION ITEM
RANK: 10 OF 11

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit	42645C			
Division of Professional Registration									
Professional Registration Licensure System Replacement			DI# 1375006						
5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
400/Professional Services					1,000,000		1,000,000		
							0		
Total EE	0		0		1,000,000		1,000,000		0
							0		
Total PSD	0		0		0		0		0
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	1,000,000	0.0	1,000,000	0.0	0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
					0		0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
					0		0		
Total EE	0		0		0		0		0
							0		
Total PSD	0		0		0		0		0
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM
RANK: 10 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42645C
Division of Professional Registration	
Professional Registration Licensure System Replacement	**DI# 1375006**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure. (cont.)
Not yet available.

6b. Provide an efficiency measure. (cont.)
Not yet available.

6c. Provide the number of clients/individuals served, if applicable.
Not yet available.

6d. Provide a customer satisfaction measure, if available.
Not yet available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

- Replace licensure system within an estimated 18 month implementation timeframe.
- Purchase software.
- Implement existing defined business requirements within the purchased software.
- Convert and migrate existing board specific data from the existing system to the new system.
- Maintain existing licensure system (PROMO) while implementing new system.
- Modify existing data sharing and web applications to utilize both the existing PROMO licensure system and the new licensure system until the new system is fully implemented.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR LICENSURE REPLACEMENT SYSTM								
PR Licensure System Replacemnt - 1375006								
PROFESSIONAL SERVICES	0	0.00	0	0.00	1,000,000	0.00	0	0.00
TOTAL - EE	**0**	**0.00**	**0**	**0.00**	**1,000,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$1,000,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$1,000,000**	**0.00**		**0.00**

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
STATE BOARD OF ACCOUNTANCY								
CORE								
PERSONAL SERVICES								
BOARD OF ACCOUNTANCY	274,062	8.04	282,933	7.00	282,933	7.00	0	0.00
TOTAL - PS	274,062	8.04	282,933	7.00	282,933	7.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF ACCOUNTANCY	162,617	0.00	177,972	0.00	177,972	0.00	0	0.00
TOTAL - EE	162,617	0.00	177,972	0.00	177,972	0.00	0	0.00
TOTAL	**436,679**	**8.04**	**460,905**	**7.00**	**460,905**	**7.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF ACCOUNTANCY	0	0.00	0	0.00	174	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	174	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**174**	**0.00**	**0**	**0.00**
Compliance and Training - 1375005								
PERSONAL SERVICES								
BOARD OF ACCOUNTANCY	0	0.00	0	0.00	40,260	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	40,260	1.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF ACCOUNTANCY	0	0.00	0	0.00	61,800	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	61,800	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**102,060**	**1.00**	**0**	**0.00**
GRAND TOTAL	**$436,679**	**8.04**	**$460,905**	**7.00**	**$563,139**	**8.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42650C
Professional Registration
Core - State Board of Accountancy

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	282,933	282,933
EE	0	0	177,972	177,972
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	460,905	460,905
FTE	0.00	0.00	7.00	7.00
Est. Fringe	*0*	*0*	*145,456*	*145,456*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Accountancy Fund (0627)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limited liability companies, partnerships, and professional corporations in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

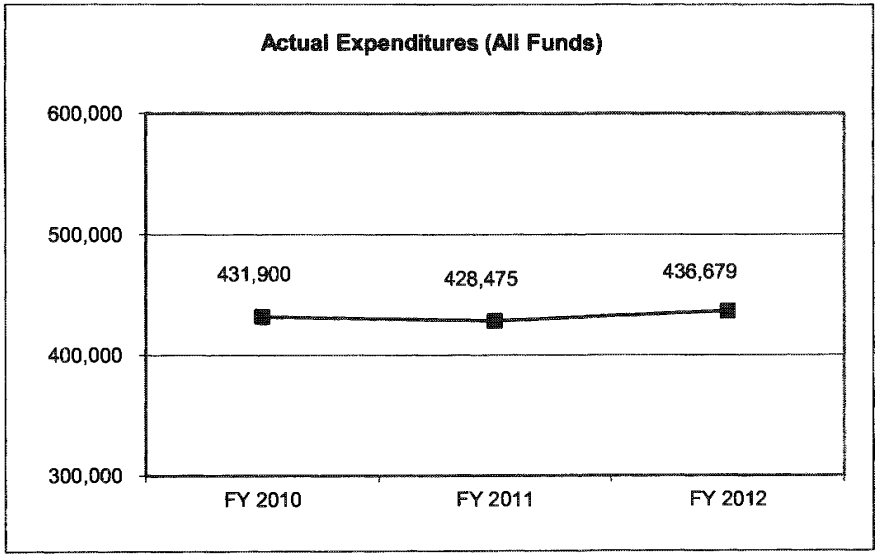
State Board of Accountancy

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42650C
Professional Registration
Core - State Board of Accountancy

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	459,600	459,600	459,600	460,905
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	459,600	459,600	459,600	N/A
Actual Expenditures (All Funds)	431,900	428,475	436,679	N/A
Unexpended (All Funds)	27,700	31,125	22,921	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	27,700	31,125	22,921	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP
STATE BOARD OF ACCOUNTANCY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	7.00	0	0	282,933	282,933	
	EE	0.00	0	0	177,972	177,972	
	Total	**7.00**	**0**	**0**	**460,905**	**460,905**	
DEPARTMENT CORE REQUEST							
	PS	7.00	0	0	282,933	282,933	
	EE	0.00	0	0	177,972	177,972	
	Total	**7.00**	**0**	**0**	**460,905**	**460,905**	
GOVERNOR'S RECOMMENDED CORE							
	PS	7.00	0	0	282,933	282,933	
	EE	0.00	0	0	177,972	177,972	
	Total	**7.00**	**0**	**0**	**460,905**	**460,905**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
STATE BOARD OF ACCOUNTANCY								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	14,762	0.54	28,927	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	12,737	0.46	0	0.00	28,927	1.00	0	0.00
ACCOUNT CLERK II	13,312	0.54	25,863	1.00	0	0.00	0	0.00
SENIOR AUDITOR	45,060	1.00	47,088	1.00	48,669	1.00	0	0.00
EXECUTIVE I	17,180	0.54	33,435	1.00	0	0.00	0	0.00
PROF REG LIC TECH I	25,200	1.08	48,563	2.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	10,369	0.45	0	0.00	24,282	1.00	0	0.00
PROCESSING TECHNICIAN II	23,265	0.92	0	0.00	48,563	2.00	0	0.00
PROCESSING TECHNICIAN SUPV	12,772	0.40	0	0.00	33,435	1.00	0	0.00
BOARD MEMBER	6,930	0.38	6,747	0.00	6,747	0.00	0	0.00
CLERK	22,532	0.73	21,015	0.00	21,015	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,943	1.00	71,295	1.00	71,295	1.00	0	0.00
TOTAL - PS	**274,062**	**8.04**	**282,933**	**7.00**	**282,933**	**7.00**	**0**	**0.00**
TRAVEL, IN-STATE	13,200	0.00	14,250	0.00	14,250	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,719	0.00	6,650	0.00	6,650	0.00	0	0.00
SUPPLIES	17,193	0.00	21,375	0.00	21,375	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	8,886	0.00	8,550	0.00	8,550	0.00	0	0.00
COMMUNICATION SERV & SUPP	3,787	0.00	5,000	0.00	5,000	0.00	0	0.00
PROFESSIONAL SERVICES	113,756	0.00	109,907	0.00	109,907	0.00	0	0.00
M&R SERVICES	317	0.00	3,000	0.00	3,000	0.00	0	0.00
OFFICE EQUIPMENT	476	0.00	3,000	0.00	3,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	531	0.00	620	0.00	620	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	620	0.00	620	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,752	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - EE	**162,617**	**0.00**	**177,972**	**0.00**	**177,972**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$436,679**	**8.04**	**$460,905**	**7.00**	**$460,905**	**7.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$436,679**	**8.04**	**$460,905**	**7.00**	**$460,905**	**7.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Accountancy
Program is found in the following core budget(s): State Board of Accountancy

1. What does this program do?

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 326.250-326.331 RSMo.

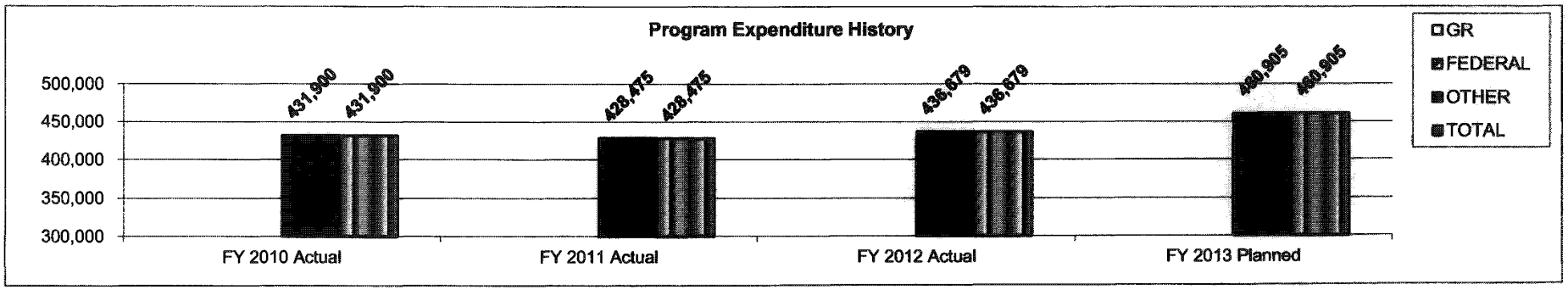
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

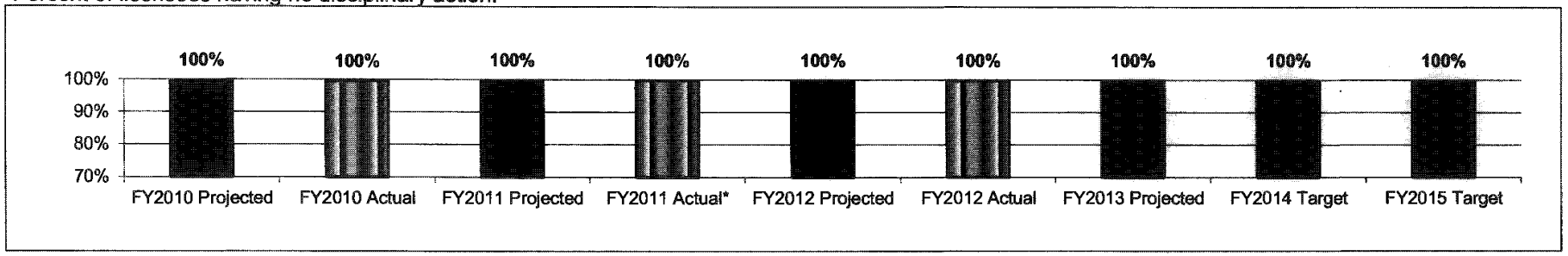
State Board of Accountancy Fund (0627)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Accountancy
Program is found in the following core budget(s): State Board of Accountancy

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.
*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013*	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	880	860	780	955	950	884	732	732	750
Licensed Professionals	20,100	20,187	20,400	20,450	20,450	20,812	20,850	20,850	20,800

* Due to increases in cost of post graduate credit hours the number of applicants are expected to decrease beginning in FY 2013.

7d. Provide a customer satisfaction measure, if available.

None available.

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NEW DECISION ITEM
RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit**	42650C
Division of Professional Registration - State Board of Accountancy		
Compliance and Training Enhancements	**DI# 1375005**	

1. AMOUNT OF REQUEST

	FY 2014 Budget Request					FY 2014 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	40,260	40,260	PS	0	0	0	0
EE	0	0	61,800	61,800	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**102,060**	**102,060**	**Total**	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**1.00**	**1.00**	FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*20,698*	*20,698*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Accountancy Fund (0627)

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

	New Legislation		New Program		Fund Switch
	Federal Mandate	X	Program Expansion		Cost to Continue
	GR Pick-Up		Space Request		Equipment Replacement
	Pay Plan		Other:		

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Missouri State Board of Accountancy is requesting 1 FTE as authorized by Chapters 326.286(6), 326.310(18) and (19), RSMo and 20 CSR 2010-4.031 for an Auditor II position that will perform investigatory functions.

- There has been an increase in workload demands of the office which have been a result of many factors including, but not limited to: increased complaints, increased audits, and additional regulatory requirements for example.
- In addition to growth in licensee numbers, complaints and audits have increased by more than 100% since 2006.

NEW DECISION ITEM
RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42650C
Division of Professional Registration - State Board of Accountancy	
Compliance and Training Enhancements	**DI# 1375005**

Pursuant to Chapter 326.271, RSMo the Missouri State Board of Accountancy requires the completion of continuing professional education (CPE) to renew a certified public accountant (CPA) license and 20 CSR 2010-4.010 gives the minimum amount and type of CPE that must be completed by the CPA. The board requires 2 hours of ethics to be completed each year.

- In order to provide a service to state licensees and to improve CPE compliance, the Missouri State Board of Accountancy will provide 2 hour jurisprudence ethics courses in St. Louis, Kansas City, Columbia, Cape Girardeau, and Springfield each year at no cost to licensees.
- Based on costs observed from other overnight travel and training, it is estimated that the cost would be approximately $6,000 per training session for travel, meeting rooms, and published materials for the jurisprudence ethics training at each location.
- Consequently, the board's E&E appropriation will need to be increased by $30,000 to accommodate the training costs.

Pursuant to Chapter 326.265.2, RSMo the Missouri State Board of Accountancy may employ legal counsel and incur such expense as in its judgment shall be necessary to the effective administration of Chapter 326, RSMo.

- There has been an increase in the number(increased by 50 complaints to legal counsel), type(CPA cases are highly complex involving complex accounting standards and tax laws) and complexity (complex financial and entity transactions) of the board's investigative complaints against certified public accountant (CPA) licensees, CPA certificate holders, and CPA firms.
- The total legal expense's has increase from $58,064 in FY 2009 to $92,544 in FY 2011.
- Consequently, the boards E&E appropriation now needs to be increased by $30,000 to accommodate the expected legal expense increase.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

- The board has utilized a temporary employee for compliance audits and complaint investigations since March 2007 for 1,000 hours each year. In 2007, there were 100 compliance audits and 77 complaints opened. The compliance audits and complaints have increased by more than 100% in this same timeframe. As a result of the increased workload, there are times that investigations have taken 6 months to 3 years due to the scope of the investigation coupled with a lack of board resources. The board has tried various solutions to reduce the length of time it takes to complete an investigation. Based on some of the telephone calls the board has received from the complainants indicating that they are discouraged with the length of the investigative process, it would improve public perception to have sufficient staff to improve the process.

NEW DECISION ITEM
RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42650C
Division of Professional Registration - State Board of Accountancy	
Compliance and Training Enhancements **DI# 1375005**	

- Based on costs observed from other overnight travel and training we estimated it would cost approximately $6,000 for travel, meeting rooms and published materials for the jurisprudence ethics program at each location

- The estimate was based on current legal expenditures and estimated future outlays.

Legal Costs
FY2008 $64,576
FY2009 $58,064
FY2010 $82,707
FY2011 $92,544
FY2012 $106,967

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/000304/Auditor II					40,260	1.0	40,260	1.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**40,260**	**1.0**	**40,260**	**1.0**	**0**
400/Professional Services					60,000		60,000		
340/Communication Service and Supplies					1,800		1,800		
Total EE	**0**		**0**		**61,800**		**61,800**		**0**
							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**102,060**	**1.0**	**102,060**	**1.0**	**0**

NEW DECISION ITEM
RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration					**Budget Unit** 42650C				
Division of Professional Registration - State Board of Accountancy									
Compliance and Training Enhancements		**DI# 1375005**							
Budget Object Class/Job Class	**Gov Rec GR DOLLARS**	**Gov Rec GR FTE**	**Gov Rec FED DOLLARS**	**Gov Rec FED FTE**	**Gov Rec OTHER DOLLARS**	**Gov Rec OTHER FTE**	**Gov Rec TOTAL DOLLARS**	**Gov Rec TOTAL FTE**	**Gov Rec One-Time DOLLARS**
					0		0	0.0	
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
					0		0		
Total EE	**0**		**0**		**0**		**0**		**0**
							0		
Total PSD	**0**		**0**		**0**		**0**		**0**
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure. (cont.)

Non-Compliance Rate

Current	30.00%
FY2013 Projected	25.00%
FY2014 Projected	15.00%
FY2015 Projected	15.00%

6b. Provide an efficiency measure. (cont.)

Not yet available.

NEW DECISION ITEM
RANK: 11 OF 11

Department of Insurance, Financial Institutions and Professional Registration	**Budget Unit** 42650C
Division of Professional Registration - State Board of Accountancy	
Compliance and Training Enhancements	**DI# 1375005**

6c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013*	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	880	860	780	955	950	884	732	732	750
Licensed Professionals	20,100	20,187	20,400	20,450	20,450	20,812	20,850	20,850	20,800

* Due to changes in licensure rules the number of applicants are expected to decrease in FY2013.

6d. Provide a customer satisfaction measure, if available.

The training attendees will be surveyed to ascertain their satisfaction with the jurisprudence CPE.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

• The additional appropriation for legal expense will be used to timely pay our ever increasing legal costs due to increased numbers of cases needing legal action, the increased complexity of accounting regulations, standards and tax laws needing legal analysis and pursuing the legal protection of the public via properly administering Chapter 326 and the boards regulatory responsibilities.

• The additional appropriation for CPE training will be used to provide free ethics jurisprudence CPE 2 hour training sessions in St. Louis, Kansas City, Columbia, Cape Girardeau and Springfield. As ethics CPE is mandatory for renewal of licenses, the board believes that a free ethics program will be well received. The program will allow the board to make sure that the licensees understand Chapter 326 and the accountancy rules that they must comply with to maintain their CPA license, certificate, and/or firm permit.

• The additional appropriation for the new Auditor II FTE would allow the office to be restructured and the workload redistributed. The new position would assist with the CPE compliance audit, complaint investigation, work closely with the senior auditor and participate in complaint committee meetings by presenting investigations to the board for decisions. In addition, they would provide support to other staff answering license questions regarding complaints and more complex licensing issues. The restructuring of the office and various work activities of the Auditor II would allow the office to be more efficient and effective in its regulatory responsibilities pursuant to Chapter 326.

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
STATE BOARD OF ACCOUNTANCY								
Compliance and Training - 1375005								
AUDITOR II	0	0.00	0	0.00	40,260	1.00	0	0.00
TOTAL - PS	**0**	**0.00**	**0**	**0.00**	**40,260**	**1.00**	**0**	**0.00**
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	1,800	0.00	0	0.00
PROFESSIONAL SERVICES	0	0.00	0	0.00	60,000	0.00	0	0.00
TOTAL - EE	**0**	**0.00**	**0**	**0.00**	**61,800**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$0**	**0.00**	**$102,060**	**1.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$102,060**	**1.00**		**0.00**

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
PERSONAL SERVICES								
BRD OF ARCH,ENG,LND SUR,LND AR	293,167	9.34	381,662	10.00	381,662	10.00	0	0.00
TOTAL - PS	293,167	9.34	381,662	10.00	381,662	10.00	0	0.00
EXPENSE & EQUIPMENT								
BRD OF ARCH,ENG,LND SUR,LND AR	198,552	0.00	324,596	0.00	324,596	0.00	0	0.00
TOTAL - EE	198,552	0.00	324,596	0.00	324,596	0.00	0	0.00
TOTAL	**491,719**	**9.34**	**706,258**	**10.00**	**706,258**	**10.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BRD OF ARCH,ENG,LND SUR,LND AR	0	0.00	0	0.00	253	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	253	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**253**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$491,719**	**9.34**	**$706,258**	**10.00**	**$706,511**	**10.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42660C
Professional Registration
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	381,662	381,662
EE	0	0	324,596	324,596
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	706,258	706,258
FTE	0.00	0.00	10.00	10.00
Est. Fringe	*0*	*0*	*196,212*	*196,212*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by architects, professional engineers, professional land surveyors and landscape architects licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

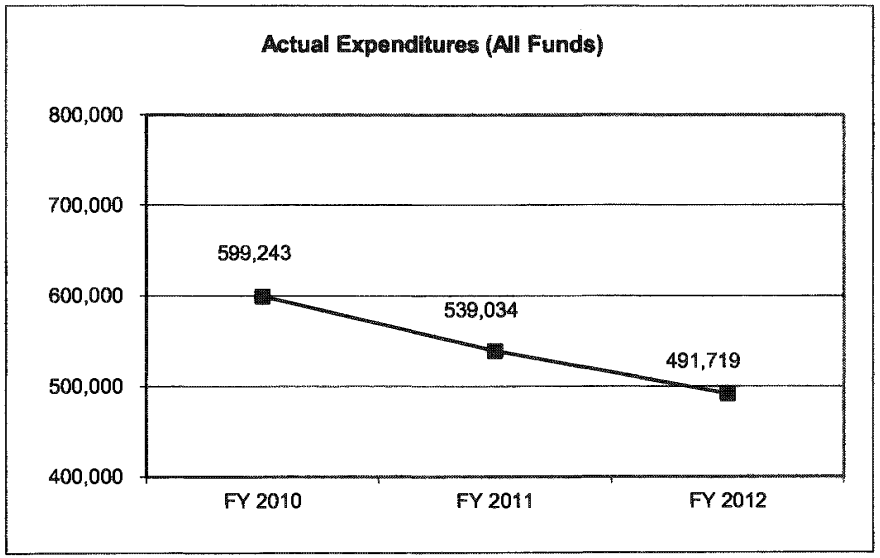
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42660C
Professional Registration
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	707,443	707,443	707,443	706,258
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	707,443	707,443	707,443	N/A
Actual Expenditures (All Funds)	599,243	539,034	491,719	N/A
Unexpended (All Funds)	108,200	168,409	215,724	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	108,200	168,409	215,724	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.
(2) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.
(3) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.

CORE RECONCILIATION DETAIL

DIFP

ARCHITECTS, P.E. & LAND SURV.

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	10.00	0	0	381,662	381,662	
	EE	0.00	0	0	324,596	324,596	
	Total	**10.00**	**0**	**0**	**706,258**	**706,258**	
DEPARTMENT CORE REQUEST							
	PS	10.00	0	0	381,662	381,662	
	EE	0.00	0	0	324,596	324,596	
	Total	**10.00**	**0**	**0**	**706,258**	**706,258**	
GOVERNOR'S RECOMMENDED CORE							
	PS	10.00	0	0	381,662	381,662	
	EE	0.00	0	0	324,596	324,596	
	Total	**10.00**	**0**	**0**	**706,258**	**706,258**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	1,718	0.08	26,285	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	14,190	0.54	28,091	1.00	0	0.00	0	0.00
ACCOUNT CLERK II	14,893	0.59	27,649	1.00	0	0.00	0	0.00
EXECUTIVE I	17,797	0.54	36,635	1.00	0	0.00	0	0.00
INVESTIGATOR II	34,552	0.93	40,975	1.00	38,724	1.00	0	0.00
PROF REG LIC TECH I	11,814	0.51	25,854	1.00	0	0.00	0	0.00
PROF REG LIC TECH II	40,539	1.41	94,111	3.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	10,284	0.45	0	0.00	52,274	2.00	0	0.00
PROCESSING TECHNICIAN II	43,646	1.59	0	0.00	118,480	4.00	0	0.00
PROCESSING TECHNICIAN III	14,036	0.46	0	0.00	33,487	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	15,318	0.46	0	0.00	36,635	1.00	0	0.00
BOARD MEMBER	10,102	0.78	29,165	0.00	29,165	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	64,278	1.00	72,897	1.00	72,897	1.00	0	0.00
TOTAL - PS	**293,167**	**9.34**	**381,662**	**10.00**	**381,662**	**10.00**	**0**	**0.00**
TRAVEL, IN-STATE	15,069	0.00	32,221	0.00	32,221	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,450	0.00	10,497	0.00	10,497	0.00	0	0.00
FUEL & UTILITIES	0	0.00	100	0.00	100	0.00	0	0.00
SUPPLIES	33,253	0.00	49,400	0.00	49,400	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	28,538	0.00	40,707	0.00	40,707	0.00	0	0.00
COMMUNICATION SERV & SUPP	3,764	0.00	6,984	0.00	6,984	0.00	0	0.00
PROFESSIONAL SERVICES	104,673	0.00	147,886	0.00	147,886	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	100	0.00	100	0.00	0	0.00
M&R SERVICES	461	0.00	5,608	0.00	5,608	0.00	0	0.00
OFFICE EQUIPMENT	490	0.00	5,419	0.00	5,419	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	100	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	4,000	0.00	4,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,160	0.00	5,131	0.00	5,131	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	773	0.00	3,875	0.00	3,875	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
MISCELLANEOUS EXPENSES	5,921	0.00	12,568	0.00	12,568	0.00	0	0.00
TOTAL - EE	**198,552**	**0.00**	**324,596**	**0.00**	**324,596**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$491,719**	**9.34**	**$706,258**	**10.00**	**$706,258**	**10.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$491,719**	**9.34**	**$706,258**	**10.00**	**$706,258**	**10.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects
Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

1. What does this program do?

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 327.011-327.635 RSMo.

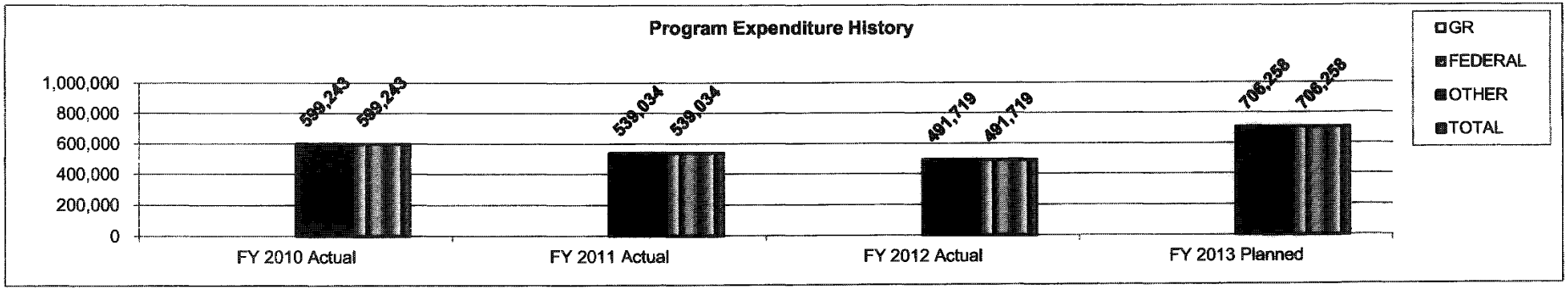
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

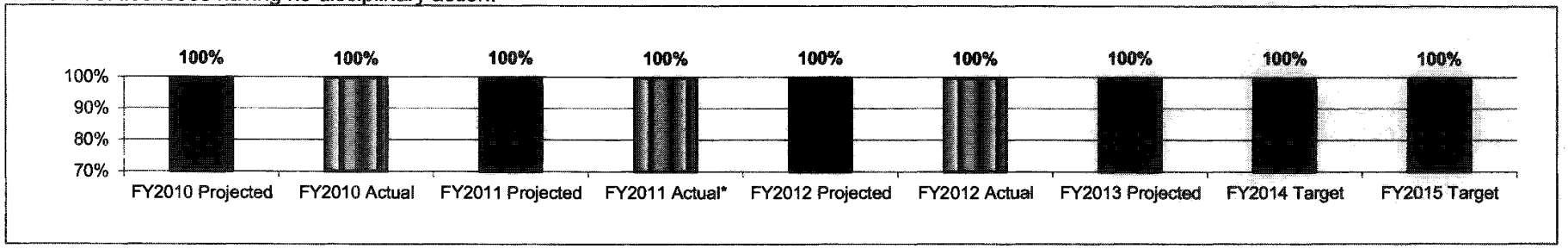
State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects
Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	2,372	2,137	2,572	2,012	2,266	2,147	2,601	2,601	2,627
Licensed Professionals	23,215	26,269	23,587	26,780	27,048	27,126	27,243	27,243	27,515

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF CHIROPRACTIC EXAMINERS								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF CHIROPRACTIC EXAMINER	81,299	0.00	147,672	0.00	147,672	0.00	0	0.00
TOTAL - EE	81,299	0.00	147,672	0.00	147,672	0.00	0	0.00
TOTAL	**81,299**	**0.00**	**147,672**	**0.00**	**147,672**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$81,299**	**0.00**	**$147,672**	**0.00**	**$147,672**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42680C
Professional Registration
Core - State Board of Chiropractic Examiners

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	147,672	147,672
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	147,672	147,672
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Chiropractic Examiners Fund (0630)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by chiropractors licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

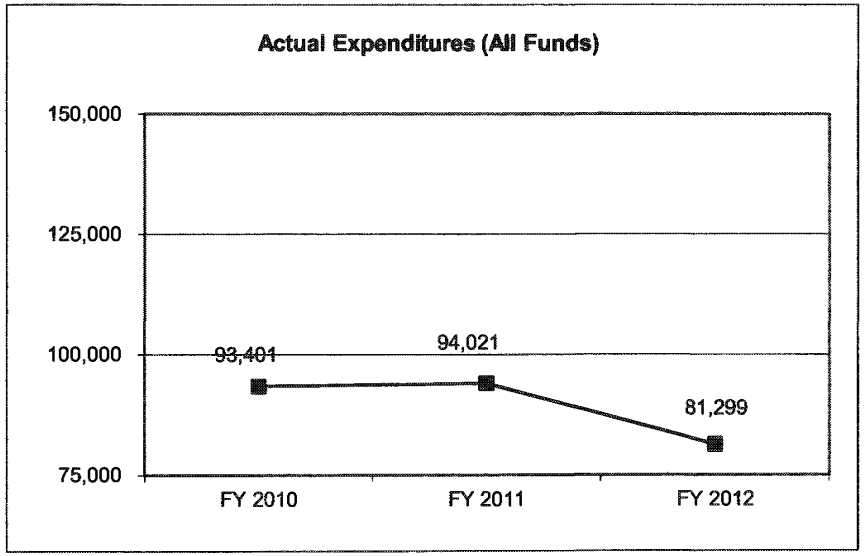
State Board of Chiropractic Examiners

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42680C
Professional Registration
Core - State Board of Chiropractic Examiners

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	149,567	149,567	149,567	147,672
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	149,567	149,567	149,567	N/A
Actual Expenditures (All Funds)	93,401	94,021	81,299	N/A
Unexpended (All Funds)	56,166	55,546	68,268	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	56,166	55,546	68,268	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
(2) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
(3) Unexpended amount due to less than anticipated expenditures.

DIFP
BD OF CHIROPRACTIC EXAMINERS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	147,672	147,672	
	Total	**0.00**	**0**	**0**	**147,672**	**147,672**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	147,672	147,672	
	Total	**0.00**	**0**	**0**	**147,672**	**147,672**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	147,672	147,672	
	Total	**0.00**	**0**	**0**	**147,672**	**147,672**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF CHIROPRACTIC EXAMINERS								
CORE								
TRAVEL, IN-STATE	5,048	0.00	11,400	0.00	11,400	0.00	0	0.00
TRAVEL, OUT-OF-STATE	0	0.00	9,500	0.00	9,500	0.00	0	0.00
FUEL & UTILITIES	0	0.00	60	0.00	60	0.00	0	0.00
SUPPLIES	3,769	0.00	9,030	0.00	7,030	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,625	0.00	6,080	0.00	4,980	0.00	0	0.00
COMMUNICATION SERV & SUPP	958	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL SERVICES	68,516	0.00	97,000	0.00	99,000	0.00	0	0.00
M&R SERVICES	0	0.00	4,502	0.00	4,502	0.00	0	0.00
OFFICE EQUIPMENT	259	0.00	4,000	0.00	4,600	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	2,000	0.00	2,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	300	0.00	100	0.00	600	0.00	0	0.00
MISCELLANEOUS EXPENSES	824	0.00	2,000	0.00	2,000	0.00	0	0.00
TOTAL - EE	**81,299**	**0.00**	**147,672**	**0.00**	**147,672**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$81,299**	**0.00**	**$147,672**	**0.00**	**$147,672**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$81,299**	**0.00**	**$147,672**	**0.00**	**$147,672**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Chiropractic Examiners
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

FY 2013 PLANNED			
	Chiropractic	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	147,672	68,954	216,626
TOTAL	147,672	68,954	216,626

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

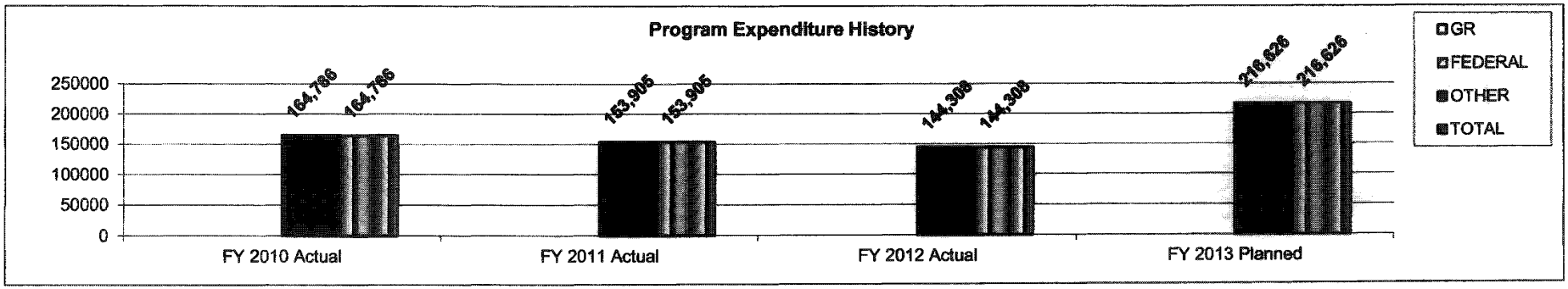
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



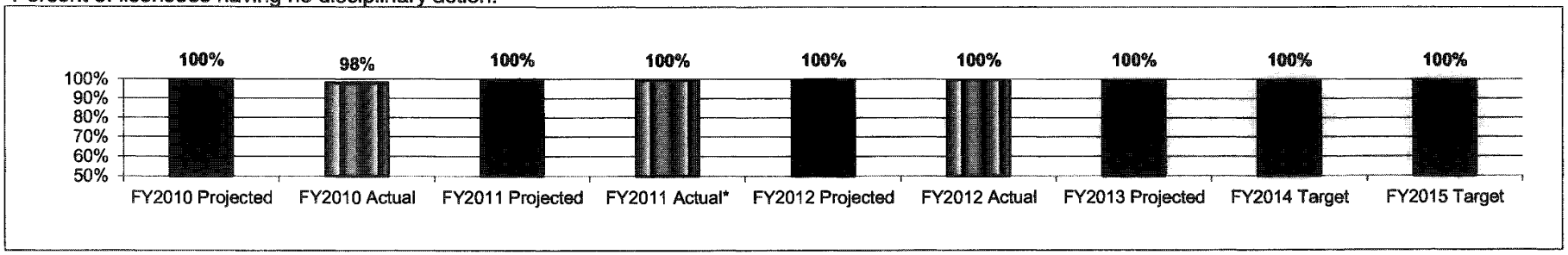
Department of Insurance, Financial Institutions and Professional Registration
State Board of Chiropractic Examiners
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	157	151	140	138	125	128	120	120	120
Licensed Professionals	2,068	2,225	2,007	2,209	2,205	2,348	2,200	2,200	2,200

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD COSMETOLOGY & BARBERS								
CORE								
EXPENSE & EQUIPMENT								
BRD OF COSMETOLOGY & BARBER EX	262,290	0.00	286,409	0.00	286,409	0.00	0	0.00
TOTAL - EE	262,290	0.00	286,409	0.00	286,409	0.00	0	0.00
TOTAL	**262,290**	**0.00**	**286,409**	**0.00**	**286,409**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$262,290**	**0.00**	**$286,409**	**0.00**	**$286,409**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42695C
Professional Registration
Core - State Board of Cosmetology and Barber Examiners

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	286,409	286,409
EE	0	0	0	0
TRF	0	0	0	0
Total	0	0	286,409	286,409
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Cosmetology and Barber Examiners Fund (0785)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
EE	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by cosmetologists, manicurists, estheticians, cosmetology salons, manicuring schools, esthetians, barbers, and barber schools or colleges licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

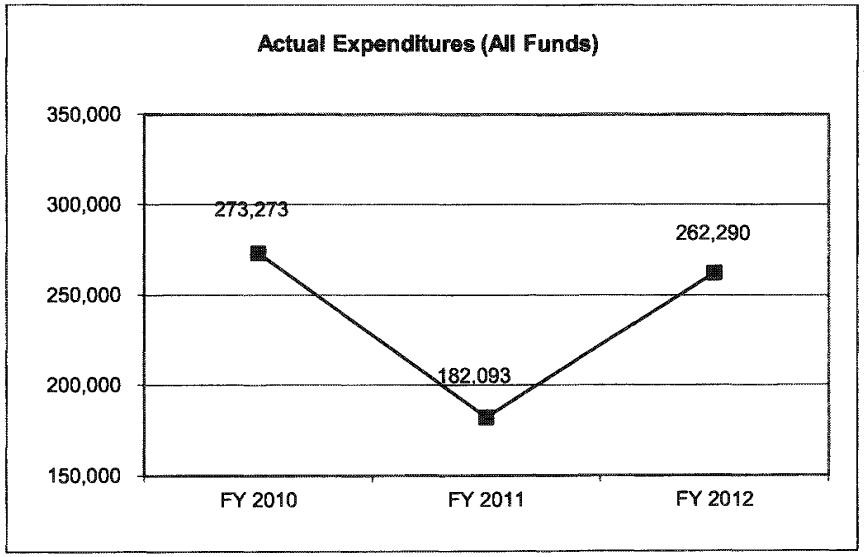
State Board of Cosmetology and Barber Examiners

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42695C
Professional Registration
Core - State Board of Cosmetology and Barber Examiners

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	292,273	292,273	292,273	286,409
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	292,273	292,273	292,273	N/A
Actual Expenditures (All Funds)	273,273	182,093	262,290	N/A
Unexpended (All Funds)	19,000	110,180	29,983	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	19,000	110,180	29,983	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.
(2) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.
(3) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP

BD COSMETOLOGY & BARBERS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	286,409	286,409	
	Total	**0.00**	**0**	**0**	**286,409**	**286,409**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	286,409	286,409	
	Total	**0.00**	**0**	**0**	**286,409**	**286,409**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	286,409	286,409	
	Total	**0.00**	**0**	**0**	**286,409**	**286,409**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD COSMETOLOGY & BARBERS								
CORE								
TRAVEL, IN-STATE	10,205	0.00	20,550	0.00	20,550	0.00	0	0.00
TRAVEL, OUT-OF-STATE	3,335	0.00	5,500	0.00	5,500	0.00	0	0.00
SUPPLIES	109,113	0.00	70,898	0.00	70,898	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	4,248	0.00	4,761	0.00	4,761	0.00	0	0.00
COMMUNICATION SERV & SUPP	17,890	0.00	17,000	0.00	17,000	0.00	0	0.00
PROFESSIONAL SERVICES	68,550	0.00	100,000	0.00	100,000	0.00	0	0.00
M&R SERVICES	11,507	0.00	13,000	0.00	13,000	0.00	0	0.00
MOTORIZED EQUIPMENT	33,099	0.00	45,000	0.00	45,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	200	0.00	200	0.00	0	0.00
BUILDING LEASE PAYMENTS	300	0.00	1,000	0.00	1,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	50	0.00	50	0.00	0	0.00
MISCELLANEOUS EXPENSES	4,043	0.00	8,450	0.00	8,450	0.00	0	0.00
TOTAL - EE	**262,290**	**0.00**	**286,409**	**0.00**	**286,409**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$262,290**	**0.00**	**$286,409**	**0.00**	**$286,409**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$262,290**	**0.00**	**$286,409**	**0.00**	**$286,409**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Cosmetology and Barber Examiners
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

	FY 2013 PLANNED		
	Cosmetology Barber	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	286,409	665,307	951,716
TOTAL	286,409	665,307	951,716

1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

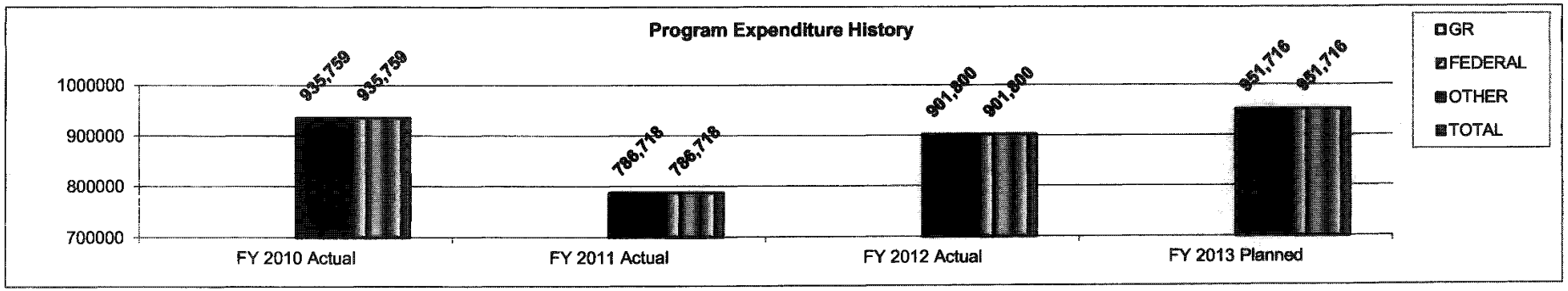
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



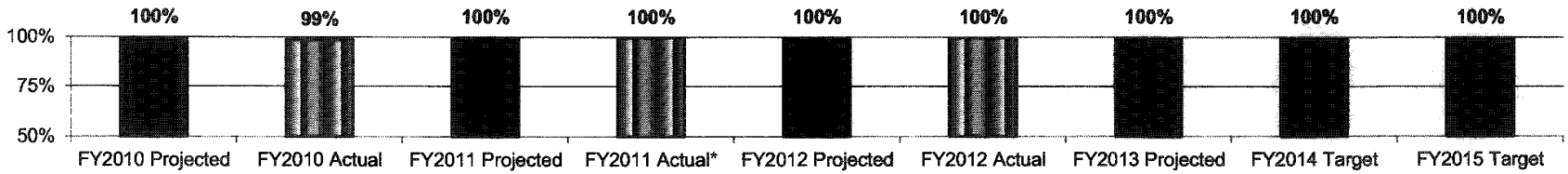
Department of Insurance, Financial Institutions and Professional Registration
Board of Cosmetology and Barber Examiners
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	10,603	10,980	11,000	10,362	11,979	11,381	15,100	15,100	15,100
Licensed Professionals	80,100	78,589	80,000	82,601	77,480	79,492	79,643	79,643	79,643

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MISSOURI DENTAL BOARD								
CORE								
PERSONAL SERVICES								
DENTAL BOARD FUND	231,469	7.38	378,550	8.50	378,550	8.50	0	0.00
TOTAL - PS	231,469	7.38	378,550	8.50	378,550	8.50	0	0.00
EXPENSE & EQUIPMENT								
DENTAL BOARD FUND	103,551	0.00	259,473	0.00	259,473	0.00	0	0.00
TOTAL - EE	103,551	0.00	259,473	0.00	259,473	0.00	0	0.00
TOTAL	**335,020**	**7.38**	**638,023**	**8.50**	**638,023**	**8.50**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
DENTAL BOARD FUND	0	0.00	0	0.00	278	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	278	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**278**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$335,020**	**7.38**	**$638,023**	**8.50**	**$638,301**	**8.50**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42710C
Professional Registration
Core - Missouri Dental Board

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	378,550	378,550
EE	0	0	259,473	259,473
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**638,023**	**638,023**
FTE	**0.00**	**0.00**	**8.50**	**8.50**
Est. Fringe	*0*	*0*	*194,613*	*194,613*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Dental Board Fund (0677)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by dentists and dental hygienists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

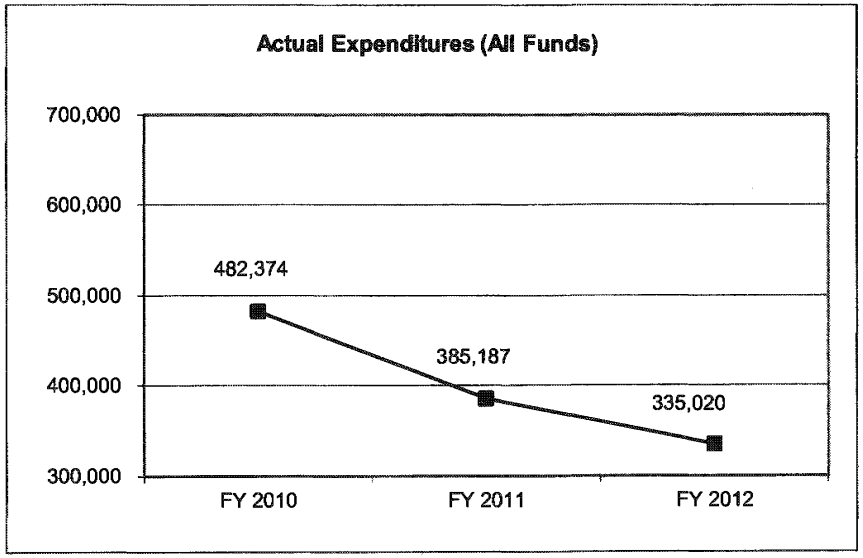
Missouri Dental Board

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42710C
Professional Registration
Core - Missouri Dental Board

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	635,009	635,009	635,009	638,023
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	635,009	635,009	635,009	N/A
Actual Expenditures (All Funds)	482,374	385,187	335,020	N/A
Unexpended (All Funds)	152,635	249,822	299,989	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	152,635	249,822	299,989	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.
(2) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.
(3) Unexpended amount due to less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP

MISSOURI DENTAL BOARD

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	8.50	0	0	378,550	378,550	
	EE	0.00	0	0	259,473	259,473	
	Total	**8.50**	**0**	**0**	**638,023**	**638,023**	
DEPARTMENT CORE REQUEST							
	PS	8.50	0	0	378,550	378,550	
	EE	0.00	0	0	259,473	259,473	
	Total	**8.50**	**0**	**0**	**638,023**	**638,023**	
GOVERNOR'S RECOMMENDED CORE							
	PS	8.50	0	0	378,550	378,550	
	EE	0.00	0	0	259,473	259,473	
	Total	**8.50**	**0**	**0**	**638,023**	**638,023**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MISSOURI DENTAL BOARD								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	11,435	0.52	29,847	1.00	0	0.00	0	0.00
ACCOUNT CLERK II	15,863	0.63	29,456	1.00	0	0.00	0	0.00
ACCOUNTANT I	11,286	0.38	0	0.00	30,696	1.00	0	0.00
INVESTIGATOR I	31,018	0.88	36,414	1.00	36,414	1.00	0	0.00
INVESTIGATOR II	34,747	0.98	43,335	1.00	42,095	1.00	0	0.00
INVESTIGATOR III	2,538	0.06	51,069	1.00	51,069	1.00	0	0.00
PROF REG LIC TECH II	18,382	0.72	39,639	1.50	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	14,347	0.41	37,774	1.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	9,875	0.44	0	0.00	26,847	1.00	0	0.00
PROCESSING TECHNICIAN II	16,645	0.66	0	0.00	42,639	1.50	0	0.00
PROCESSING TECHNICIAN SUPV	10,330	0.30	0	0.00	37,774	1.00	0	0.00
BOARD MEMBER	8,500	0.65	37,475	0.00	37,475	0.00	0	0.00
CLERK	0	0.00	3,567	0.00	3,567	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	46,503	0.75	69,974	1.00	69,974	1.00	0	0.00
TOTAL - PS	**231,469**	**7.38**	**378,550**	**8.50**	**378,550**	**8.50**	**0**	**0.00**
TRAVEL, IN-STATE	10,963	0.00	23,660	0.00	23,660	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,149	0.00	10,450	0.00	10,450	0.00	0	0.00
SUPPLIES	6,857	0.00	19,000	0.00	19,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	3,755	0.00	10,500	0.00	10,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	3,062	0.00	6,000	0.00	6,000	0.00	0	0.00
PROFESSIONAL SERVICES	71,148	0.00	172,713	0.00	172,713	0.00	0	0.00
M&R SERVICES	1,647	0.00	3,500	0.00	3,500	0.00	0	0.00
OFFICE EQUIPMENT	120	0.00	1,300	0.00	1,300	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,336	0.00	4,000	0.00	4,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	20	0.00	350	0.00	350	0.00	0	0.00

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MISSOURI DENTAL BOARD								
CORE								
MISCELLANEOUS EXPENSES	2,494	0.00	7,000	0.00	7,000	0.00	0	0.00
TOTAL - EE	**103,551**	**0.00**	**259,473**	**0.00**	**259,473**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$335,020**	**7.38**	**$638,023**	**8.50**	**$638,023**	**8.50**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$335,020**	**7.38**	**$638,023**	**8.50**	**$638,023**	**8.50**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Dental Board
Program is found in the following core budget(s): Missouri Dental Board

1. What does this program do?

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 332.011-332.364 RSMo.

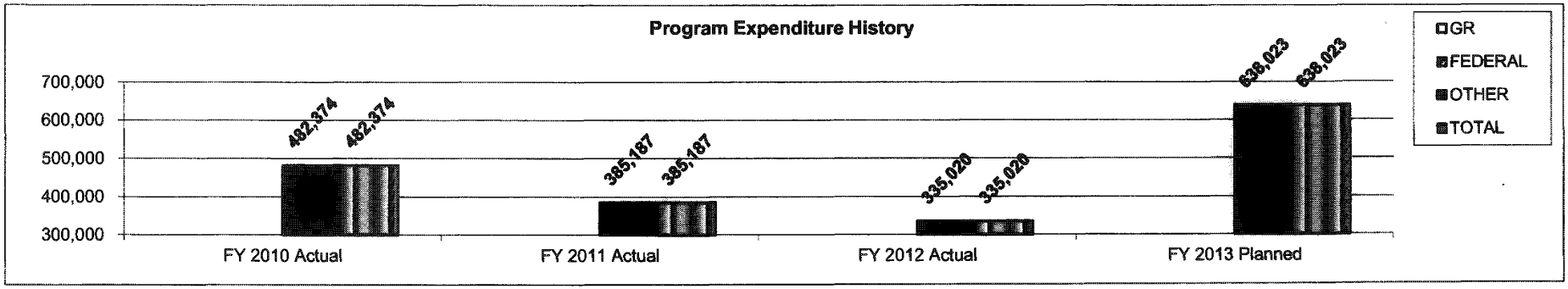
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

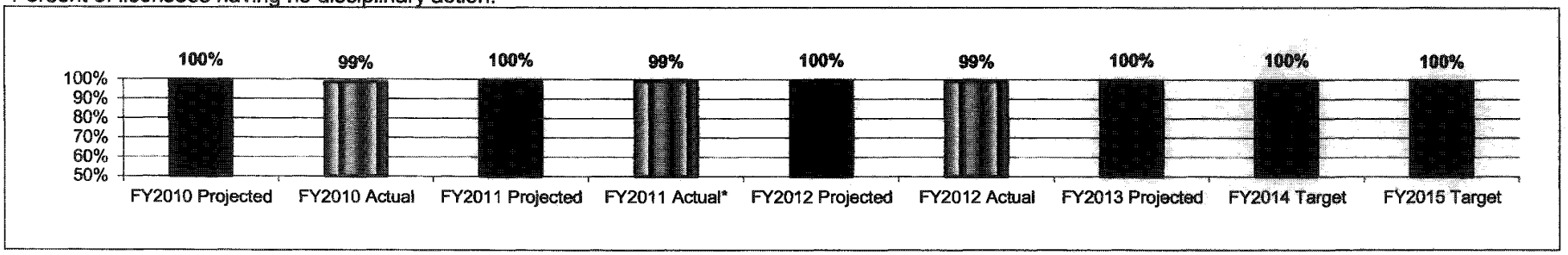
Dental Board Fund (0677)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Dental Board
Program is found in the following core budget(s): Missouri Dental Board

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	635	618	600	751	650	758	760	750	750
Licensed Professionals	6,566	8,130	7,500	8,166	8,100	8,842	8,600	8,800	9,000

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF EMBALMERS & FUNERAL DIR								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF EMBALM & FUN DIR	70,132	0.00	204,033	0.00	204,033	0.00	0	0.00
TOTAL - EE	70,132	0.00	204,033	0.00	204,033	0.00	0	0.00
TOTAL	**70,132**	**0.00**	**204,033**	**0.00**	**204,033**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$70,132**	**0.00**	**$204,033**	**0.00**	**$204,033**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42720C
Professional Registration
Core - State Board of Embalmers and Funeral Directors

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request					FY 2014 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	204,033	204,033	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**204,033**	**204,033**	Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**	FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Embalmers & Funeral Directors Fund (0633)

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by embalmers, funeral directors, funeral establishment, preneed providers, preneed sellers, and preneed agents licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

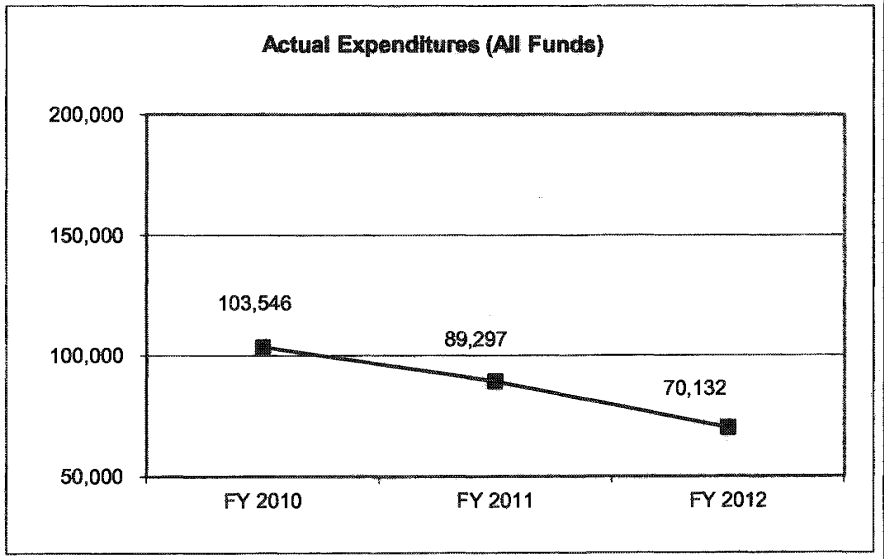
State Board of Embalmers and Funeral Directors

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42720C
Professional Registration
Core - State Board of Embalmers and Funeral Directors

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	145,393	568,844	209,781	204,033
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	145,393	568,844	209,781	N/A
Actual Expenditures (All Funds)	103,546	89,297	70,132	N/A
Unexpended (All Funds)	41,847	479,547	139,649	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	41,847	479,547	139,649	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due less than anticipated expenditures, investigative costs and legal expenses.
(2) FY2011 includes one time expenditures to implement SB1 (2009).
(3) Unexpended amount due less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP
BD OF EMBALMERS & FUNERAL DIR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	204,033	204,033	
	Total	**0.00**	**0**	**0**	**204,033**	**204,033**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	204,033	204,033	
	Total	**0.00**	**0**	**0**	**204,033**	**204,033**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	204,033	204,033	
	Total	**0.00**	**0**	**0**	**204,033**	**204,033**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF EMBALMERS & FUNERAL DIR								
CORE								
TRAVEL, IN-STATE	24,320	0.00	52,252	0.00	52,252	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,349	0.00	14,250	0.00	14,250	0.00	0	0.00
SUPPLIES	16,681	0.00	28,500	0.00	28,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,579	0.00	19,250	0.00	19,250	0.00	0	0.00
COMMUNICATION SERV & SUPP	6,564	0.00	4,500	0.00	4,500	0.00	0	0.00
PROFESSIONAL SERVICES	15,042	0.00	73,731	0.00	73,731	0.00	0	0.00
M&R SERVICES	123	0.00	2,000	0.00	2,000	0.00	0	0.00
OFFICE EQUIPMENT	509	0.00	1,000	0.00	1,000	0.00	0	0.00
OTHER EQUIPMENT	337	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	305	0.00	1,550	0.00	1,550	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	92	0.00	500	0.00	500	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,231	0.00	6,500	0.00	6,500	0.00	0	0.00
TOTAL - EE	**70,132**	**0.00**	**204,033**	**0.00**	**204,033**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$70,132**	**0.00**	**$204,033**	**0.00**	**$204,033**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$70,132**	**0.00**	**$204,033**	**0.00**	**$204,033**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Embalmers and Funeral Directors
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

FY 2013 PLANNED			
	Emb & FDs	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	204,033	405,917	609,950
TOTAL	204,033	405,917	609,950

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

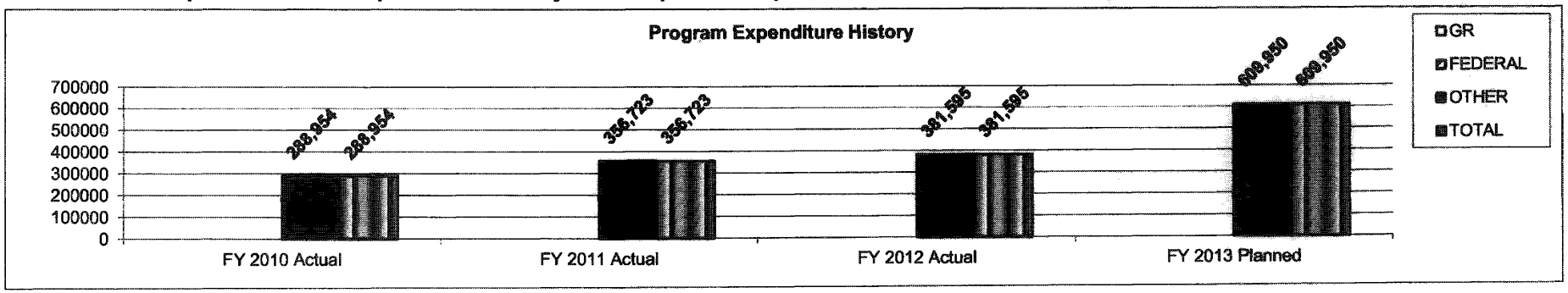
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



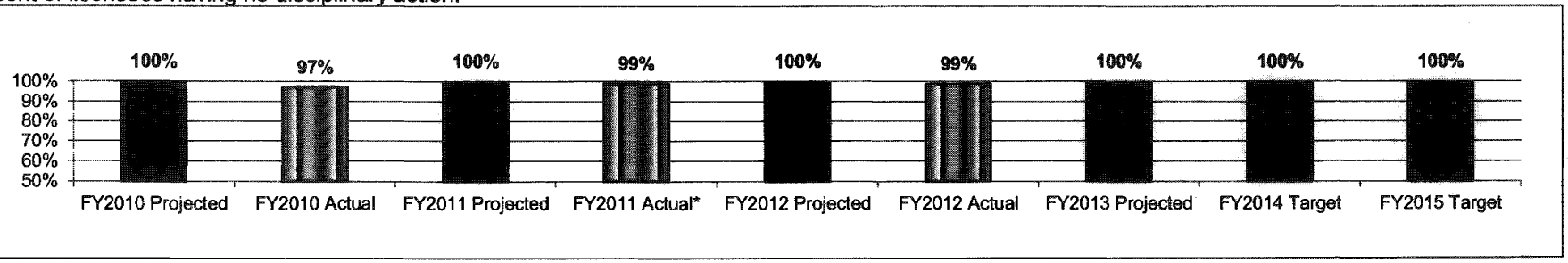
Department of Insurance, Financial Institutions and Professional Registration
State Board of Embalmers and Funeral Directors
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	319	1,460	295	405	405	402	400	400	400
Licensed Professionals	6,183	5,995	5,995	6,254	6,170	6,125	6,170	6,170	6,170

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
PERSONAL SERVICES								
BOARD OF REG FOR HEALING ARTS	1,584,690	40.18	1,823,863	45.00	1,823,863	45.00	0	0.00
TOTAL - PS	1,584,690	40.18	1,823,863	45.00	1,823,863	45.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF REG FOR HEALING ARTS	824,645	0.00	768,439	0.00	760,494	0.00	0	0.00
TOTAL - EE	824,645	0.00	768,439	0.00	760,494	0.00	0	0.00
TOTAL	**2,409,335**	**40.18**	**2,592,302**	**45.00**	**2,584,357**	**45.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF REG FOR HEALING ARTS	0	0.00	0	0.00	1,082	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	1,082	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**1,082**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$2,409,335**	**40.18**	**$2,592,302**	**45.00**	**$2,585,439**	**45.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42730C
Professional Registration
Core - State Board of Registration for the Healing Arts

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	1,823,863	1,823,863
EE	0	0	760,494	760,494
PSD	0	0		0
TRF	0	0	0	0
Total	0	0	2,584,357	2,584,357
FTE	0.00	0.00	45.00	45.00
Est. Fringe	*0*	*0*	*937,648*	*937,648*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Registration for the Healing Arts Fund (0634)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiology assistants licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

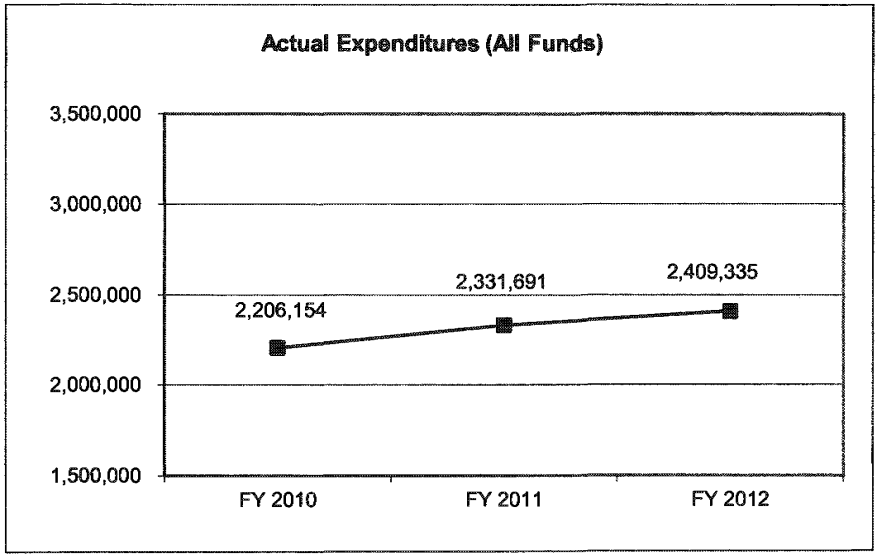
State Board of Registration for the Healing Arts

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42730C
Professional Registration
Core - State Board of Registration for the Healing Arts

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	2,506,569	2,481,609	2,481,609	2,592,302
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	2,506,569	2,481,609	2,481,609	N/A
Actual Expenditures (All Funds)	2,206,154	2,331,691	2,409,335	N/A
Unexpended (All Funds)	300,415	149,918	72,274	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	300,415	149,918	72,274	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
(2) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
(3) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP
BD OF REG FOR THE HEALING ART

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	45.00	0	0	1,823,863	1,823,863	
	EE	0.00	0	0	768,439	768,439	
	Total	**45.00**	**0**	**0**	**2,592,302**	**2,592,302**	
DEPARTMENT CORE ADJUSTMENTS							
1x Expenditures 604 2230	EE	0.00	0	0	(7,945)	(7,945)	1X Expenditures - HB 265 (2012)
NET DEPARTMENT CHANGES		**0.00**	**0**	**0**	**(7,945)**	**(7,945)**	
DEPARTMENT CORE REQUEST							
	PS	45.00	0	0	1,823,863	1,823,863	
	EE	0.00	0	0	760,494	760,494	
	Total	**45.00**	**0**	**0**	**2,584,357**	**2,584,357**	
GOVERNOR'S RECOMMENDED CORE							
	PS	45.00	0	0	1,823,863	1,823,863	
	EE	0.00	0	0	760,494	760,494	
	Total	**45.00**	**0**	**0**	**2,584,357**	**2,584,357**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	45,791	1.63	91,610	3.00	0	0.00	0	0.00
OFFICE SUPPORT ASST (STENO)	38,326	1.55	51,404	2.00	26,586	1.00	0	0.00
SR OFC SUPPORT ASST (STENO)	28,056	1.00	29,387	1.00	29,387	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	51,513	2.39	158,079	7.00	23,856	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	38,674	1.46	26,290	1.00	59,500	2.00	0	0.00
INFORMATION SUPPORT COOR	27,660	1.00	29,449	1.00	29,449	1.00	0	0.00
ACCOUNT CLERK II	12,900	0.50	13,759	0.50	13,759	0.50	0	0.00
MEDICAL CNSLT	145,353	1.25	218,708	2.00	291,174	2.00	0	0.00
MEDICAL DIR	125,256	1.00	126,830	1.00	126,830	1.00	0	0.00
INVESTIGATOR II	513,905	13.76	540,821	14.00	540,821	14.00	0	0.00
INVESTIGATOR III	45,984	1.00	48,078	1.00	48,078	1.00	0	0.00
PROF REG LIC TECH I	23,841	1.06	60,589	2.50	0	0.00	0	0.00
PROF REG LIC TECH II	27,495	1.08	51,733	2.00	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	17,797	0.54	34,895	1.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	37,968	1.00	39,395	1.00	39,395	1.00	0	0.00
INVESTIGATION MGR B1	54,236	1.00	55,276	1.00	55,276	1.00	0	0.00
PROCESSING TECHNICIAN I	39,643	1.78	0	0.00	116,885	7.50	0	0.00
PROCESSING TECHNICIAN II	48,812	1.90	0	0.00	107,439	5.00	0	0.00
PROCESSING TECHNICIAN SUPV	29,532	0.91	0	0.00	67,868	2.00	0	0.00
PARALEGAL	27,993	0.95	30,670	1.00	30,670	1.00	0	0.00
LEGAL COUNSEL	54,080	1.00	113,283	2.00	113,283	2.00	0	0.00
BOARD MEMBER	6,941	0.53	17,295	0.00	17,295	0.00	0	0.00
CLERK	66,651	0.89	10,024	0.00	10,024	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	76,283	1.00	76,288	1.00	76,288	1.00	0	0.00
TOTAL - PS	**1,584,690**	**40.18**	**1,823,863**	**45.00**	**1,823,863**	**45.00**	**0**	**0.00**
TRAVEL, IN-STATE	16,577	0.00	22,350	0.00	22,350	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,144	0.00	2,750	0.00	2,750	0.00	0	0.00
SUPPLIES	76,305	0.00	68,539	0.00	68,539	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	11,568	0.00	8,787	0.00	8,787	0.00	0	0.00
COMMUNICATION SERV & SUPP	31,409	0.00	39,324	0.00	39,324	0.00	0	0.00
PROFESSIONAL SERVICES	618,865	0.00	569,339	0.00	569,339	0.00	0	0.00
M&R SERVICES	11,298	0.00	16,000	0.00	16,000	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
MOTORIZED EQUIPMENT	47,691	0.00	22,000	0.00	22,000	0.00	0	0.00
OFFICE EQUIPMENT	465	0.00	8,945	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,343	0.00	3,500	0.00	3,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,065	0.00	1,600	0.00	1,600	0.00	0	0.00
MISCELLANEOUS EXPENSES	5,915	0.00	5,305	0.00	5,305	0.00	0	0.00
TOTAL - EE	**824,645**	**0.00**	**768,439**	**0.00**	**760,494**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$2,409,335**	**40.18**	**$2,592,302**	**45.00**	**$2,584,357**	**45.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$2,409,335**	**40.18**	**$2,592,302**	**45.00**	**$2,584,357**	**45.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Registration for the Healing Arts
Program is found in the following core budget(s): State Board of Registration for the Healing Arts

1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.125-324.183, 334.002-334.749, and 345.010-345.080 RSMo.

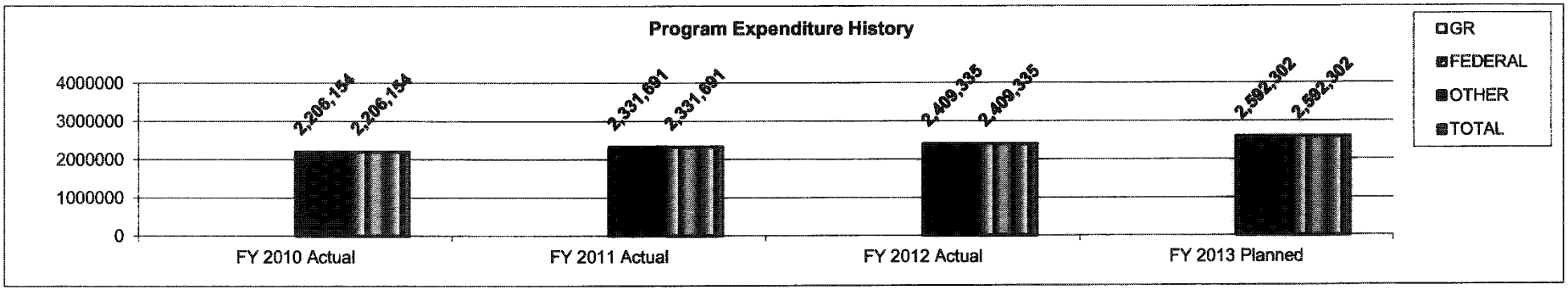
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

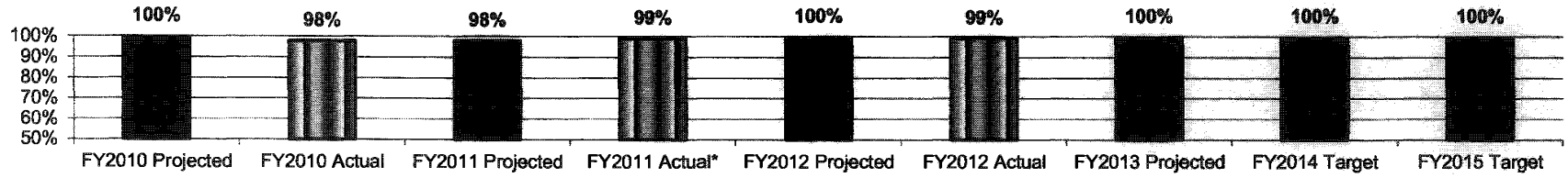
Board of Registration for the Healing Arts (0634)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Registration for the Healing Arts
Program is found in the following core budget(s): State Board of Registration for the Healing Arts

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	3,639	3,784	3,766	3,761	3,717	4,369	4,130	4,130	4,130
Licensed Professionals	37,516	38,465	38,465	39,691	39,363	40,680	40,391	40,391	40,391

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF NURSING								
CORE								
PERSONAL SERVICES								
BOARD OF NURSING	935,896	26.39	1,202,773	28.00	1,202,773	28.00	0	0.00
TOTAL - PS	935,896	26.39	1,202,773	28.00	1,202,773	28.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF NURSING	443,706	0.00	591,646	0.00	591,646	0.00	0	0.00
TOTAL - EE	443,706	0.00	591,646	0.00	591,646	0.00	0	0.00
TOTAL	**1,379,602**	**26.39**	**1,794,419**	**28.00**	**1,794,419**	**28.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF NURSING	0	0.00	0	0.00	743	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	743	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**743**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,379,602**	**26.39**	**$1,794,419**	**28.00**	**$1,795,162**	**28.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42740C
Professional Registration
Core - State Board of Nursing

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	1,202,773	1,202,773
EE	0	0	591,646	591,646
PSD	0	0		0
TRF	0	0	0	0
Total	0	0	1,794,419	1,794,419
FTE	0.00	0.00	28.00	28.00
Est. Fringe	*0*	*0*	*618,346*	*618,346*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Nursing Fund (0635)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by registered professional nurses and practical nurses licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

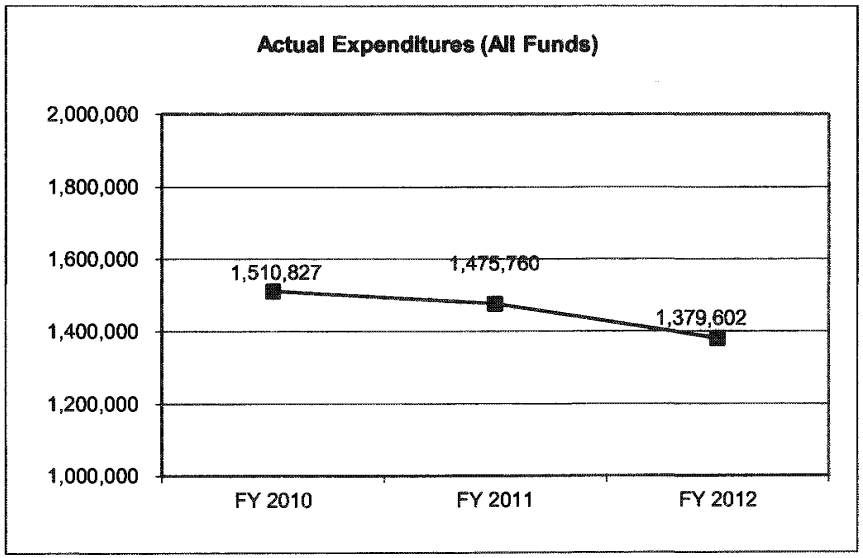
State Board of Nursing

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42740C
Professional Registration
Core - State Board of Nursing

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,788,234	1,788,234	1,788,234	1,794,419
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,788,234	1,788,234	1,788,234	N/A
Actual Expenditures (All Funds)	1,510,827	1,475,760	1,379,602	N/A
Unexpended (All Funds)	277,407	312,474	408,632	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	277,407	312,474	408,632	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.
(2) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.
(3) Unexpended amount is due to staff turnover, less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF NURSING

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	28.00	0	0	1,202,773	1,202,773	
	EE	0.00	0	0	591,646	591,646	
	Total	**28.00**	**0**	**0**	**1,794,419**	**1,794,419**	
DEPARTMENT CORE REQUEST							
	PS	28.00	0	0	1,202,773	1,202,773	
	EE	0.00	0	0	591,646	591,646	
	Total	**28.00**	**0**	**0**	**1,794,419**	**1,794,419**	
GOVERNOR'S RECOMMENDED CORE							
	PS	28.00	0	0	1,202,773	1,202,773	
	EE	0.00	0	0	591,646	591,646	
	Total	**28.00**	**0**	**0**	**1,794,419**	**1,794,419**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF NURSING								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	19,388	0.89	50,958	2.00	25,958	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	28,273	1.14	52,997	2.00	0	0.00	0	0.00
EXECUTIVE I	31,176	1.00	35,671	1.00	35,671	1.00	0	0.00
REGISTERED NURSE VI	28,344	0.50	180,393	3.00	0	0.00	0	0.00
INVESTIGATOR I	7,283	0.25	32,613	1.00	32,613	1.00	0	0.00
INVESTIGATOR II	107,174	2.92	152,875	4.00	152,875	4.00	0	0.00
INVESTIGATOR III	49,104	1.00	54,258	1.00	54,258	1.00	0	0.00
PROF REG LIC TECH I	59,577	2.66	117,204	5.00	0	0.00	0	0.00
PROF REG LIC TECH II	13,747	0.54	27,518	1.00	0	0.00	0	0.00
PROF REG LICENSING/CERT SUPV	16,302	0.54	33,633	1.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	40,212	1.00	41,276	1.00	41,276	1.00	0	0.00
REGISTERED NURSE MANAGER B1	150,720	2.50	0	0.00	185,000	3.00	0	0.00
PROCESSING TECHNICIAN I	63,069	2.74	0	0.00	150,408	6.00	0	0.00
PROCESSING TECHNICIAN II	13,608	0.54	0	0.00	27,500	1.00	0	0.00
PROCESSING TECHNICIAN III	9,220	0.33	0	0.00	28,500	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	13,794	0.46	0	0.00	33,633	1.00	0	0.00
PARALEGAL	90,927	3.00	97,840	3.00	97,840	3.00	0	0.00
LEGAL COUNSEL	102,071	1.95	226,000	2.00	237,704	3.00	0	0.00
BOARD MEMBER	13,604	1.05	15,288	0.00	15,288	0.00	0	0.00
CLERK	8,356	0.38	13,249	0.00	13,249	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,947	1.00	71,000	1.00	71,000	1.00	0	0.00
TOTAL - PS	**935,896**	**26.39**	**1,202,773**	**28.00**	**1,202,773**	**28.00**	**0**	**0.00**
TRAVEL, IN-STATE	18,438	0.00	23,500	0.00	23,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,834	0.00	13,900	0.00	13,900	0.00	0	0.00
SUPPLIES	41,133	0.00	78,250	0.00	78,250	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	11,429	0.00	28,500	0.00	28,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	18,241	0.00	20,000	0.00	20,000	0.00	0	0.00
PROFESSIONAL SERVICES	305,510	0.00	396,496	0.00	396,496	0.00	0	0.00
M&R SERVICES	715	0.00	5,000	0.00	5,000	0.00	0	0.00
MOTORIZED EQUIPMENT	18,070	0.00	0	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	2,813	0.00	2,000	0.00	2,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	******** SECURED COLUMN	******** SECURED COLUMN
BOARD OF NURSING								
CORE								
BUILDING LEASE PAYMENTS	5,846	0.00	10,000	0.00	10,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	4,440	0.00	3,000	0.00	3,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	12,237	0.00	10,000	0.00	10,000	0.00	0	0.00
TOTAL - EE	**443,706**	**0.00**	**591,646**	**0.00**	**591,646**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,379,602**	**26.39**	**$1,794,419**	**28.00**	**$1,794,419**	**28.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$1,379,602**	**26.39**	**$1,794,419**	**28.00**	**$1,794,419**	**28.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Nursing
Program is found in the following core budget(s): State Board of Nursing

1. What does this program do?

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 335.011-335.257 RSMo.

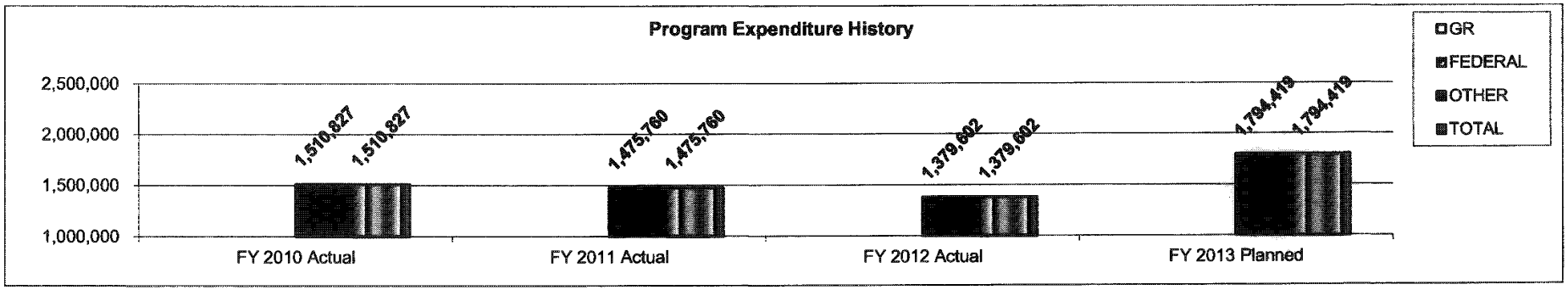
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

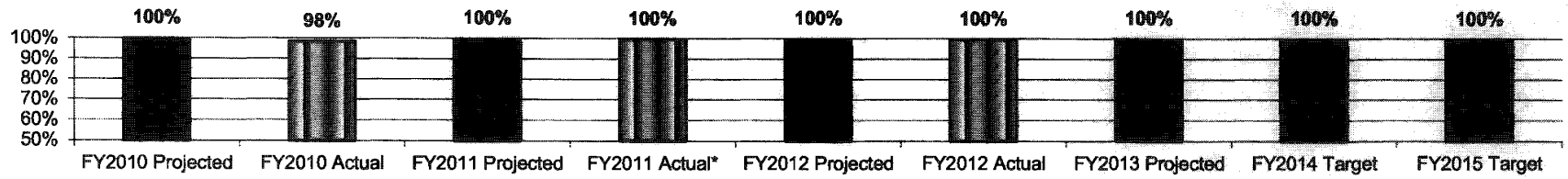
State Board of Nursing Fund (0635)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Nursing
Program is found in the following core budget(s): State Board of Nursing

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	8,200	9,250	8,300	8,916	8,400	9,001	8,500	8,500	8,500
Licensed Professionals	110,000	125,302	119,000	120,372	120,000	125,001	125,000	125,000	126,000

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF OPTOMETRY								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF OPTOMETRY	9,315	0.00	41,110	0.00	41,110	0.00	0	0.00
TOTAL - EE	9,315	0.00	41,110	0.00	41,110	0.00	0	0.00
TOTAL	**9,315**	**0.00**	**41,110**	**0.00**	**41,110**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$9,315**	**0.00**	**$41,110**	**0.00**	**$41,110**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42750C
Professional Registration
Core - State Board of Optometry

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	41,110	41,110
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	41,110	41,110
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Optometry Fund (0636)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by optometrists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

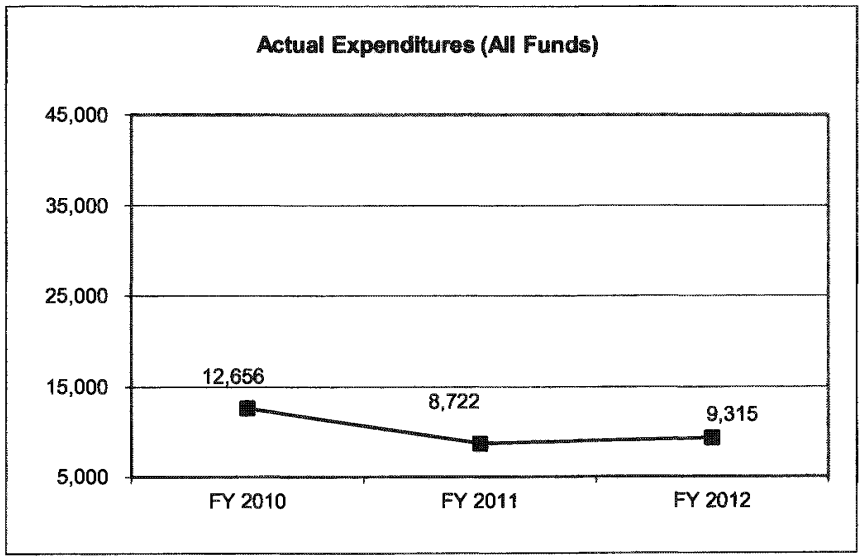
State Board of Optometry

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42750C
Professional Registration
Core - State Board of Optometry

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	42,043	42,043	42,043	41,110
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	42,043	42,043	42,043	N/A
Actual Expenditures (All Funds)	12,656	8,722	9,315	N/A
Unexpended (All Funds)	29,387	33,321	32,728	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	29,387	33,321	32,728	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount is due to less than anticipated expenditures.
(2) Unexpended amount is due to less than anticipated expenditures.
(3) Unexpended amount is due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF OPTOMETRY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	41,110	41,110	
	Total	**0.00**	**0**	**0**	**41,110**	**41,110**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	41,110	41,110	
	Total	**0.00**	**0**	**0**	**41,110**	**41,110**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	41,110	41,110	
	Total	**0.00**	**0**	**0**	**41,110**	**41,110**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF OPTOMETRY								
CORE								
TRAVEL, IN-STATE	2,550	0.00	7,746	0.00	7,746	0.00	0	0.00
TRAVEL, OUT-OF-STATE	712	0.00	1,900	0.00	1,900	0.00	0	0.00
SUPPLIES	1,061	0.00	5,225	0.00	5,225	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,704	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	896	0.00	800	0.00	800	0.00	0	0.00
PROFESSIONAL SERVICES	642	0.00	18,500	0.00	18,500	0.00	0	0.00
M&R SERVICES	549	0.00	800	0.00	800	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	400	0.00	400	0.00	0	0.00
BUILDING LEASE PAYMENTS	913	0.00	900	0.00	900	0.00	0	0.00
MISCELLANEOUS EXPENSES	288	0.00	1,989	0.00	1,989	0.00	0	0.00
TOTAL - EE	**9,315**	**0.00**	**41,110**	**0.00**	**41,110**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$9,315**	**0.00**	**$41,110**	**0.00**	**$41,110**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$9,315**	**0.00**	**$41,110**	**0.00**	**$41,110**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Optometry
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

FY 2013 PLANNED			
	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	41,110	57,098	98,208
TOTAL	41,110	57,098	98,208

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

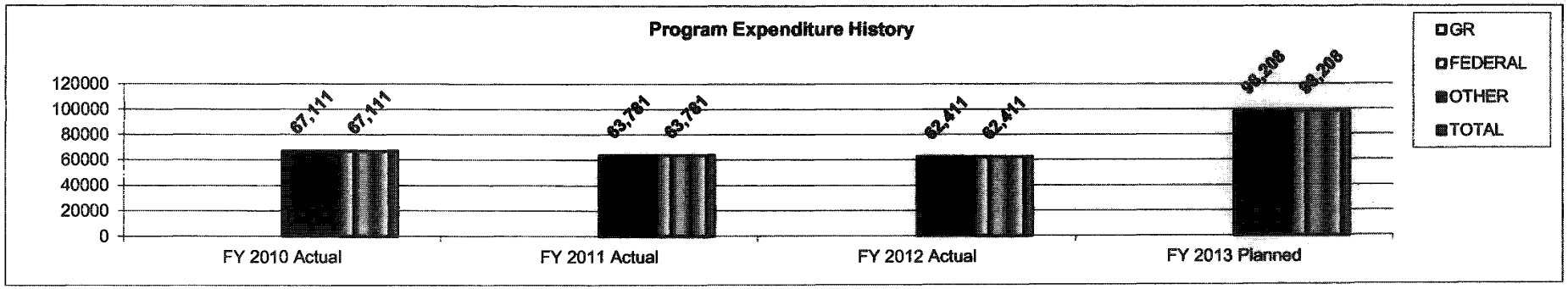
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



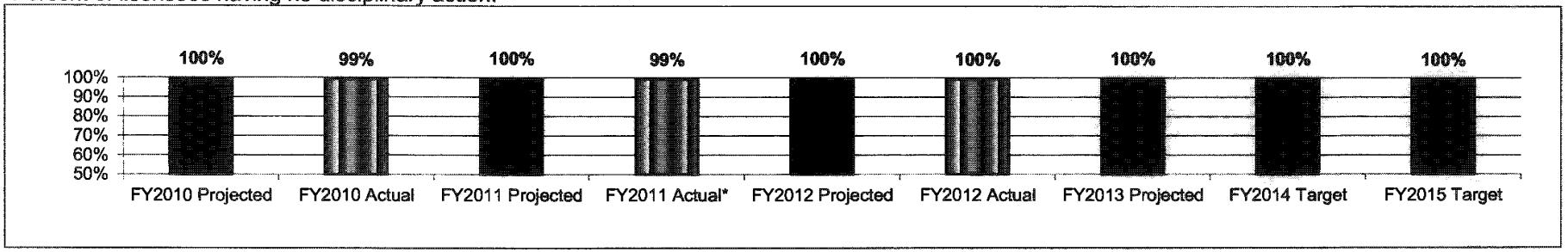
Department of Insurance, Financial Institutions and Professional Registration
State Board of Optometry
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	51	80	50	57	45	72	70	70	70
Licensed Professionals	1,271	1,333	1,310	1,282	1,290	1,336	1,350	1,350	1,350

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF PHARMACY								
CORE								
PERSONAL SERVICES								
BOARD OF PHARMACY	872,563	14.84	943,420	14.00	943,420	14.00	0	0.00
TOTAL - PS	872,563	14.84	943,420	14.00	943,420	14.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF PHARMACY	311,610	0.00	651,448	0.00	651,448	0.00	0	0.00
TOTAL - EE	311,610	0.00	651,448	0.00	651,448	0.00	0	0.00
PROGRAM-SPECIFIC								
BOARD OF PHARMACY	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL	**1,184,436**	**14.84**	**1,614,868**	**14.00**	**1,614,868**	**14.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
BOARD OF PHARMACY	0	0.00	0	0.00	147	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	147	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**147**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,184,436**	**14.84**	**$1,614,868**	**14.00**	**$1,615,015**	**14.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42760C
Professional Registration
Core - Missouri Board of Pharmacy

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	943,420	943,420
EE	0	0	666,448	666,448
PSD	0	0	5,000	5,000
TRF	0	0	0	0
Total	0	0	1,614,868	1,614,868
FTE	0.00	0.00	14.00	14.00
Est. Fringe	*0*	*0*	*485,012*	*485,012*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Pharmacy Fund (0637)
Notes:

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:
Notes:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

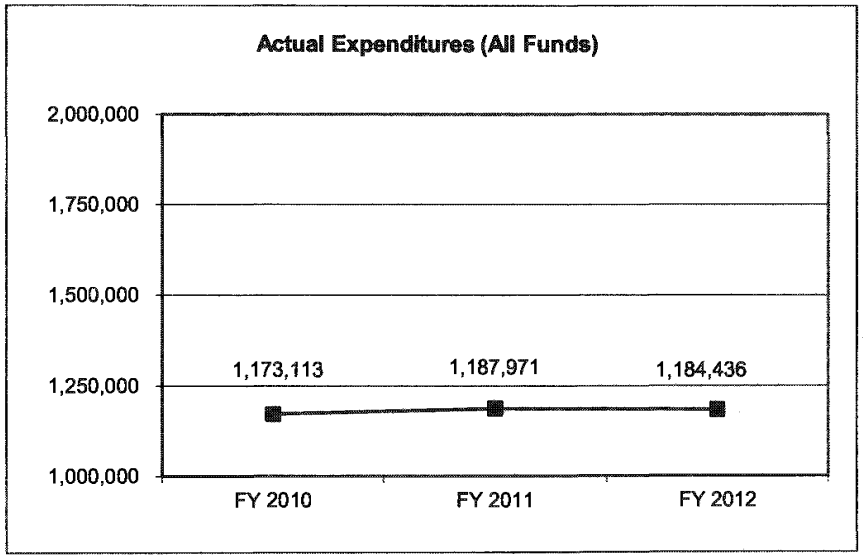
Missouri Board of Pharmacy

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42760C
Professional Registration
Core - Missouri Board of Pharmacy

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,618,016	1,618,016	1,618,016	1,614,868
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,618,016	1,618,016	1,618,016	N/A
Actual Expenditures (All Funds)	1,173,113	1,187,971	1,184,436	N/A
Unexpended (All Funds)	444,903	430,045	433,580	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	444,903	430,045	433,580	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
(2) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.
(3) Unexpended amount due to staff turnover and less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF PHARMACY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	14.00	0	0	943,420	943,420	
	EE	0.00	0	0	651,448	651,448	
	PD	0.00	0	0	20,000	20,000	
	Total	**14.00**	**0**	**0**	**1,614,868**	**1,614,868**	
DEPARTMENT CORE REQUEST							
	PS	14.00	0	0	943,420	943,420	
	EE	0.00	0	0	651,448	651,448	
	PD	0.00	0	0	20,000	20,000	
	Total	**14.00**	**0**	**0**	**1,614,868**	**1,614,868**	
GOVERNOR'S RECOMMENDED CORE							
	PS	14.00	0	0	943,420	943,420	
	EE	0.00	0	0	651,448	651,448	
	PD	0.00	0	0	20,000	20,000	
	Total	**14.00**	**0**	**0**	**1,614,868**	**1,614,868**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF PHARMACY								
CORE								
EXECUTIVE I	18,995	0.55	37,404	1.00	0	0.00	0	0.00
PHARMACEUTICAL CNSLT	655,404	8.00	692,861	8.00	692,861	8.00	0	0.00
INVESTIGATOR I	3,762	0.13	0	0.00	31,000	1.00	0	0.00
PROF REG LIC TECH I	20,121	0.88	48,689	2.00	0	0.00	0	0.00
PROF REG LIC TECH II	28,898	1.08	54,600	2.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	4,066	0.18	0	0.00	24,000	1.00	0	0.00
PROCESSING TECHNICIAN II	20,144	0.79	0	0.00	26,000	1.00	0	0.00
PROCESSING TECHNICIAN III	12,859	0.46	0	0.00	29,000	1.00	0	0.00
PROCESSING TECHNICIAN SUPV	16,187	0.46	0	0.00	37,404	1.00	0	0.00
BOARD MEMBER	4,844	0.37	17,200	0.00	11,489	0.00	0	0.00
CLERK	20,203	0.94	20,383	0.00	20,383	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	67,080	1.00	72,283	1.00	71,283	1.00	0	0.00
TOTAL - PS	**872,563**	**14.84**	**943,420**	**14.00**	**943,420**	**14.00**	**0**	**0.00**
TRAVEL, IN-STATE	18,471	0.00	36,000	0.00	36,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,131	0.00	17,000	0.00	17,000	0.00	0	0.00
SUPPLIES	53,089	0.00	57,000	0.00	57,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	5,450	0.00	9,500	0.00	9,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	15,333	0.00	18,000	0.00	18,000	0.00	0	0.00
PROFESSIONAL SERVICES	170,015	0.00	448,600	0.00	448,600	0.00	0	0.00
M&R SERVICES	2,285	0.00	13,000	0.00	13,000	0.00	0	0.00
MOTORIZED EQUIPMENT	31,231	0.00	27,000	0.00	27,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	3,000	0.00	3,000	0.00	0	0.00
OTHER EQUIPMENT	89	0.00	0	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	5,000	0.00	5,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1,500	0.00	1,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	60	0.00	500	0.00	500	0.00	0	0.00
MISCELLANEOUS EXPENSES	11,456	0.00	15,348	0.00	15,348	0.00	0	0.00
TOTAL - EE	**311,610**	**0.00**	**651,448**	**0.00**	**651,448**	**0.00**	**0**	**0.00**

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	******** SECURED COLUMN	******** SECURED COLUMN
BOARD OF PHARMACY								
CORE								
PROGRAM DISTRIBUTIONS	263	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	**263**	**0.00**	**20,000**	**0.00**	**20,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$1,184,436**	**14.84**	**$1,614,868**	**14.00**	**$1,614,868**	**14.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$1,184,436**	**14.84**	**$1,614,868**	**14.00**	**$1,614,868**	**14.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Board of Pharmacy
Program is found in the following core budget(s): Missouri Board of Pharmacy

1. What does this program do?

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 338.010-338.550 RSMo.

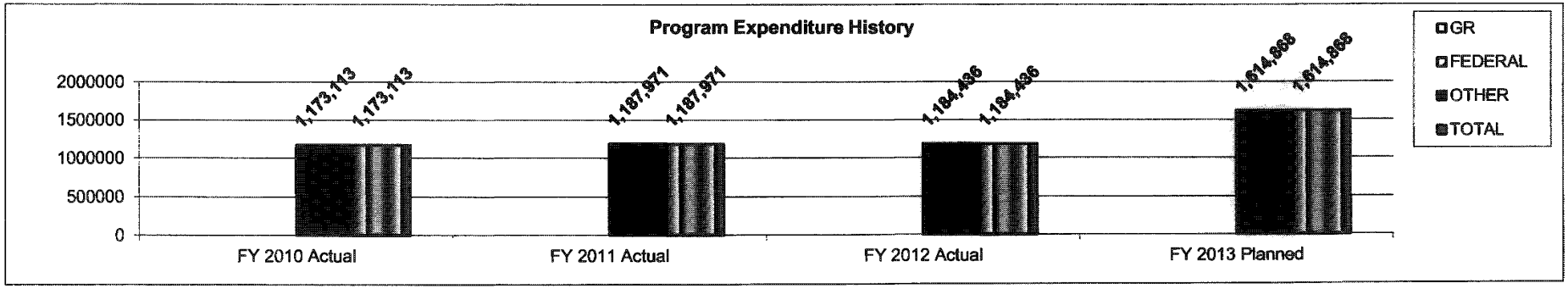
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

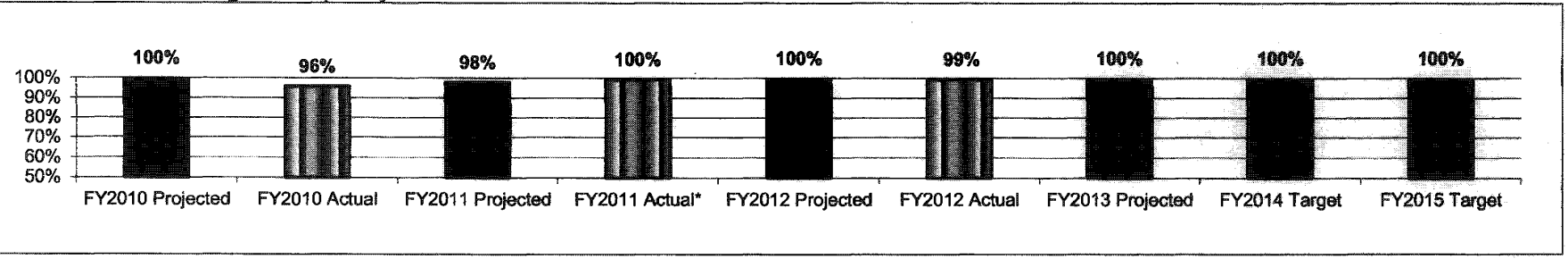
Board of Pharmacy Fund (0637)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Board of Pharmacy
Program is found in the following core budget(s): Missouri Board of Pharmacy

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	6,275	6,233	6,275	6,243	6,243	6,653	6,278	6,278	6,300
Licensed Professionals	29,242	31,074	31,044	31,357	32,008	32,857	32,000	32,000	32,050

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF PODIATRIC MEDICINE								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF PODIATRIC MEDICINE	3,748	0.00	20,069	0.00	20,069	0.00	0	0.00
TOTAL - EE	3,748	0.00	20,069	0.00	20,069	0.00	0	0.00
TOTAL	**3,748**	**0.00**	**20,069**	**0.00**	**20,069**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$3,748**	**0.00**	**$20,069**	**0.00**	**$20,069**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42770C
Professional Registration
Core - State Board of Podiatric Medicine

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	20,069	20,069
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	20,069	20,069
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Podiatric Medicine Fund (0629)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by podiatrists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

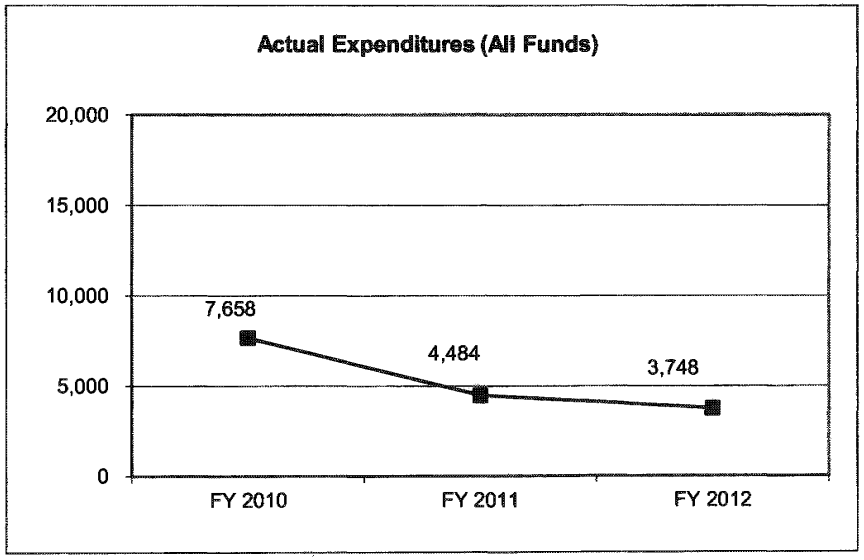
State Board of Podiatric Medicine

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42770C
Professional Registration
Core - State Board of Podiatric Medicine

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	20,669	20,669	20,669	20,069
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	20,669	20,669	20,669	N/A
Actual Expenditures (All Funds)	7,658	4,484	3,748	N/A
Unexpended (All Funds)	13,011	16,185	16,921	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	13,011	16,185	16,921	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to less than anticipated expenditures.
(2) Unexpended amount due to less than anticipated expenditures.
(3) Unexpended amount due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF PODIATRIC MEDICINE

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	20,069	20,069	
	Total	**0.00**	**0**	**0**	**20,069**	**20,069**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	20,069	20,069	
	Total	**0.00**	**0**	**0**	**20,069**	**20,069**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	20,069	20,069	
	Total	**0.00**	**0**	**0**	**20,069**	**20,069**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
BOARD OF PODIATRIC MEDICINE								
CORE								
TRAVEL, IN-STATE	315	0.00	3,800	0.00	3,800	0.00	0	0.00
TRAVEL, OUT-OF-STATE	0	0.00	2,850	0.00	2,850	0.00	0	0.00
SUPPLIES	554	0.00	1,900	0.00	1,900	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,450	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	516	0.00	720	0.00	720	0.00	0	0.00
PROFESSIONAL SERVICES	804	0.00	5,499	0.00	5,499	0.00	0	0.00
M&R SERVICES	0	0.00	300	0.00	300	0.00	0	0.00
OFFICE EQUIPMENT	90	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	19	0.00	150	0.00	150	0.00	0	0.00
TOTAL - EE	**3,748**	**0.00**	**20,069**	**0.00**	**20,069**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$3,748**	**0.00**	**$20,069**	**0.00**	**$20,069**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$3,748**	**0.00**	**$20,069**	**0.00**	**$20,069**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Board of Podiatric Medicine
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	FY 2013 PLANNED		
	Podiatry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	20,069	24,189	44,258
TOTAL	20,069	24,189	44,258

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

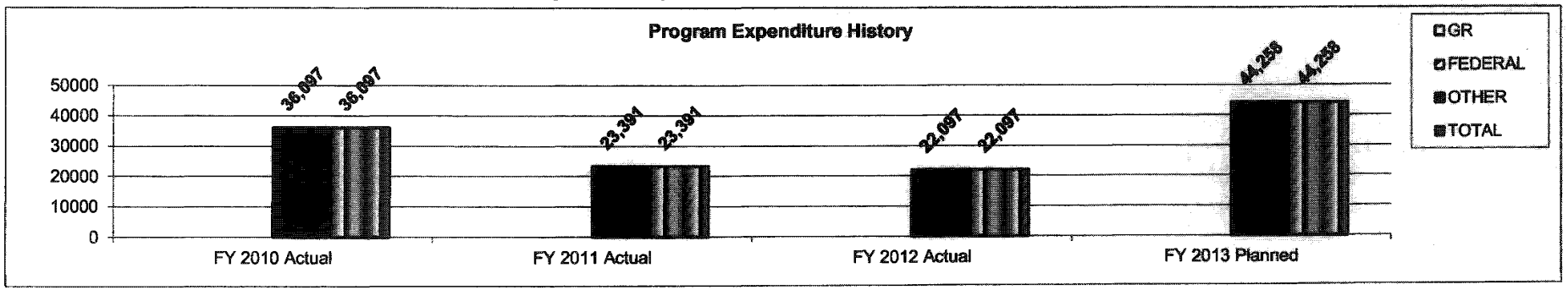
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



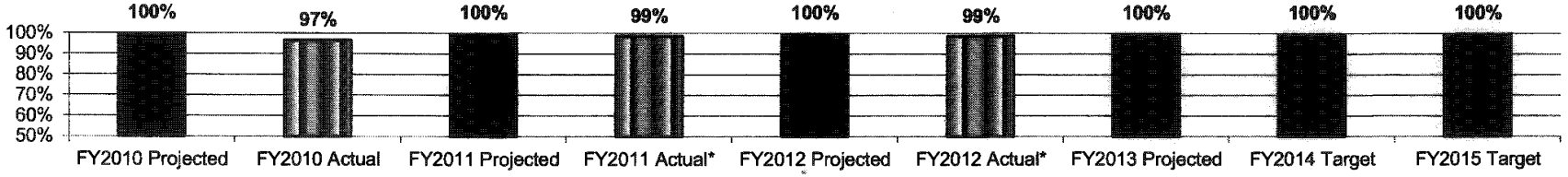
Department of Insurance, Financial Institutions and Professional Registration
State Board of Podiatric Medicine
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	21	28	21	31	31	34	31	31	31
Licensed Professionals	338	321	305	340	345	337	332	332	332

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO REAL ESTATE COMMISSION								
CORE								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	705,638	21.14	913,308	25.00	913,308	25.00	0	0.00
TOTAL - PS	705,638	21.14	913,308	25.00	913,308	25.00	0	0.00
EXPENSE & EQUIPMENT								
MO REAL ESTATE COMMISSION	164,801	0.00	279,694	0.00	279,694	0.00	0	0.00
TOTAL - EE	164,801	0.00	279,694	0.00	279,694	0.00	0	0.00
TOTAL	**870,439**	**21.14**	**1,193,002**	**25.00**	**1,193,002**	**25.00**	**0**	**0.00**
Pay Plan FY13-Cost to Continue - 0000013								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	0	0.00	0	0.00	690	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	690	0.00	0	0.00
TOTAL	**0**	**0.00**	**0**	**0.00**	**690**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$870,439**	**21.14**	**$1,193,002**	**25.00**	**$1,193,692**	**25.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42780C
Professional Registration
Core - Missouri Real Estate Commission

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	913,308	913,308
EE	0	0	279,694	279,694
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	1,193,002	1,193,002
FTE	0.00	0.00	25.00	25.00
Est. Fringe	*0*	*0*	*469,532*	*469,532*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Missouri Real Estate Commission Fund (0638)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by real estate brokers and salesperson licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

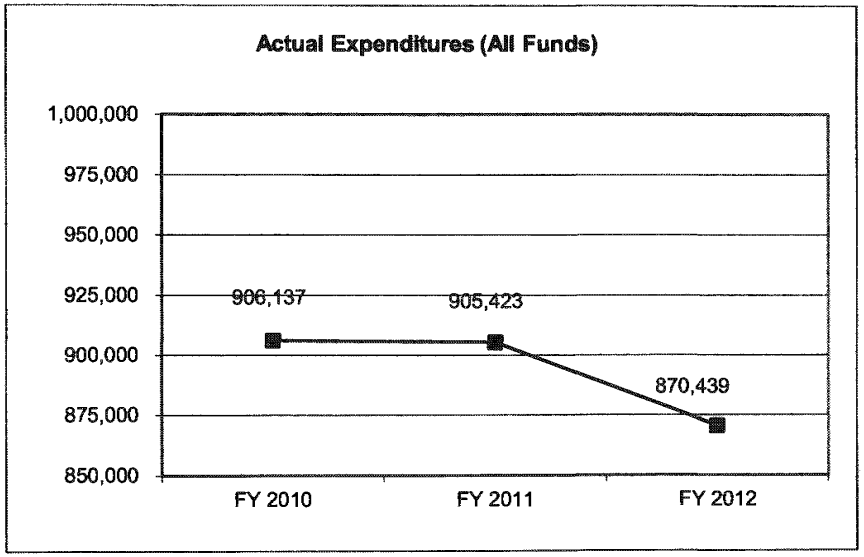
Missouri Real Estate Commission

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42780C
Professional Registration
Core - Missouri Real Estate Commission

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,214,991	1,214,991	1,214,991	1,193,002
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,214,991	1,214,991	1,214,991	N/A
Actual Expenditures (All Funds)	906,137	905,423	870,439	N/A
Unexpended (All Funds)	308,854	309,568	344,552	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	308,854	309,568	344,552	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to staff turnover and less than anticipated expenditures.
(2) Unexpended amount due to staff turnover and less than anticipated expenditures.
(3) Unexpended amount due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP

MO REAL ESTATE COMMISSION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	25.00	0	0	913,308	913,308	
	EE	0.00	0	0	279,694	279,694	
	Total	**25.00**	**0**	**0**	**1,193,002**	**1,193,002**	
DEPARTMENT CORE REQUEST							
	PS	25.00	0	0	913,308	913,308	
	EE	0.00	0	0	279,694	279,694	
	Total	**25.00**	**0**	**0**	**1,193,002**	**1,193,002**	
GOVERNOR'S RECOMMENDED CORE							
	PS	25.00	0	0	913,308	913,308	
	EE	0.00	0	0	279,694	279,694	
	Total	**25.00**	**0**	**0**	**1,193,002**	**1,193,002**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO REAL ESTATE COMMISSION								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	13,091	0.54	25,479	1.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	26,178	0.99	30,575	1.00	0	0.00	0	0.00
ACCOUNT CLERK II	19,760	0.79	28,027	1.00	0	0.00	0	0.00
EXECUTIVE I	21,379	0.54	41,786	1.00	0	0.00	0	0.00
INVESTIGATOR II	78,936	2.00	127,396	3.00	120,800	3.00	0	0.00
INVESTIGATOR III	36,120	0.83	45,863	1.00	0	0.00	0	0.00
PROF REG LIC TECH I	23,008	0.95	132,492	5.00	0	0.00	0	0.00
PROF REG LIC TECH II	18,202	0.64	30,575	1.00	0	0.00	0	0.00
PROF REG ADMSTV COOR	9,213	0.21	0	0.00	47,000	1.00	0	0.00
REAL ESTATE EXAMINER I	127,404	4.31	152,875	5.00	152,875	5.00	0	0.00
REAL ESTATE EXAMINER II	51,878	1.54	36,690	1.00	72,000	2.00	0	0.00
REAL ESTATE EXAMINER SUPV	0	0.00	50,958	1.00	0	0.00	0	0.00
REAL ESTATE EXAMINER FIELD SPV	74,652	2.00	84,591	2.00	80,000	2.00	0	0.00
REAL ESTATE EDUCATION SPEC	33,420	1.00	35,671	1.00	35,671	1.00	0	0.00
INVESTIGATION MGR B1	8,325	0.17	0	0.00	54,000	1.00	0	0.00
PROCESSING TECHNICIAN I	26,428	1.11	0	0.00	48,000	2.00	0	0.00
PROCESSING TECHNICIAN II	36,567	1.42	0	0.00	140,515	5.00	0	0.00
PROCESSING TECHNICIAN III	18,252	0.63	0	0.00	64,000	2.00	0	0.00
PROCESSING TECHNICIAN SUPV	10,053	0.25	0	0.00	0	0.00	0	0.00
BOARD MEMBER	3,772	0.19	15,288	0.00	10,000	0.00	0	0.00
CLERK	734	0.03	5,042	0.00	18,447	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	68,266	1.00	70,000	1.00	70,000	1.00	0	0.00
TOTAL - PS	**705,638**	**21.14**	**913,308**	**25.00**	**913,308**	**25.00**	**0**	**0.00**
TRAVEL, IN-STATE	22,801	0.00	23,350	0.00	23,350	0.00	0	0.00
TRAVEL, OUT-OF-STATE	6,074	0.00	8,550	0.00	8,550	0.00	0	0.00
SUPPLIES	45,753	0.00	90,500	0.00	90,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	7,328	0.00	8,750	0.00	8,750	0.00	0	0.00
COMMUNICATION SERV & SUPP	23,173	0.00	27,044	0.00	27,044	0.00	0	0.00
PROFESSIONAL SERVICES	34,152	0.00	65,000	0.00	65,000	0.00	0	0.00
M&R SERVICES	7,008	0.00	15,500	0.00	15,500	0.00	0	0.00
MOTORIZED EQUIPMENT	14,414	0.00	18,000	0.00	18,000	0.00	0	0.00
OFFICE EQUIPMENT	822	0.00	7,500	0.00	7,500	0.00	0	0.00

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO REAL ESTATE COMMISSION								
CORE								
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	298	0.00	2,500	0.00	2,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,978	0.00	10,000	0.00	10,000	0.00	0	0.00
TOTAL - EE	**164,801**	**0.00**	**279,694**	**0.00**	**279,694**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$870,439**	**21.14**	**$1,193,002**	**25.00**	**$1,193,002**	**25.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$870,439**	**21.14**	**$1,193,002**	**25.00**	**$1,193,002**	**25.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Real Estate Commission
Program is found in the following core budget(s): Missouri Real Estate Commission

1. What does this program do?

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 339.010-339.860 RSMo.

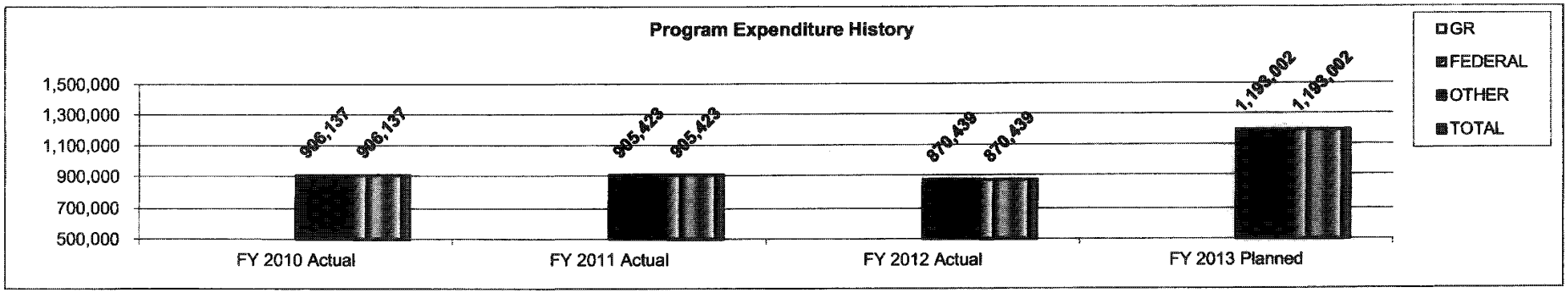
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



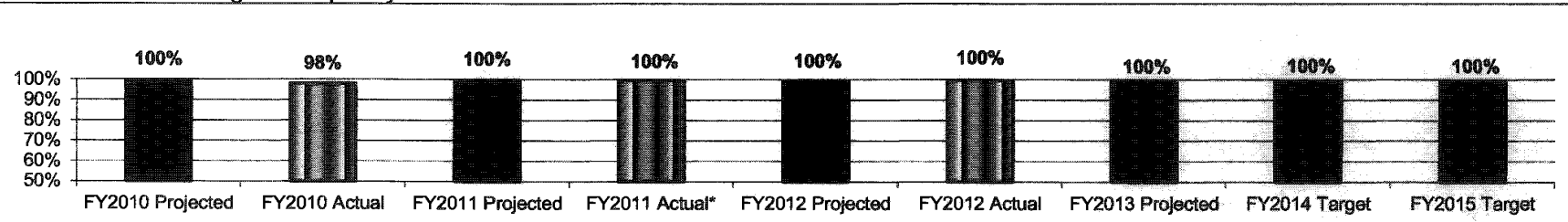
6. What are the sources of the "Other " funds?

Missouri Real Estate Commission Fund (0638)

Department of Insurance, Financial Institutions and Professional Registration
Missouri Real Estate Commission
Program is found in the following core budget(s): Missouri Real Estate Commission

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
Applications Received	2,700	4,612	3,000	4,105	4,250	2,095	2,000	2,000	2,000
Licensed Professionals	48,750	43,343	45,000	43,619	43,500	43,759	40,000	40,000	40,000

*Beginning in FY 2012 the manner in which applications received are counted has changed to eliminate any chance of duplications.

7d. Provide a customer satisfaction measure, if available.

None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO VETERINARY MEDICAL BOARD								
CORE								
EXPENSE & EQUIPMENT								
VETERINARY MEDICAL BOARD	69,002	0.00	118,079	0.00	118,079	0.00	0	0.00
TOTAL - EE	69,002	0.00	118,079	0.00	118,079	0.00	0	0.00
TOTAL	**69,002**	**0.00**	**118,079**	**0.00**	**118,079**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$69,002**	**0.00**	**$118,079**	**0.00**	**$118,079**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42790C
Professional Registration
Core - Missouri Veterinary Medical Board

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	118,079	118,079
EE	0	0	0	0
TRF	0	0	0	0
Total	0	0	118,079	118,079
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Veterinary Medical Board Fund (0639)

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
EE	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by veterinarians and veterinary technicians licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

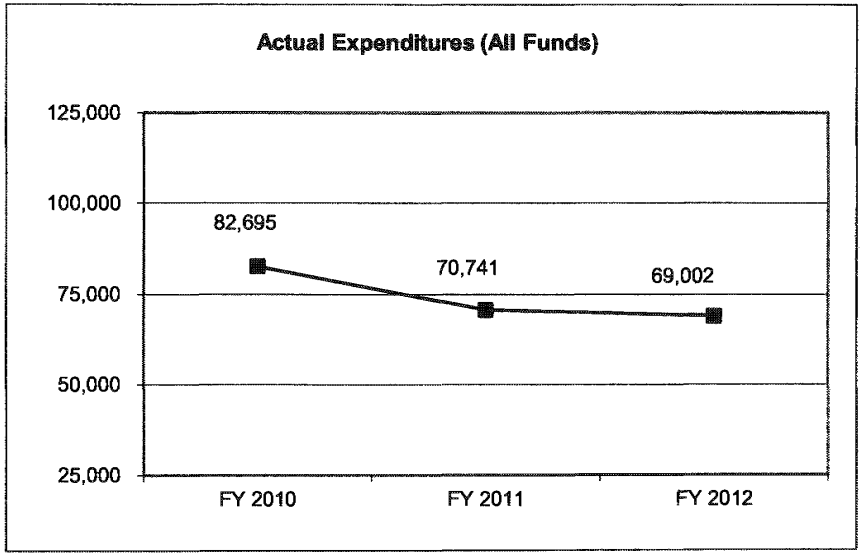
Missouri Veterinary Medical Board

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration **Budget Unit** 42790C
Professional Registration
Core - Missouri Veterinary Medical Board

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	109,579	109,579	109,579	118,079
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	109,579	109,579	109,579	N/A
Actual Expenditures (All Funds)	82,695	70,741	69,002	N/A
Unexpended (All Funds)	26,884	38,838	40,577	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	26,884	38,838	40,577	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
(2) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
(3) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.

CORE RECONCILIATION DETAIL

DIFP

MO VETERINARY MEDICAL BOARD

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	118,079	118,079	
	Total	**0.00**	**0**	**0**	**118,079**	**118,079**	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	118,079	118,079	
	Total	**0.00**	**0**	**0**	**118,079**	**118,079**	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	118,079	118,079	
	Total	**0.00**	**0**	**0**	**118,079**	**118,079**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
MO VETERINARY MEDICAL BOARD								
CORE								
TRAVEL, IN-STATE	3,146	0.00	11,875	0.00	11,875	0.00	0	0.00
TRAVEL, OUT-OF-STATE	50	0.00	1,425	0.00	1,425	0.00	0	0.00
SUPPLIES	10,980	0.00	12,350	0.00	12,350	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,082	0.00	2,850	0.00	2,850	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,260	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL SERVICES	51,809	0.00	80,479	0.00	80,479	0.00	0	0.00
M&R SERVICES	0	0.00	1,500	0.00	1,500	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1,400	0.00	1,400	0.00	0	0.00
MISCELLANEOUS EXPENSES	675	0.00	3,200	0.00	3,200	0.00	0	0.00
TOTAL - EE	**69,002**	**0.00**	**118,079**	**0.00**	**118,079**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$69,002**	**0.00**	**$118,079**	**0.00**	**$118,079**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$69,002**	**0.00**	**$118,079**	**0.00**	**$118,079**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Veterinary Medical Board
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

FY 2013 PLANNED			
	Veterinary	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	118,079	90,960	209,039
TOTAL	118,079	90,960	209,039

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 340.200-340.350 RSMo.

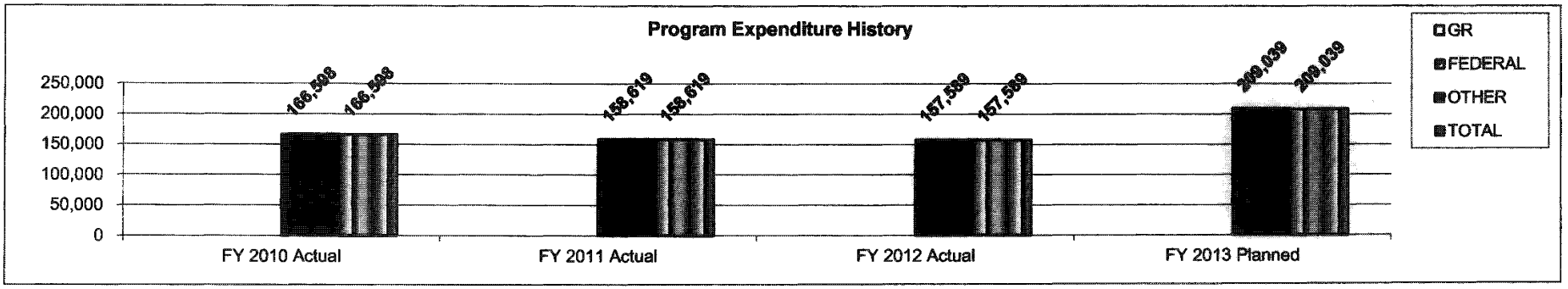
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



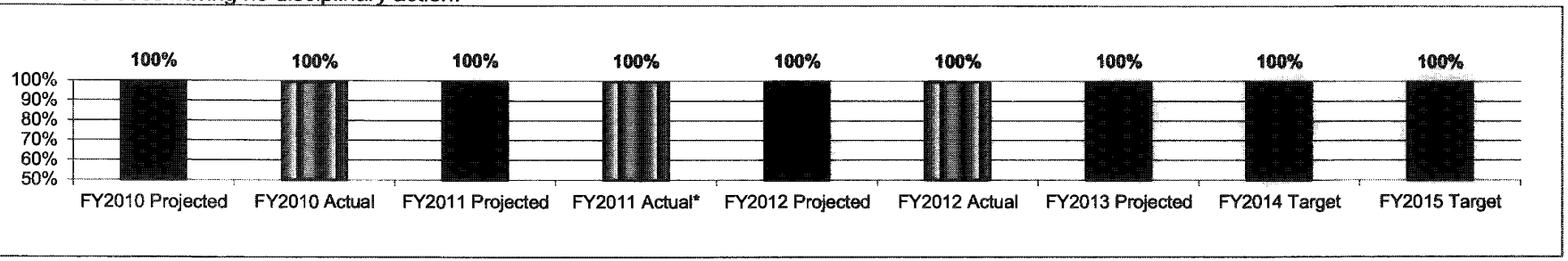
Department of Insurance, Financial Institutions and Professional Registration
Missouri Veterinary Medical Board
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

*Beginning with FY2011 Actuals, actual and projected disciplines no longer include license suspensions that are due to non-compliance with the provisions of state statute Chapter 324.010 RSMo, or revocations of any kind.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2010		FY2011		FY2012		FY2013	FY2014	FY2015
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	390	434	400	485	448	464	433	433	433
Licensed Professionals	4,624	4,681	4,722	4,765	4,795	4,891	4,911	4,911	4,911

7d. Provide a customer satisfaction measure, if available.

None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	8,572	0.00	17,500	0.00	17,500	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	601	0.00	7,800	0.00	7,800	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	0	0.00	7,200	0.00	7,200	0.00	0	0.00
MO RE APPRS AND APPRMGMT COMPS	145,921	0.00	155,000	0.00	155,000	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	6,265	0.00	10,500	0.00	10,500	0.00	0	0.00
LICENSED SOCIAL WORKERS	31,137	0.00	22,500	0.00	22,500	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	46,654	0.00	33,500	0.00	33,500	0.00	0	0.00
BOARD OF ACCOUNTANCY	2,556	0.00	19,000	0.00	19,000	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	1,765	0.00	16,000	0.00	16,000	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	0	0.00	8,000	0.00	8,000	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	23,129	0.00	85,000	0.00	85,000	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	34,716	0.00	100,000	0.00	100,000	0.00	0	0.00
BOARD OF NURSING	60,763	0.00	135,000	0.00	135,000	0.00	0	0.00
BOARD OF OPTOMETRY	0	0.00	13,408	0.00	13,408	0.00	0	0.00
BOARD OF PHARMACY	47,065	0.00	119,000	0.00	119,000	0.00	0	0.00
MO REAL ESTATE COMMISSION	229,604	0.00	250,000	0.00	250,000	0.00	0	0.00
VETERINARY MEDICAL BOARD	38,930	0.00	55,000	0.00	55,000	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	24,161	0.00	40,000	0.00	40,000	0.00	0	0.00
DENTAL BOARD FUND	5,871	0.00	31,200	0.00	31,200	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	64,139	0.00	122,100	0.00	122,100	0.00	0	0.00
ATHLETIC FUND	242	0.00	14,400	0.00	14,400	0.00	0	0.00
ATHLETIC AGENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	2,893	0.00	91,250	0.00	91,250	0.00	0	0.00
BOARD OF PI&PI FIRE EXAMINERS	24,295	0.00	16,500	0.00	16,500	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	7,116	0.00	6,000	0.00	6,000	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	12,170	0.00	28,000	0.00	28,000	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	2,072	0.00	8,960	0.00	8,960	0.00	0	0.00
DIETITIAN	0	0.00	1,200	0.00	1,200	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	0	0.00	1,200	0.00	1,200	0.00	0	0.00
ACUPUNCTURIST	0	0.00	1,000	0.00	1,000	0.00	0	0.00
TATTOO	19,951	0.00	31,000	0.00	31,000	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
MASSAGE THERAPY	1,103	0.00	13,000	0.00	13,000	0.00	0	0.00
TOTAL - TRF	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
TOTAL	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
GRAND TOTAL	$841,691	0.00	$1,461,218	0.00	$1,461,218	0.00	$0	0.00

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42820C
Division of Professional Registration
Core - Transfers to General Revenue

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	1,461,218	1,461,218
Total	**0**	**0**	**1,461,218**	**1,461,218**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Funds

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services, and hearing services.

3. PROGRAM LISTING (list programs included in this core funding)

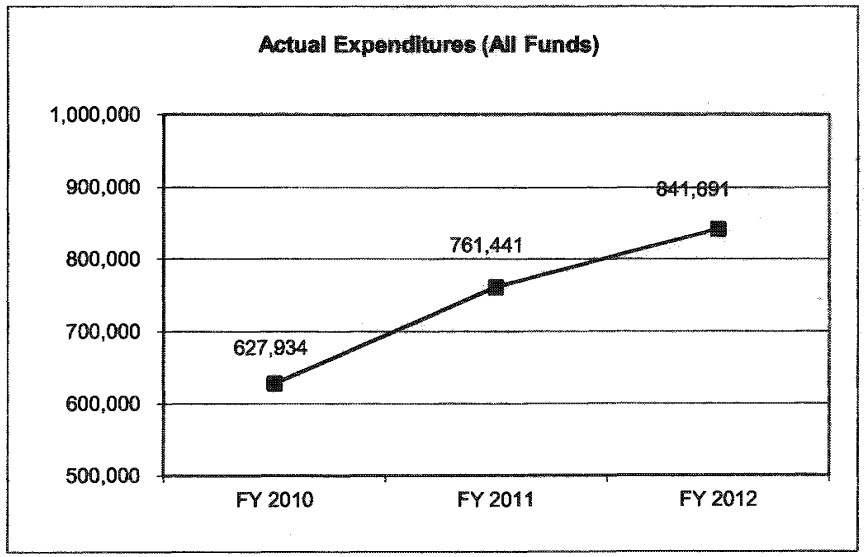
Professional Registration Funds Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42820C
Division of Professional Registration
Core - Transfers to General Revenue

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1,183,181	1,183,181	1,183,181	1,461,218
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,183,181	1,183,181	1,183,181	N/A
Actual Expenditures (All Funds)	627,934	761,441	841,691	N/A
Unexpended (All Funds)	555,247	421,740	341,490	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	555,247	421,740	341,490	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
(2) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
(3) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

DIFP

PR FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	**0.00**	**0**	**0**	**1,461,218**	**1,461,218**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	**0.00**	**0**	**0**	**1,461,218**	**1,461,218**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	**0.00**	**0**	**0**	**1,461,218**	**1,461,218**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	841,691	0.00	1,461,218	0.00	1,461,218	0.00	0	0.00
TOTAL - TRF	**841,691**	**0.00**	**1,461,218**	**0.00**	**1,461,218**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$841,691**	**0.00**	**$1,461,218**	**0.00**	**$1,461,218**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$841,691**	**0.00**	**$1,461,218**	**0.00**	**$1,461,218**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Professional Registration Funds Transfer to General Revenue
Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue

1. What does this program do?

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

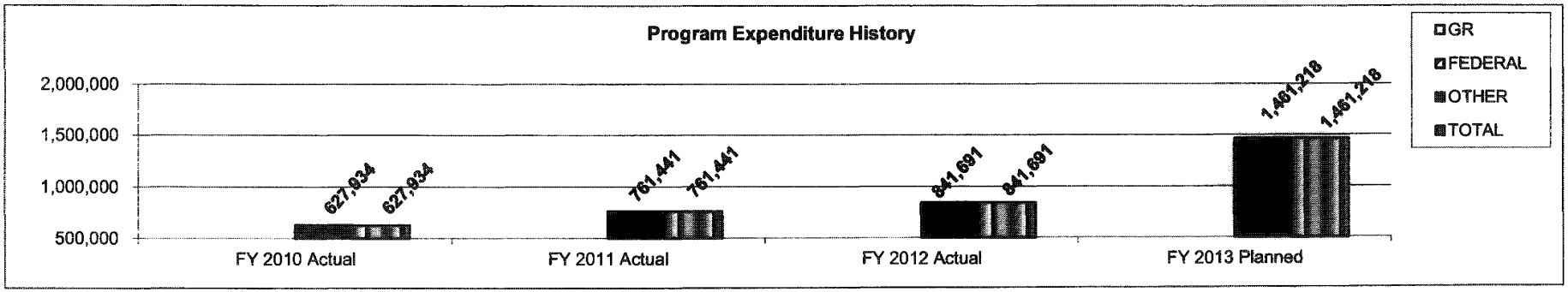
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINSTRATION TRANSFER								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	11,560	0.00	88,470	0.00	88,470	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	51,149	0.00	66,549	0.00	66,549	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	41,107	0.00	71,215	0.00	71,215	0.00	0	0.00
MO RE APPRS AND APPRMGMT COMPS	199,011	0.00	419,574	0.00	419,574	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	75,995	0.00	122,879	0.00	122,879	0.00	0	0.00
LICENSED SOCIAL WORKERS	192,267	0.00	237,471	0.00	237,471	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	267,936	0.00	348,058	0.00	348,058	0.00	0	0.00
BOARD OF ACCOUNTANCY	125,332	0.00	176,701	0.00	176,701	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	31,960	0.00	42,473	0.00	42,473	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	123,562	0.00	143,327	0.00	143,327	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	667,896	0.00	836,714	0.00	836,714	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	253,075	0.00	433,431	0.00	433,431	0.00	0	0.00
BOARD OF NURSING	630,085	0.00	1,104,260	0.00	1,104,260	0.00	0	0.00
BOARD OF OPTOMETRY	93,385	0.00	102,381	0.00	102,381	0.00	0	0.00
BOARD OF PHARMACY	219,530	0.00	318,869	0.00	318,869	0.00	0	0.00
MO REAL ESTATE COMMISSION	307,883	0.00	540,206	0.00	540,206	0.00	0	0.00
VETERINARY MEDICAL BOARD	169,075	0.00	188,724	0.00	188,724	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	209,248	0.00	283,797	0.00	283,797	0.00	0	0.00
DENTAL BOARD FUND	9,914	0.00	100,584	0.00	100,584	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	160,850	0.00	278,472	0.00	278,472	0.00	0	0.00
ATHLETIC FUND	190,950	0.00	241,144	0.00	241,144	0.00	0	0.00
ATHLETIC AGENT	2,755	0.00	3,737	0.00	3,737	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	1,445,393	0.00	1,664,242	0.00	1,664,242	0.00	0	0.00
BOARD OF PI&PI FIRE EXAMINERS	83,236	0.00	186,721	0.00	186,721	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	14,397	0.00	19,024	0.00	19,024	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	86,611	0.00	137,692	0.00	137,692	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	74,598	0.00	138,152	0.00	138,152	0.00	0	0.00
DIETITIAN	22,939	0.00	56,348	0.00	56,348	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	2,177	0.00	42,037	0.00	42,037	0.00	0	0.00
ACUPUNCTURIST	11,340	0.00	13,444	0.00	13,444	0.00	0	0.00
TATTOO	58,624	0.00	81,254	0.00	81,254	0.00	0	0.00

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINSTRATION TRANSFER								
CORE								
FUND TRANSFERS								
MASSAGE THERAPY	334,012	0.00	341,082	0.00	341,082	0.00	0	0.00
TOTAL - TRF	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00
TOTAL	**6,167,852**	**0.00**	**8,829,032**	**0.00**	**8,829,032**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$6,167,852**	**0.00**	**$8,829,032**	**0.00**	**$8,829,032**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42830C
Division of Professional Registration
Core - Transfers to Professional Registration Fees Fund

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	8,829,032	8,829,032
Total	**0**	**0**	**8,829,032**	**8,829,032**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Funds

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.

3. PROGRAM LISTING (list programs included in this core funding)

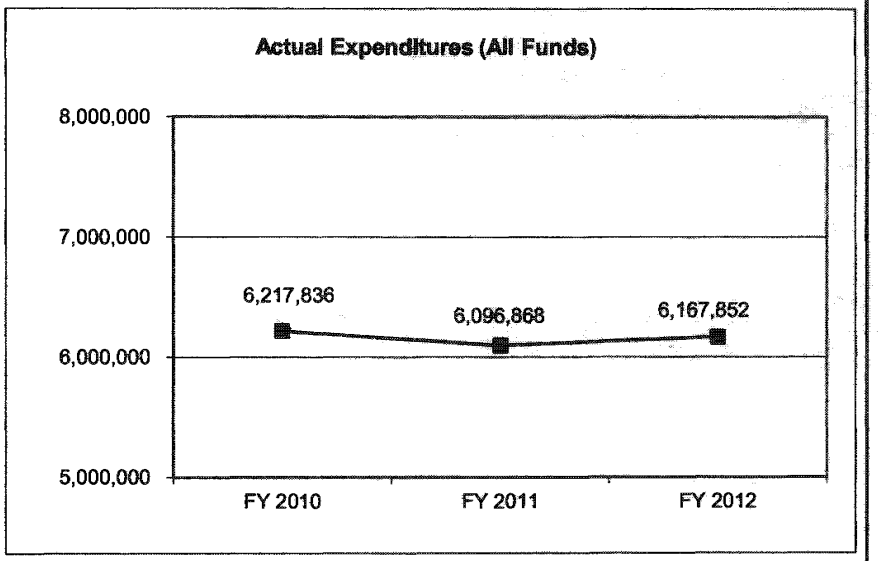
Professional Registration Funds Transfer to Professional Registration Fee Fund

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42830C
Division of Professional Registration
Core - Transfers to Professional Registration Fees Fund

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	7,614,594	7,614,594	7,614,594	8,829,032
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,614,594	7,614,594	7,614,594	N/A
Actual Expenditures (All Funds)	6,217,836	6,096,868	6,167,852	N/A
Unexpended (All Funds)	1,396,758	1,517,726	1,446,742	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,396,758 (1)	1,517,726 (2)	1,446,742 (3)	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.
(2) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.
(3) Unexpended amounts reflect variations in actual expenditures for services provided from Profesional Registration Administration.

DIFP

PR ADMINSTRATION TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	**0.00**	**0**	**0**	**8,829,032**	**8,829,032**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	**0.00**	**0**	**0**	**8,829,032**	**8,829,032**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	**0.00**	**0**	**0**	**8,829,032**	**8,829,032**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR ADMINSTRATION TRANSFER								
CORE								
TRANSFERS OUT	6,167,852	0.00	8,829,032	0.00	8,829,032	0.00	0	0.00
TOTAL - TRF	**6,167,852**	**0.00**	**8,829,032**	**0.00**	**8,829,032**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$6,167,852**	**0.00**	**$8,829,032**	**0.00**	**$8,829,032**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$6,167,852**	**0.00**	**$8,829,032**	**0.00**	**$8,829,032**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Professional Registration Funds Transfer to Professional Registration Fees Fund
Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

1. What does this program do?

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

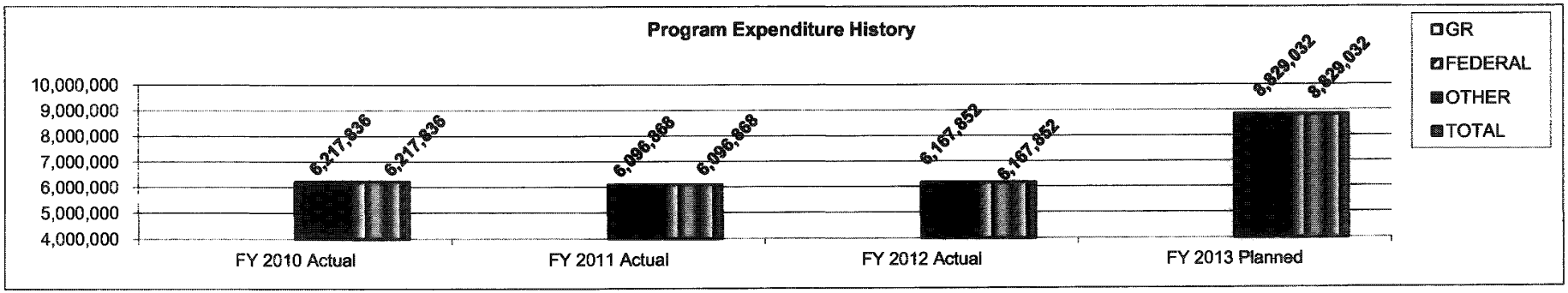
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

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Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR STARTUP LOANS								
CORE								
FUND TRANSFERS								
BOARD OF REG FOR HEALING ARTS	0	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - TRF	0	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL	**0**	**0.00**	**200,000**	**0.00**	**200,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$200,000**	**0.00**	**$200,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42850C
Division of Professional Registration
Core - Transfers for Start Up Loans for New Board Programs

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	200,000	200,000
Total	**0**	**0**	**200,000**	**200,000**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Funds

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**0.00**	**0.00**
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

3. PROGRAM LISTING (list programs included in this core funding)

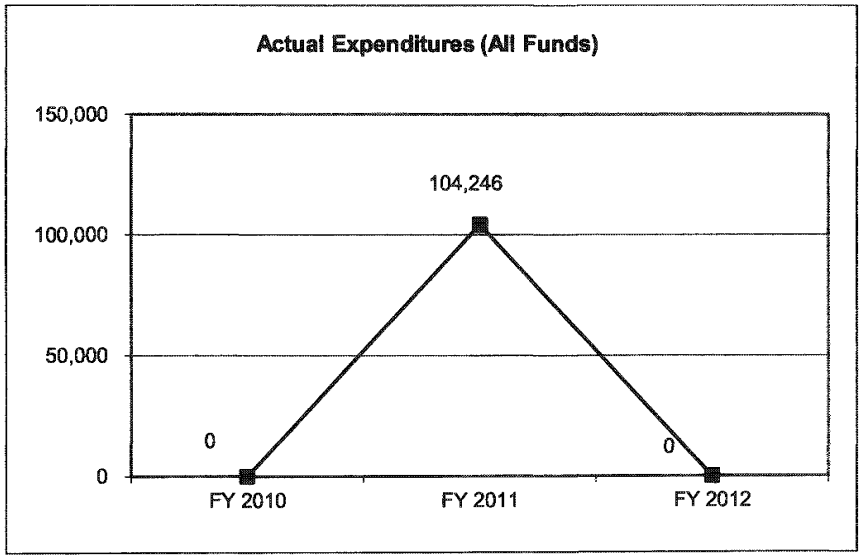
Transfer for Startup Loans for New Board Programs

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42850C
Division of Professional Registration
Core - Transfers for Start Up Loans for New Board Programs

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	1	104,246	1	200,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1	104,246	1	N/A
Actual Expenditures (All Funds)	0	104,246	0	N/A
Unexpended (All Funds)	1	0	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	0	1	N/A
		(1)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Startup funds were borrowed from the Board of Nursing Fund for the Board of Private Investigator Examiners Fund.

DIFP
PR STARTUP LOANS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	200,000	200,000	
	Total	**0.00**	**0**	**0**	**200,000**	**200,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	200,000	200,000	
	Total	**0.00**	**0**	**0**	**200,000**	**200,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	200,000	200,000	
	Total	**0.00**	**0**	**0**	**200,000**	**200,000**	

Budget Unit / Decision Item / Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR STARTUP LOANS								
CORE								
TRANSFERS OUT	0	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - TRF	**0**	**0.00**	**200,000**	**0.00**	**200,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$0**	**0.00**	**$200,000**	**0.00**	**$200,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$0**	**0.00**	**$200,000**	**0.00**	**$200,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Transfer for Startup Loans for New Board Programs
Program is found in the following core budget(s): Transfer for Startup Loans for New Board Programs

1. What does this program do?

The Professional Registration Transfer for Startup Loans Program is necessary to carry out the provisions of chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established chapter 324.016 RSMo. states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

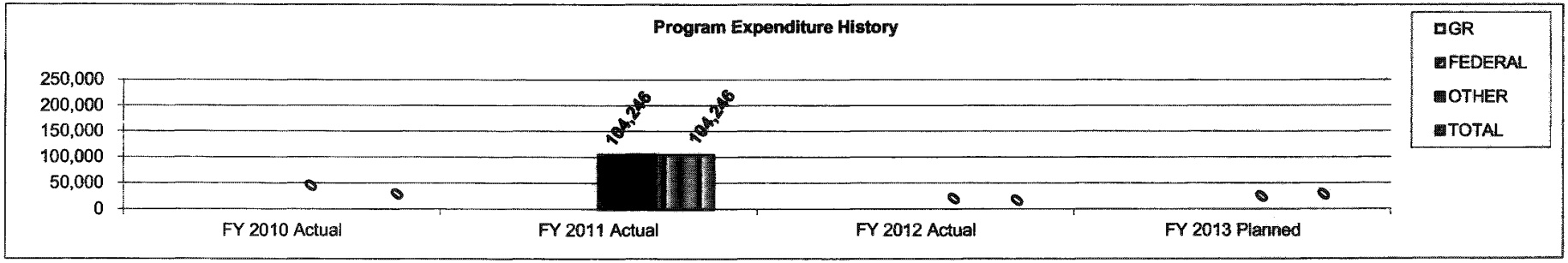
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

Budget Unit / Decision Item / Budget Object Summary / Fund	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR STARTUP LOANS PAYBACK								
CORE								
FUND TRANSFERS								
PROFESSIONAL REGISTRATION FEES	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
TOTAL - TRF	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
TOTAL	**5,000**	**0.00**	**320,000**	**0.00**	**320,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$5,000**	**0.00**	**$320,000**	**0.00**	**$320,000**	**0.00**	**$0**	**0.00**

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42860C
Division of Professional Registration
Core - Transfers for Start Up Loan Payback

1. CORE FINANCIAL SUMMARY

	FY 2014 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	320,000	320,000
Total	0	0	320,000	320,000
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Funds

	FY 2014 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

3. PROGRAM LISTING (list programs included in this core funding)

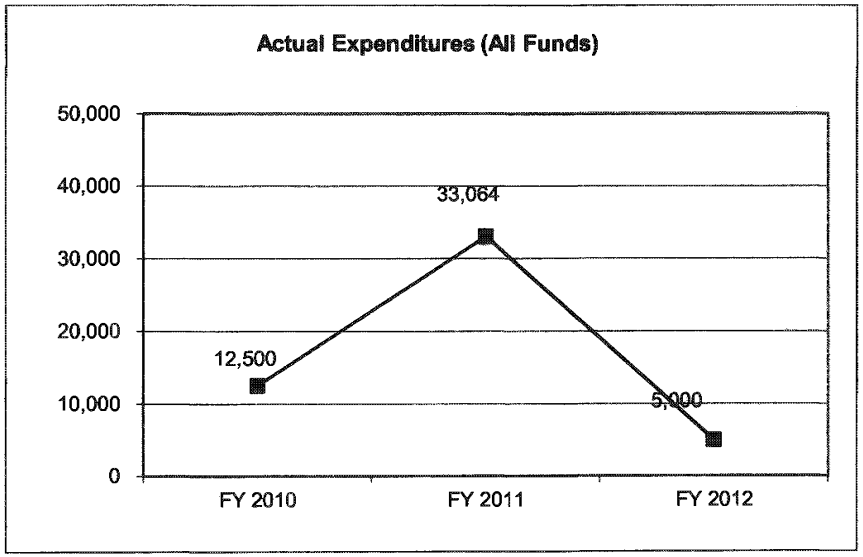
Transfer for Startup Loans Payback

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42860C
Division of Professional Registration
Core - Transfers for Start Up Loan Payback

4. FINANCIAL HISTORY

	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Current Yr.
Appropriation (All Funds)	12,500	33,064	5,000	320,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	12,500	33,064	5,000	N/A
Actual Expenditures (All Funds)	12,500	33,064	5,000	N/A
Unexpended (All Funds)	0	0	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	0	
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:
(1) Startup loans paybacks included Interior Design and Interpreters.
(2) Startup loans paybacks included Interior Design and Interpreters.
(3) Original Appropriation of $1 increased by $4,999.

DIFP

PR STARTUP LOANS PAYBACK

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	320,000	320,000	
	Total	**0.00**	**0**	**0**	**320,000**	**320,000**	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	320,000	320,000	
	Total	**0.00**	**0**	**0**	**320,000**	**320,000**	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	320,000	320,000	
	Total	**0.00**	**0**	**0**	**320,000**	**320,000**	

Budget Unit Decision Item Budget Object Class	FY 2012 ACTUAL DOLLAR	FY 2012 ACTUAL FTE	FY 2013 BUDGET DOLLAR	FY 2013 BUDGET FTE	FY 2014 DEPT REQ DOLLAR	FY 2014 DEPT REQ FTE	********** SECURED COLUMN	********** SECURED COLUMN
PR STARTUP LOANS PAYBACK								
CORE								
TRANSFERS OUT	5,000	0.00	320,000	0.00	320,000	0.00	0	0.00
TOTAL - TRF	**5,000**	**0.00**	**320,000**	**0.00**	**320,000**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$5,000**	**0.00**	**$320,000**	**0.00**	**$320,000**	**0.00**	**$0**	**0.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**		**0.00**
OTHER FUNDS	**$5,000**	**0.00**	**$320,000**	**0.00**	**$320,000**	**0.00**		**0.00**

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Transfer for Startup Loans Payback
Program is found in the following core budget(s): Transfer for Startup Loans Payback

1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

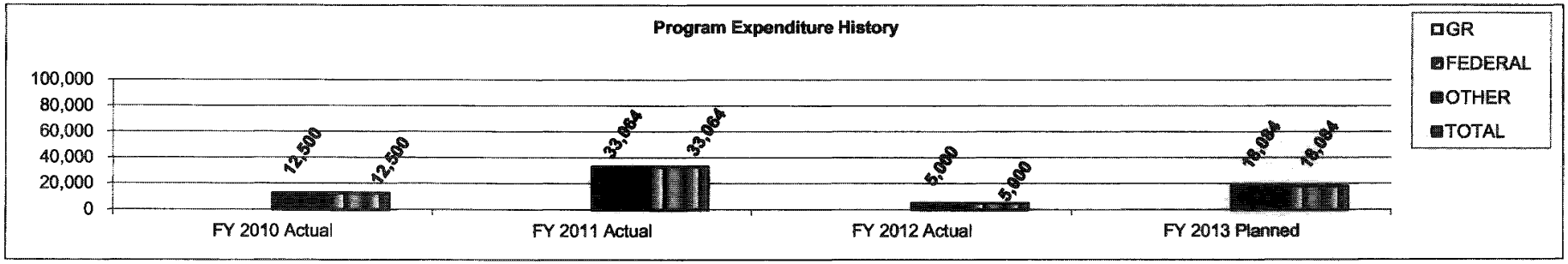
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.
None available.

7b. Provide an efficiency measure.
None available.

7c. Provide the number of clients/individuals served, if applicable.
None available.

7d. Provide a customer satisfaction measure, if available.
None available.

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration		**Budget Unit**	**37501C**
Insurance - Insurance Operations			
Implementation of SB 749 (2012)	**DI# 2375001**	**Original FY 2013 House Bill Section, if applicable**	**7.420**

1. AMOUNT OF REQUEST

	FY 2013 Supplemental Budget Request				FY 2013 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	38,428	38,428	PS	0	0	0	0
EE	0	0	11,850	11,850	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	**0**	**0**	**50,278**	**50,278**	Total	**0**	**0**	**0**	**0**
FTE	**0.00**	**0.00**	**1.00**	**1.00**	FTE	**0.00**	**0.00**	**0.00**	**0.00**
POSITIONS	**0**	**0**	**4**	**4**	POSITIONS	**0**	**0**	**0**	**0**
NUMBER OF MONTHS POSITIONS ARE NEEDED:				**3**	NUMBER OF MONTHS POSITIONS ARE NEEDED:				
Est. Fringe	*0*	*0*	*19,379*	*19,379*	*Est. Fringe*	*0*	*0*	*0*	*0*

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

Other Funds:

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department is seeing substantially higher filing volumes from the health insurance industry. The number of Missourians covered under state regulated individual health insurance products has increased by 39% since 2001; premium volume has increased by 255%. Due to the passage of Senate Bill 749, there will be an additional increase of health insurance policy form filings. Currently, insurance companies can make filings with variable provisions, meaning the provisions can be included or excluded based upon an insurance consumer's choice. Any combination of variable provisions in an insurance form can produce hundreds of different policy forms. The passage of Senate Bill 749 contains provisions which allow for civil suits to be filed against "a government entity, public official, or entity acting in a governmental capacity by any person or entity or group of persons or entities", for any persons aggrieved by a violation of Section 191.724. Section 191.724 provides that no person, employer or employee may be compelled to have health insurance coverage for abortions, sterilization or contraception. Due to the liability provisions in Senate Bill 749 for government agencies and employees, the complexity of insurance policy forms, and the need to determine that policies comply with Missouri law, the Department will have to limit the amount of variability in policy form filings to ensure compliance with Senate Bill 749. The Department estimates an additional 2,260 filings in a year, for the roughly 452 insurance companies with an active license to write Accident and Health Insurance Coverage.

The Department's authority and/or requirement to review and approve all policy forms for group and individual health insurance policies issued or delivered in the State of Missouri can be found in Section 376.405 and 376.777 RSMo.

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration		**Budget Unit**	**37501C**
Insurance - Insurance Operations			
Implementation of SB 749 (2012)	**DI# 2375001**	**Original FY 2013 House Bill Section, if applicable**	**7.420**

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

In discussions with insurance companies, the Department has been advised any combination of variable provisions in a policy form can represent hundreds of different policies, in their final form. There are approximately 452 insurance companies with an active license to write Accident and Health Insurance. Only a portion of those companies would write policies subject to the provisions of Senate Bill 749. The Division anticipates an additional 2,260 filings each year. Each full-time analyst reviews approximately 500 policy form filings each year. This additional filing volume will necessitate the addition of at least three (3) new Insurance Product Analysts to fully implement the provisions of Senate Bill 749 and maintain the current workloads and review times. With the Department's exposure to civil liability, the Division anticipates the need for increased legal services necessitating the hiring of one additional attorney–a Senior Legal Counsel. Fiscal note response stated FTE and expenses could be needed.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/007576 / Ins Product Analyst II					24,678	0.75	24,678	0.75	24,678
100/009735/Sr Legal Counsel					13,750	0.25	13,750	0.25	13,750
Total PS	**0**	**0.0**	**0**	**0.0**	**38,428**	**1.0**	**38,428**	**1.0**	**38,428**
340/Communication Expenses					10,236		10,236		
320/Professional Development					618		618		
190/Office Supplies					996		996		
Total EE	**0**		**0**		**11,850**		**11,850**		**0**
Program Distributions							0		0
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers							0		0
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**50,278**	**1.0**	**50,278**	**1.0**	**38,428**

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration				Budget Unit 37501C				
Insurance - Insurance Operations								
Implementation of SB 749 (2012)		DI# 2375001		Original FY 2013 House Bill Section, if applicable 7.420				
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	0
							0	0.0	0
Total PS	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**
							0		0
							0		0
							0		0
							0		0
Total EE	**0**		**0**		**0**		**0**		**0**
Program Distributions							0		0
Total PSD	**0**		**0**		**0**		**0**		**0**
Transfers							0		0
Total TRF	**0**		**0**		**0**		**0**		**0**
Grand Total	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**	**0.0**	**0**

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration		**Budget Unit**	**37501C**
Insurance - Insurance Operations			
Implementation of SB 749 (2012)	**DI# 2375001**	**Original FY 2013 House Bill Section, if applicable**	**7.420**

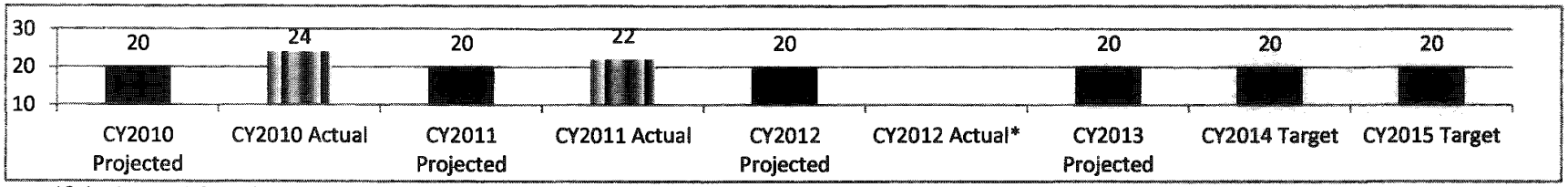
5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an effectiveness measure.

Not yet available.

5b. Provide an efficiency measure.

Average Processing time for Life and Health policy filings



*Calendar year information will be provided with the Governor's Recommendations.

5c. Provide the number of clients/individuals served, if applicable.

	CY2010		CY2011		CY2012		CY2013	CY2014	CY2015
	Projected	Actual	Projected	Actual	Projected	Actual*	Projected	Target	Target
L&H filings received	3,500	3,443	3,500	5,357	3,500		7,500	7,500	8,000

*Calendar year information will be provided with the Governor's Recommendations.

5d. Provide a customer satisfaction measure, if

Not yet available.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The Life and Health Section strives to maintain a 20 day turnaround time for form filing reviews for insurance industry Speed to Market initiatives. This is 20 days from receipt of the filing to either the first objection generated to the filing insurance company or to the conclusion of the filing review. The Life and Health Section will treat these additional filings as other filings and will work to achieve and maintain a 20 day review turnaround time.

Budget Unit / Decision Item / Budget Object Class	SUPPL DEPT REQUEST DOLLAR	SUPPL DEPT REQUEST FTE	********** SECURED COLUMN	********** SECURED COLUMN	********** SECURED COLUMN	********** SECURED COLUMN	SUPPL MONTHS FOR	SUPPL POSITION
INSURANCE OPERATIONS								
Implementation SB 749 (2012) - 2375001								
INSURANCE PRODUCT ANALYST II	24,678	0.75	0	0.00	0	0.00	3	3.00
SENIOR COUNSEL	13,750	0.25	0	0.00	0	0.00	3	1.00
TOTAL - PS	**38,428**	**1.00**	**0**	**0.00**	**0**	**0.00**	**6**	**4.00**
SUPPLIES	996	0.00	0	0.00	0	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	618	0.00	0	0.00	0	0.00	0	0.00
COMMUNICATION SERV & SUPP	10,236	0.00	0	0.00	0	0.00	0	0.00
TOTAL - EE	**11,850**	**0.00**	**0**	**0.00**	**0**	**0.00**	**0**	**0.00**
GRAND TOTAL	**$50,278**	**1.00**	**$0**	**0.00**	**$0**	**0.00**	**$6**	**4.00**
GENERAL REVENUE	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**
FEDERAL FUNDS	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**	**$0**	**0.00**
OTHER FUNDS	**$50,278**	**1.00**	**$0**	**0.00**	**$0**	**0.00**	**$6**	**4.00**